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RESEARCH REPORT

# Chemical and Cellular Formation of Reactive Oxygen Species from Secondary Organic Aerosols in Epithelial Lining Fluid

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INCLUDES A COMMENTARY BY THE INSTITUTE'S REVIEW COMMITTEE

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with a Commentary by the HEI Review Committee

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# ABOUT HEI

The Health Effects Institute is a nonprofit corporation chartered in 1980 as an independent research organization to provide high-quality, impartial, and relevant science on the effects of air pollution on health. To accomplish its mission, the Institute

- Identifies the highest-priority areas for health effects research
- Competitively funds and oversees research projects
- Provides intensive independent review of HEI-supported studies and related research
- Integrates HEI's research results with those of other institutions into broader evaluations
- Communicates the results of HEI's research and analyses to public and private decision-makers.

HEI typically receives balanced funding from the U.S. Environmental Protection Agency and the worldwide motor vehicle industry. Frequently, other public and private organizations in the United States and around the world also support major projects or research programs. HEI has funded more than 380 research projects in North America, Europe, Asia, and Latin America, the results of which have informed decisions regarding carbon monoxide, air toxics, nitrogen oxides, diesel exhaust, ozone, particulate matter, and other pollutants. These results have appeared in more than 260 comprehensive reports published by HEI, as well as in more than 2,500 articles in the peer-reviewed literature.

HEI's independent Board of Directors consists of leaders in science and policy who are committed to fostering the public-private partnership that is central to the organization. The Research Committee solicits input from HEI sponsors and other stakeholders and works with scientific staff to develop a Five-Year Strategic Plan, select research projects for funding, and oversee their conduct. The Review Committee, which has no role in selecting or overseeing studies, works with staff to evaluate and interpret the results of funded studies and related research.

All project results and accompanying comments by the Review Committee are widely disseminated through HEI's website ([www.healtheffects.org](http://www.healtheffects.org)), reports, newsletters and other publications, annual conferences, and presentations to legislative bodies and public agencies.





# ABOUT THIS REPORT

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Research Report 215, *Chemical and Cellular Formation of Reactive Oxygen Species from Secondary Organic Aerosols in Epithelial Lining Fluid*, presents a research project funded by the Health Effects Institute and conducted by Dr. Manabu Shiraiwa of the University of California, Irvine, and his colleagues. This research was funded under HEI's Walter A. Rosenblith New Investigator Award Program, which provides support to promising scientists in the early stages of their careers. The report contains three main sections:

The **HEI Statement**, prepared by staff at HEI, is a brief, nontechnical summary of the study and its findings; it also briefly describes the Review Committee's comments on the study.

The **Investigators' Report**, prepared by Shiraiwa and colleagues, describes the scientific background, aims, methods, results, and conclusions of the study.

The **Commentary**, prepared by members of the Review Committee with the assistance of HEI staff, places the study in a broader scientific context, points out its strengths and limitations, and discusses remaining uncertainties and implications of the study's findings for public health and future research.

This report has gone through HEI's rigorous review process. When an HEI-funded study is completed, the investigators submit a draft final report presenting the background and results of the study. This draft report is first examined by outside technical reviewers and a biostatistician. The report and the reviewers' comments are then evaluated by members of the Review Committee, an independent panel of distinguished scientists who are not involved in selecting or overseeing HEI studies. During the review process, the investigators have an opportunity to exchange comments with the Review Committee and, as necessary, to revise their report. The Commentary reflects the information provided in the final version of the report.



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# HEI STATEMENT

## Synopsis of Research Report 215

### Role of Secondary Organic Aerosols Versus Macrophages in Formation of Reactive Oxygen Species in the Lung

#### BACKGROUND

Air pollution from particulate matter (PM) is associated with adverse health effects and is a leading risk factor for morbidity and mortality worldwide. PM is a complex mixture of microscopic particles and liquid droplets known as an aerosol; the mixture can contain various metals and carbon-containing particles. PM can originate from primary natural sources (e.g., windblown dust) and primary pollutant sources (e.g., fuel combustion), but a large fraction of PM is also formed by chemical reactions of gases in the atmosphere. PM formed in the atmosphere from gas-phase organic precursors is known as secondary organic aerosol (SOA). Inhalation of PM can damage tissues in the respiratory tract by chemically generating reactive oxygen species (ROS), which are highly reactive oxygen-containing chemicals, such as hydrogen peroxide (see **Statement Figure**). Inhalation exposure can also stimulate cells called macrophages to release ROS as part of the immune defense. Although ROS play a role in normal biological function, a buildup of ROS can induce oxidative stress and lead to the onset and progression of disease. Although research demonstrates that SOAs contribute to ROS formation in the respiratory tract, this pathway remains poorly understood.

To examine the effects of SOA exposure on the formation of ROS in lungs, HEI funded a study by Dr. Manabu Shiraiwa of the University of California, Irvine, titled “Formation of Reactive Oxygen Species by Organic Aerosols and Transition Metals in Epithelial Lining Fluid” in response to HEI’s Request for Applications 17-3 Walter A. Rosenblith New Investigator Award. Dr. Shiraiwa proposed to investigate the kinetics and chemical mechanisms of ROS formation in the respiratory tract by different types of air pollutants and to quantify the relative importance of ROS formed by chemical reactions compared with ROS released as an immune response to pollution.

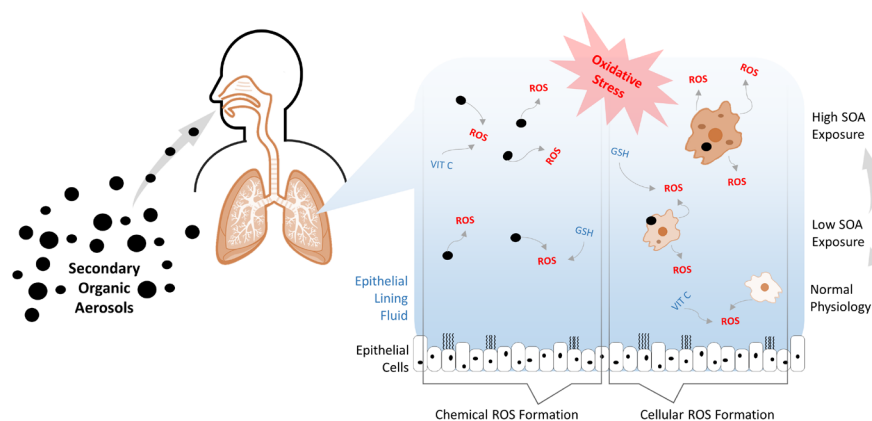
#### What This Study Adds

- This study evaluated the mechanisms of aerosol-induced reactive oxygen species formation by two pathways in the respiratory tract: by chemical reactions and by immune cells called macrophages.
- The investigators quantified aqueous reactive oxygen species formation under various experimental conditions and developed kinetic models of the respiratory tract. They also evaluated ambient air samples as a contrast to the laboratory-generated pollutants.
- Results showed that the quantity and composition of reactive oxygen species formation depends on the specific aerosol mixture, oxidation mechanisms, and other environmental conditions. Under certain exposure conditions, the reactive oxygen species released by macrophages far exceeded the reactive oxygen species formation by aqueous chemical reactions.
- Aerosol-induced respiratory health effects that are mediated by reactive oxygen species depend on a complex interplay between the aerosol mixture, chemical reactions, environmental conditions, and the influence of the immune cells.

#### APPROACH

Dr. Shiraiwa aimed to study ROS formation in the respiratory tract by designing a series of laboratory experiments and developing kinetic models. Because ROS formation in the respiratory tract occurs at the interface between air and tissue in a protective liquid layer called the epithelial lining fluid (ELF), the approach focused on aqueous reactions to approximate real-world conditions. The first objective of the study was to identify the mechanisms and kinetics of ROS formation by aqueous chemical reactions of SOAs. Specific tasks were to quantify ROS formation by laboratory-generated SOAs and by ambient PM samples. The second objective was to quantify the relative importance of ROS formed by chemical reactions compared with the cellular release of ROS by macrophages in synthetic ELF. Specific tasks included

This Statement, prepared by the Health Effects Institute, summarizes a research project funded by HEI and conducted by Dr. Manabu Shiraiwa at the University of California, Irvine, and colleagues. Research Report 215 contains both the detailed Investigators’ Report and a Commentary on the study prepared by the Institute’s Review Committee. **SHIRAIWA 215**



**Statement Figure.** After inhalation of air pollutants, ROS are formed in lung fluid through chemical reactions and released by macrophages under normal physiological conditions. Excess ROS can lead to oxidative stress and inflammation, but the relative importance of the two pathways is unclear. GSH = glutathione; VIT C = vitamin C.

using kinetic modeling to estimate ROS concentrations formed by chemical reactions within different lung regions and to quantify ROS released by macrophage cells when exposed to SOA.

Shiraiwa and colleagues first generated SOAs in a controlled laboratory environment by inducing oxidation of selected organic compounds in a reaction chamber. They also collected PM from a small number of ambient air samples from Los Angeles, California, that were used to represent urban, traffic, and wildfire locations. They conducted various *in vitro* experiments to quantify the chemical formation of several types of ROS in water and in synthetic ELF from SOAs generated by laboratory precursors or ambient air PM samples. The synthetic ELF contained the naturally occurring antioxidants vitamin C, citric acid, glutathione, and uric acid. They quantified several different ROS, including hydroxyl radical, superoxide radical, carbon- and oxygen-centered radicals, and hydrogen peroxide.

In their experiments, the investigators evaluated the effects of different experimental conditions on ROS formation, including the addition of nitrogen oxides ( $\text{NO}_x$ ), the addition of ferrous iron ( $\text{Fe}^{2+}$ ), and changes in pH levels. Both  $\text{NO}_x$  and  $\text{Fe}^{2+}$  are important atmospheric oxidizing agents. They used a relatively new and sensitive method called continuous wave electron paramagnetic resonance spectroscopy with a spin trapping technique to capture the formation of various ROS species. Shiraiwa and colleagues then used computer modeling to determine the ROS chemical reaction kinetics and to estimate ROS burden in different respiratory tract regions. Finally, they compared the chemical formation of ROS by SOAs with the ROS released by macrophage cells when exposed to SOAs.

## KEY RESULTS

Shiraiwa and colleagues found that the formation of various ROS and their reaction kinetics strongly depended on the method of chemical oxidation and the chemical precursor used to generate SOAs. Different experimental conditions, including the presence of  $\text{NO}_x$  or  $\text{Fe}^{2+}$  and changes in pH levels, altered ROS formation. For example, the introduction of high concentrations of  $\text{NO}_x$  to laboratory-generated SOAs decreased the formation of hydroxyl and superoxide radicals. In contrast, the introduction of  $\text{Fe}^{2+}$  to SOA generally increased ROS formation. The type of ROS formed, and the kinetics of that formation, differed when the experiment was carried out in water versus the synthetic ELF — an effect attributed to the presence of antioxidants in the synthetic ELF. Overall, chemical ROS formation from SOA was highly complex and variable.

The investigators found that the quantity and composition of ROS formed by ambient PM varied by sampling site in Los Angeles, with marked differences between wildfire and nonwildfire samples. ROS formed per air volume were highest in highway and lowest in wildfire samples, and the ROS formed per mass were higher in urban compared with wildfire samples. On average, PM from urban and highway sampling sites formed primarily hydroxyl radicals (>84%) with the remaining proportion being carbon-centered radicals. PM from wildfire samples formed mostly carbon-centered radicals (~50%).

Modeling results indicated that ROS formation in the human respiratory tract depended on the size composition of PM exposure and on ELF volume and particle deposition in the different respiratory tract regions. ROS formation was highest in the extrathoracic region

and lowest in the alveolar region. The estimated formation of hydrogen peroxide was highest, and hydroxyl radical was lowest. The investigators noted that their model assumed a uniform particle size, which would somewhat misrepresent real-world conditions because larger particles are generally deposited in the upper respiratory tract and only smaller particles reach deep into the lungs.

Shiraiwa and colleagues found that certain SOAs activated macrophage cells to release higher concentrations of superoxide than what was formed through chemical reactions in the synthetic ELF. Superoxide formation depended on the concentration of SOA precursor and duration of the exposure. At low concentrations, cellular formation of superoxide was 10 times higher than chemical formation, particularly at the beginning of the experiments. The investigators reported that the decreased superoxide formation by macrophages over longer exposure times was due to the activation of antioxidant processes, oxidative stress, and cell death. The chemical superoxide formation modeled by the investigators was similar to the experimental results, providing reassurance that the kinetic modeling approach accurately captured the processes.

### INTERPRETATION AND CONCLUSIONS

Dr. Shiraiwa and colleagues demonstrated that the quantity and composition of ROS formed by aqueous reactions highly depends on such factors as the specific aerosol mixture, oxidation mechanisms, and other environmental conditions. They also found that for certain exposure conditions, the ROS released by macrophages far outweighed the ROS formation by aqueous chemical reactions. This result implies that aerosol-induced respiratory health effects mediated by ROS might not depend on the aerosol composition and associated chemical reactions alone.

In its independent evaluation of the report, the HEI Review Committee members noted that the investigators made a valuable contribution to the study of the health effects of air pollution mediated through ROS. They thought that the work comparing chemical versus cellular ROS formation was novel, and they appreciated the inclusion of ambient aerosol samples from field measurements in addition to the lab-generated aerosols. However, they thought that it was difficult to translate the results of the experiments to real-world exposure conditions in the human lung and that the full implications of the results were therefore difficult to determine.

The Committee noted that the experimental methods enabled the investigators to establish their proof of concept but that the next steps were to design experiments under more realistic conditions. For example,

the investigators used relatively high SOA precursor concentrations in their laboratory studies to ensure sufficient ROS yield for quantitative comparisons and selected a macrophage cell line that is extensively used to study oxidative stress, although not resident to the respiratory tract. Testing SOA generated from precursor concentrations relevant to the ambient air and lung-derived macrophages would advance future research. The investigators also simulated ELF by including naturally occurring antioxidants and compounds, but other key immune cells such as neutrophils would be valuable to include next. It would also be helpful in future work to use more robust negative-control scenarios, for example, by varying the experimental timeframes and by using nonchemical stimuli. Furthermore, the Committee thought that the evaluation of ambient PM samples was preliminary; it included only a few samples from three locations in Los Angeles. Thus, any conclusions regarding wildfire versus nonwildfire PM should be regarded with caution until a much larger set of samples has been analyzed. The Committee noted that the kinetic modeling of ROS formation in different respiratory tract regions demonstrated that the approach was possible. However, as with any modeling efforts, the results hinged on the model inputs, which can change under various realistic conditions and should be interpreted cautiously.

In conclusion, the Committee commended Dr. Shiraiwa and colleagues for the novelty and thoughtfulness in the study approach. This work is an important first step in understanding the relative importance of chemical versus biological ROS formation in the lung. Further work is recommended to evaluate ROS formation using additional PM samples from various ambient sources and including an evaluation of responses from other key immune cells.





## Chemical and Cellular Formation of Reactive Oxygen Species from Secondary Organic Aerosols in Epithelial Lining Fluid

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### ABSTRACT

### INTRODUCTION

Oxidative stress mediated by reactive oxygen species (ROS\*) is a key process for adverse aerosol health effects. Secondary organic aerosols (SOA) account for a major fraction of particulate matter with aerodynamic diameter  $\leq 2.5 \mu\text{m}$  (PM<sub>2.5</sub>). PM<sub>2.5</sub> inhalation and deposition into the respiratory tract causes the formation of ROS by chemical reactions and phagocytosis of macrophages in the epithelial lining fluid (ELF), but their relative contributions are not well quantified and their link to oxidative stress remains uncertain. The specific aims of this project were (1) elucidating the chemical mechanism and quantifying the formation kinetics of ROS in the ELF by SOA; (2) quantifying the relative importance of ROS formation by chemical reactions and macrophages in the ELF.

### METHODS

SOA particles were generated using reaction chambers from oxidation of various precursors including isoprene, terpenes, and aromatic compounds with or without nitrogen oxides (NO<sub>x</sub>). We collected size-segregated PM at two highway sites in Anaheim, CA, and Long Beach, CA, and at an urban site in Irvine, CA, during two wildfire events. The collected

particles were extracted into water or surrogate ELF that contained lung antioxidants. ROS generation was quantified using electron paramagnetic resonance (EPR) spectroscopy with a spin-trapping technique. PM oxidative potential (OP) was also quantified using the dithiothreitol assay. In addition, kinetic modeling was applied for analysis and interpretation of experimental data. Finally, we quantified cellular superoxide release by RAW264.7 macrophage cells upon exposure to quinones and isoprene SOA using a chemiluminescence assay as calibrated with an EPR spin-probing technique. We also applied cellular imaging techniques to study the cellular mechanism of superoxide release and oxidative damage on cell membranes.

### RESULTS

Superoxide radicals ( $\cdot\text{O}_2^-$ ) were formed from aqueous reactions of biogenic SOA generated by hydroxy radical ( $\cdot\text{OH}$ ) photooxidation of isoprene,  $\beta$ -pinene,  $\alpha$ -terpineol, and d-limonene. The temporal evolution of  $\cdot\text{OH}$  and  $\cdot\text{O}_2^-$  formation was elucidated by kinetic modeling with a cascade of aqueous reactions, including the decomposition of organic hydroperoxides (ROOH),  $\cdot\text{OH}$  oxidation of primary or secondary alcohols, and unimolecular decomposition of  $\alpha$ -hydroxyperoxy radicals. Relative yields of various types of ROS reflected the relative abundance of ROOH and alcohols contained in SOA, which generated under high NO<sub>x</sub> conditions, exhibited lower ROS yields. ROS formation by SOA was also affected by pH. Isoprene SOA had higher  $\cdot\text{OH}$  and organic radical yields at neutral than at acidic pH. At low pH  $\cdot\text{O}_2^-$  was the dominant species generated by all types of SOA. At neutral pH,  $\alpha$ -terpineol SOA exhibited a substantial yield of carbon-centered organic radicals (R $\cdot$ ), while no radical formation was observed by aromatic SOA.

Organic radicals in the ELF were formed by mixtures of Fe<sup>2+</sup> and SOA generated from photooxidation of isoprene,  $\alpha$ -terpineol, and toluene. The molar yields of organic radicals by SOA were 5–10 times higher in ELF than in water. Fe<sup>2+</sup> enhanced organic radical yields by a factor of 20–80. Ascorbate mediated redox cycling of iron ions and sustained organic peroxide decomposition, as supported by kinetic modeling reproducing time- and concentration-dependence

This Investigators' Report is one part of Health Effects Institute Research Report 215, which also includes a Commentary by the Review Committee and an HEI Statement about the research project. Correspondence concerning the Investigators' Report may be addressed to Dr. Manabu Shiraiwa, University of California, Irvine, Department of Chemistry, 1120 Natural Sciences II, Irvine, California, 92697-2025; email: [m.shiraiwa@uci.edu](mailto:m.shiraiwa@uci.edu). No potential conflict of interest was reported by the authors.

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\* A list of abbreviations and other terms appears at the end of this volume.

of organic radical formation, as well as by additional experiments observing the formation of  $\text{Fe}^{2+}$  and ascorbate radicals in mixtures of ascorbate and  $\text{Fe}^{3+}$ .  $\cdot\text{OH}$  and superoxide were found to be efficiently scavenged by antioxidants.

Wildfire PM mainly generated  $\cdot\text{OH}$  and  $\text{R}\cdot$  with minor contributions from superoxide and oxygen-centered organic radicals ( $\text{RO}\cdot$ ). PM OP was high in wildfire PM, exhibiting very weak correlation with radical forms of ROS. These results were in stark contrast with PM collected at highway and urban sites, which generated much higher amounts of radicals dominated by  $\cdot\text{OH}$  radicals that correlated well with OP. By combining field measurements of size-segregated chemical composition, a human respiratory tract model, and kinetic modeling, we quantified production rates and concentrations of different types of ROS in different regions of the ELF by considering particle-size-dependent respiratory deposition. While hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) and  $\cdot\text{O}_2^-$  production were governed by Fe and Cu ions,  $\cdot\text{OH}$  radicals were mainly generated by organic compounds and Fenton-like reactions of metal ions. We obtained mixed results for correlations between PM OP and ROS formation, providing rationale and limitations of the use of oxidative potential as an indicator for PM toxicity in epidemiological and toxicological studies.

Quinones and isoprene SOA activated nicotinamide adenine dinucleotide phosphate (NADPH) oxidase in macrophages, releasing massive amounts of superoxide via respiratory burst and overwhelming the superoxide formation by aqueous chemical reactions in the ELF. The threshold dose for macrophage activation was much smaller for quinones compared with isoprene SOA. The released ROS caused lipid peroxidation to increase cell membrane fluidity, inducing oxidative damage and stress. Further increases of doses led to the activation of antioxidant response elements, reducing the net cellular superoxide production. At very high doses and long exposure times, chemical production became comparably important or dominant if the escalation of oxidative stress led to cell death.

## CONCLUSIONS

The mechanistic understandings and quantitative information on ROS generation by SOA particles provided a basis for further elucidation of adverse aerosol health effects and oxidative stress by  $\text{PM}_{2.5}$ . For a comprehensive assessment of PM toxicity and health effects via oxidative stress, it is important to consider both chemical reactions and cellular processes for the formation of ROS in the ELF. Chemical composition of PM strongly influences ROS formation; further investigations are required to study ROS formation from various PM sources. Such research will provide critical information to environmental agencies and policymakers for the development of air quality policy and regulation.

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## INTRODUCTION

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Anthropogenic air pollution leads to a massive increase of atmospheric  $\text{PM}_{2.5}$  and oxidant concentrations on local, regional, and global scales, posing a major threat to public health (Monks et al. 2009; Pöschl and Shiraiwa 2015). The concentrations of  $\text{PM}_{2.5}$  in polluted urban air are several orders of magnitude higher than in pristine air ( $\sim 10\text{--}1000\ \mu\text{g m}^{-3}$  vs.  $\sim 1\text{--}10\ \mu\text{g m}^{-3}$ ) (Pöschl and Shiraiwa 2015), and high pollutant levels can cause serious respiratory and cardiovascular diseases, leading to elevated mortality (Brunekreef and Holgate 2002; Lim et al. 2013; Pope and Dockery 2006; Rich et al. 2013). Epidemiological studies have shown a clear correlation between air pollutants and adverse health effects, including cardiovascular, respiratory, and allergic diseases (Dockery et al. 1993). Ambient and indoor air pollution by airborne PM and ozone ( $\text{O}_3$ ) are among the most prominent leading risk factors for the global burden of disease (Lim et al. 2013). For example, mortality rates in the 90 largest U.S. cities were found to rise on average by 0.5% with each  $10\text{-}\mu\text{g m}^{-3}$  increase in  $\text{PM}_{2.5}$  (Kaiser 2000), and globally the annual number of premature deaths due to air pollution are estimated to exceed 3 million with an increasing trend (Lelieveld et al. 2015; Shiraiwa et al. 2017). However, the underlying chemical processes are poorly characterized, and a quantitative basis for assessing the relative importance of specific air pollutants is still missing (Dockery et al. 1993; Shiraiwa et al. 2017; West et al. 2016).

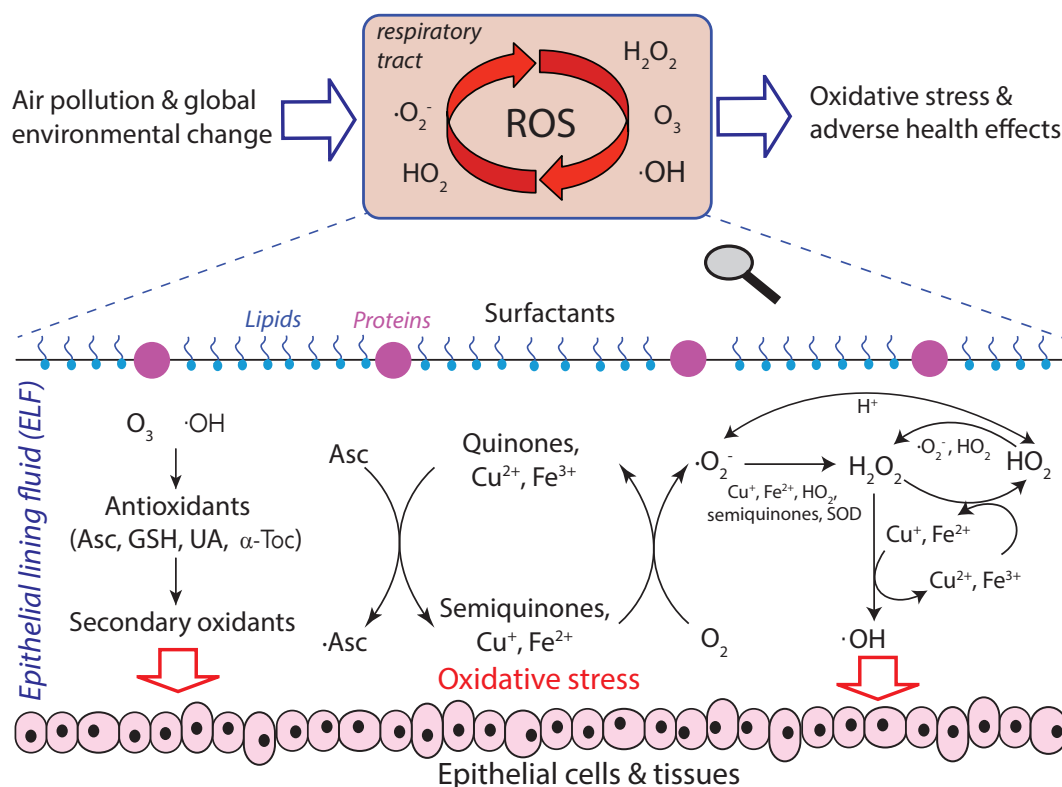
Organic aerosols account for a major fraction of  $\text{PM}_{2.5}$  in the atmosphere (Huang et al. 2014; Jimenez et al. 2009). Formation and growth of SOA is triggered by reactions of  $\text{O}_3$  and  $\cdot\text{OH}$  radicals with volatile organic compounds emitted from various biogenic and anthropogenic sources. With regard to SOA health effects, substantial amounts of particle-bound ROS are found on ambient and laboratory-generated SOA produced from various precursors such as  $\alpha$ -pinene, limonene, linalool, and toluene (Chen et al. 2011b; Pavlovic and Hopke 2010; Venkatachari et al. 2005, 2007; Wang et al. 2010, 2011b, 2012; Zhao and Hopke 2012). Several groups have measured ROS in aerosol particles with offline analysis (Cho et al. 2005; Dellinger et al. 2007; Gehling and Dellinger 2013; Khachatryan et al. 2011; Kumagai et al. 1997; Venkatachari et al. 2005) and online systems (Fang et al. 2015; Fuller et al. 2014; Wang et al. 2011a). In addition, ROOH and peroxides were found to be major constituents of biogenic SOA and aged organic aerosol (Docherty et al. 2005; Epstein et al. 2014; Surratt et al. 2006; Ziemann and Atkinson 2012). Recently, highly oxygenated organic molecules (HOM) and extremely low volatility organic compounds (ELVOC), which contain multiple hydroperoxide functional groups formed by autoxidation (Crouse et al. 2013), are found to be an important constituent of SOA (Ehn et al. 2012, 2014; Jokinen et al. 2015; Mentel et al. 2015; Tröstl et al. 2016). Recent studies have

shown that such HOM or ELVOC compounds are relatively unstable (Badali et al. 2015; Krapf et al. 2016), and they may decompose upon interactions with water to form free radicals (Riva 2016; Tong et al. 2016).

ROS are key species of both atmospheric and physiological chemistry. ROS play a central role in the adverse health effects of air pollution, as they can cause oxidative stress (Finkel and Holbrook 2000; Nel 2005; Winterbourn 2008). ROS are defined to include hydroxyl radical ( $\cdot\text{OH}$ ),  $\cdot\text{O}_2^-$ ,  $\text{H}_2\text{O}_2$ , and  $\text{O}_3$ , as well as a wide range of  $\text{R}\cdot$  and  $\text{RO}\cdot$ , such as peroxy and alkoxy radicals (Finkel and Holbrook 2000; Shiraiwa et al. 2012; Winterbourn 2008). Fine air PM contains redox-active components like transition metals and quinones originating from gasoline and diesel motor exhaust, cigarette smoke, and other sources including SOA formation in the atmosphere (Cho et al. 2005; Kumagai et al. 2012; McWhinney et al. 2013; Verma et al. 2015a). Quinones are often associated with atmospheric humic-like substances (HULIS) (Dou et al. 2015; Lin and Yu 2011; Verma et al. 2015b). Upon inhalation and deposition in the human respiratory tract, such air pollutants can induce and sustain chemical reactions that produce ROS in the ELF covering the airways (Gurgueira et al. 2002; Lakey et al. 2016). The ELF contains a range of antioxidants and surfactants (van der Vliet et al. 1999), and it extends from the

nasal cavity to the pulmonary alveoli with a film thickness that decreases from several micrometers in the upper airways to dozens of nanometers in the lungs (Mudway and Kelly 2000). As illustrated in **Figure 1**, the redox-active pollutants and ROS undergo a multitude of radical and redox reaction cycles in the ELF. The initial step is the transfer of electrons from antioxidants to transition metal ions or quinones, forming reduced metal ions or semiquinones, respectively (Charrier et al. 2014; Kumagai et al. 2012; Pöschl and Shiraiwa 2015). Transition metal ions and quinones are regenerated by reaction with molecular oxygen ( $\text{O}_2$ ) forming  $\cdot\text{O}_2^-$  radicals that are further converted into  $\text{H}_2\text{O}_2$  (Winterbourn 2008).  $\cdot\text{OH}$  radicals, the most reactive form of ROS, can be produced via Fenton-like reactions of  $\text{H}_2\text{O}_2$  with iron or copper ions (Charrier and Anastasio 2011) and can also be released upon interaction of SOA with water (Tong et al. 2016; Wang et al. 2011b). These multiphase processes have been investigated experimentally, quantifying formation of  $\cdot\text{OH}$  and  $\text{H}_2\text{O}_2$  by transition metal ions and quinones in surrogate lung lining fluid (Charrier and Anastasio 2011; Charrier et al. 2014; Jung et al. 2006; Shen and Anastasio 2011; Vidrio et al. 2008).

Macrophages are sentinel cells and the first cellular responders of the innate immune system, serving several important roles in host defense: they clear dead tissues and



**Figure 1. Interaction of air pollutants and ROS in the ELF of the human respiratory tract.** Redox-active components trigger and sustain catalytic reaction cycles generating ROS. Asc = ascorbate;  $\cdot\text{Asc}$  = ascorbate radicals;  $\text{H}_2\text{O}_2$  = hydrogen peroxide;  $\text{HO}_2$  = hydroperoxyl radical; GSH = glutathione;  $\text{O}_2$  = molecular oxygen;  $\cdot\text{O}_2^-$  = superoxide radical;  $\text{O}_3$  = ozone;  $\cdot\text{OH}$  = hydroxyl radical; SOD = superoxide dismutase; UA = uric acid;  $\alpha$ -Toc =  $\alpha$ -tocopherol. Adapted from Lakey et al. 2016.

initiate the process of tissue repair, they ingest and destroy microbes, and they produce cytokines to induce and regulate inflammation (Abbas et al. 2010; Sompayrac 2022). Alveolar macrophages reside in the ELF, protecting the lung cells and tissues from infection from bacteria, microbes, and pathogens. Macrophages may be activated by two different pathways: classical macrophage activation is triggered by innate immune signals. Activated macrophages called M1 are involved in destroying microbes and triggering inflammation, whereas M2 macrophages, by alternative macrophage activation induced by cytokines, is relevant for tissue repair and termination of inflammation (Abbas et al. 2010). M1 macrophages release  $\cdot\text{O}_2^-$  after phagocytosis through a process called the *respiratory burst* due to transient consumption of  $\text{O}_2$  (Forman and Torres 2002). This process also occurs upon exposure to atmospheric PM (Beck-Speier et al. 2005; Gurgueira et al. 2002; Kleinman et al. 2000).

Thus, ROS can be released both chemically and cellularly upon particle deposition, but the relative importance of cellular and chemical ROS is poorly understood and yet to be quantified. A previous study has quantified chemical and cellular  $\text{H}_2\text{O}_2$  production from macrophages upon exposure to naphthalene SOA (Liu et al. 2020), but very limited research has been conducted in quantifying cellular  $\cdot\text{O}_2^-$ . Cellular release of  $\cdot\text{O}_2^-$  can be triggered by a number of different enzymatic systems such as mitochondrial oxidative phosphorylation, NAD(P)H (reduced nicotinamide adenine dinucleotide [phosphate]) oxidase, and xanthine oxidase (XO) (Sachse and Wolf 2007). However, very little is known about which  $\cdot\text{O}_2^-$  generation mechanism is activated by inhaled PM. Several methods have been developed to detect cellular  $\cdot\text{O}_2^-$  using spectroscopic (Teufelhofer et al. 2003), fluorescent (Gomes et al. 2005; Holevinsky and Nelson 1995), or luminescent assays (Messner and Imlay 2002; Yamazaki et al. 2011). The Diogenes chemiluminescence assay is suitable for monitoring cellular  $\cdot\text{O}_2^-$  production, as Diogenes is a very sensitive  $\cdot\text{O}_2^-$  chemiluminescence enhancer that is nondenaturing to living cells; however, the chemiluminescence readouts show light intensity without providing a quantitative measure for production rate or concentration (Yamazaki et al. 2011).

While  $\cdot\text{O}_2^-$  can be converted into a less reactive form (e.g.,  $\text{H}_2\text{O}_2$ ) by superoxide dismutase (SOD), excess  $\cdot\text{O}_2^-$  is known to be cytotoxic as it can interfere with lipids, proteins, and DNA (Forman and Torres 2002; Kumagai et al. 1997; Sies et al. 2017; Winterbourn 2008). A specific consequence of PM-triggered  $\cdot\text{O}_2^-$  is yet to be identified. The antioxidant defense system can counteract ROS; however, excessive production of ROS can overwhelm antioxidant defenses and trigger or enhance oxidative stress, cell death, and biological aging (Finkel and Holbrook 2000; Lucas and Maes 2013; Nel 2005; Shiraiwa et al. 2012; Winterbourn 2008). Thus, characterizing the formation of ROS in the ELF is crucial for understanding how air pollution leads to adverse health effects like asthma, allergies, and other respiratory diseases. The production rate and concentration of ROS induced by SOA in the ELF, however, so far have hardly been quantified.

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## SPECIFIC AIMS AND STUDY DESIGN

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ROS play a central role in the adverse health effects of air PM. ROS release induced by particles can be counteracted by the antioxidant defense system; however, it can be overwhelmed by excessive ROS production, triggering oxidative stress (Kumagai et al. 1997; Nel 2005; Shiraiwa et al. 2012; Winterbourn 2008). ROS are coupling atmospheric and physiological chemistry, but their interactions at ELF are not well understood and less quantified. Particularly poorly characterized is the role of ROS upon respiratory deposition of SOA, as multiphase chemistry in the ELF is highly complex — involving redox-active components, lung antioxidants, and ROS. Previous studies (Charrier and Anastasio 2011; Charrier et al. 2014; Cho et al. 2005; Kumagai et al. 1997), including our research team’s contributions (Arangio et al. 2016; Lakey et al. 2016; Tong et al. 2016), have shown that SOA can generate  $\text{H}_2\text{O}_2$  and  $\cdot\text{OH}$  radicals in the ELF. However, the formation of other types of ROS, including superoxide and  $\text{R}\cdot$  and  $\text{RO}\cdot$ , which may play an important role in oxidative stress (Birben et al. 2012; Pöschl and Shiraiwa 2015; Pryor et al. 1995; Winterbourn 2008), had been hardly studied and quantified. It was urgent to conduct further studies to fully unravel kinetics and molecular mechanism of formation of ROS by biogenic and anthropogenic SOA.

**Specific Aim 1** Elucidate the chemical mechanism and quantify the formation kinetics of ROS in the ELF by SOA.

- **Task A:** Quantify ROS formation by aqueous reactions of laboratory-generated SOA.
- **Task B:** Quantify ROS formation and OP by ambient PM.

**Specific Aim 2** Quantify the relative importance of chemical ROS generated by redox reactions and biological ROS released by macrophages in the ELF.

- **Task C:** Model estimation of chemical ROS concentrations in the ELF.
- **Task D:** Quantify ROS release by macrophages using chemiluminescence and EPR upon exposure to SOA.

The ultimate research goal is to advance the fundamental understanding of ROS multiphase chemistry by SOA in the ELF for better assessment, prediction, and handling of air quality and public health. The results and implications of the proposed study should be useful for air quality regulators for the design of efficient control strategies against adverse aerosol health effects. The uniqueness of this project is to quantify and compare chemical and cellular ROS production by multipollutants (e.g., SOA, transition metals) in the ELF by experiments and kinetic modeling to advance the fundamental understanding of multiphase processes of ROS for a quantitative assessment of aerosol effects on oxidative stress.

**Table 1.** Research Roadmap

Research Tasks and Publications	Methods
<b>A. ROS formation from aqueous reactions of SOA</b> A1. Superoxide formation from SOA (Wei et al. 2021b) A2. Effects of NO <sub>x</sub> on ROS formation from SOA (Edwards et al. 2022) A3. Effects of pH on ROS formation from SOA (Wei et al. 2022b) A4. Organic radical formation by SOA in ELF (Wei et al. 2022a)	Laboratory generation of SOA EPR spectroscopy Fluorometric H <sub>2</sub> O <sub>2</sub> Assay
<b>B. ROS formation from ambient particles</b> B1. ROS formation from highway and wildfire PM (Fang et al. 2023; Hwang et al. 2021) B2. ROS formation vs. PM oxidative potential (Fang et al. 2023; Hwang et al. 2021)	Ambient PM sampling using a high-volume sampler and MOUDI EPR spectroscopy DTT assay
<b>C. Modeling ROS formation in ELF</b> (Fang et al. 2019; Lelieveld et al. 2021) Side-projects: applications to epidemiological studies (Liu et al. 2022; Ripley et al. 2022; Stieb et al. 2021; To et al. 2022; Zhang et al. 2020, 2021)	Kinetic modeling
<b>D. Cellular vs. chemical ROS in ELF</b> (Fang et al. 2022)	Cell culture and exposure EPR spectroscopy Diogenes chemiluminescence FLIM

FLIM = fluorescence lifetime imaging microscopy; MOUDI = micro-orifice uniform deposition impactor.

## METHODS

The research roadmap including research projects, resulting publications, and corresponding methods is presented in **Table 1**.

For specific aim 1, SOA particles were generated by oxidation of biogenic and anthropogenic precursors (such as isoprene, terpenes, and aromatics) in a reaction chamber under various reaction conditions (Task A). Ambient particles were collected using a high volume sampler for PM<sub>2.5</sub> or a cascade impactor (Task B). The collected particles on a filter were extracted into the aqueous phase, and ROS formation was measured by multiple analytical techniques (Task A, B). The main tool was EPR spectroscopy combined with a spin-trapping technique for detection of radical forms of ROS (i.e., ·OH, superoxide, R·, and RO·). H<sub>2</sub>O<sub>2</sub> was quantified by a fluorometric H<sub>2</sub>O<sub>2</sub> assay, and organic (hydro-)peroxides contained in SOA particles were measured with an iodometric-spectrophotometric technique. The dithiothreitol (DTT) assay was used to measure OP and redox activity of PM.

For specific aim 2, the kinetic multilayer model for surface and bulk chemistry in the ELF (KM-SUB-ELF) (Lahey et al. 2016) was developed and applied for analysis and interpretation of experimental data of chemical ROS concentrations due to redox reactions as measured by EPR (Task C). As a side project, KM-SUB-ELF was applied for field measurements of metal concentrations to estimate ROS concentrations in

the ELF, which were applied for epidemiological studies. Macrophages were exposed to SOA that led to the release of superoxide, which was quantified using the Diogenes chemiluminescence as calibrated by EPR with a spin-probing technique. We also applied fluorescence lifetime imaging microscopy (FLIM) to study the cellular mechanism of superoxide release and oxidative damage on cell membranes.

## PARTICLE GENERATION AND SAMPLING

### Laboratory Generation of SOA Particles

SOA particles were generated from dark ozonolysis and ·OH photooxidation (SOA<sub>O<sub>3</sub></sub> and SOA<sub>OH</sub>, respectively) of isoprene (Sigma-Aldrich, 99%), β-pinene (Sigma-Aldrich, 99%), α-terpineol (Arcos Organics, 97%), and d-limonene (Arcos Organics, 96%). SOA<sub>O<sub>3</sub></sub> particles were produced in an oxidation flow reactor under dry and dark conditions. Prior to each experiment, the reactor was purged with zero air (Parker 75-62 purge gas generator). O<sub>3</sub> was introduced into the reactor by flowing pure O<sub>2</sub> at 1 standard liters per minute (slm) through a commercial O<sub>3</sub> generator (OzoneTech OZ2SS-SS). After the O<sub>3</sub> concentration stabilized, pure isoprene, β-pinene, α-terpineol and d-limonene were injected into 5 slm of purge air flow separately, using a syringe pump at a rate of ~2 μL per minute. High concentrations of precursor (2.2×10<sup>15</sup> cm<sup>-3</sup> for isoprene and 1.4×10<sup>15</sup> cm<sup>-3</sup> for β-pinene, α-terpineol and d-limonene) and O<sub>3</sub> (1.8×10<sup>14</sup> cm<sup>-3</sup>) were used to generate enough material for analysis.

SOA<sub>OH</sub> particles were generated in a 19 L potential aerosol mass (PAM) reactor (Kang et al. 2007). 100–500 L of VOC precursors (isoprene,  $\beta$ -pinene,  $\alpha$ -terpineol and d-limonene) were placed in an open 1.5 mL amber glass vial, which was kept inside a glass bottle prior to the PAM reactor. The precursors were then injected into the chamber by a 0.5 slm of carrier flow mixed with a 6 slm of humidified (Perma Pure humidifier, MH-110-12P-4) flow of purified air from a zero-air generator (Model 7000, EnviroNics). The  $\cdot$ OH radicals were generated through photolysis of water molecules by 185 nm UV radiation. The exposure time for the photooxidation of precursors in the PAM reactor was about 3 minutes with relative humidity around 30%–40%. Despite the high  $\cdot$ OH concentrations ( $\sim 10^{11}$ – $10^{12}$  cm<sup>-3</sup>) compared with ambient levels ( $\sim 10^6$  cm<sup>-3</sup>), the PAM-generated SOA are found to be similar to ambient and chamber-generated SOA in terms of yield, oxidation state, hygroscopicity, and chemical composition (Lambe et al. 2011, 2015; Peng and Jimenez 2020). Additional advantages of the PAM reactor include shortened experimental timescales, ability to reach long photochemical ages, and minimized wall losses (Peng and Jimenez 2020).

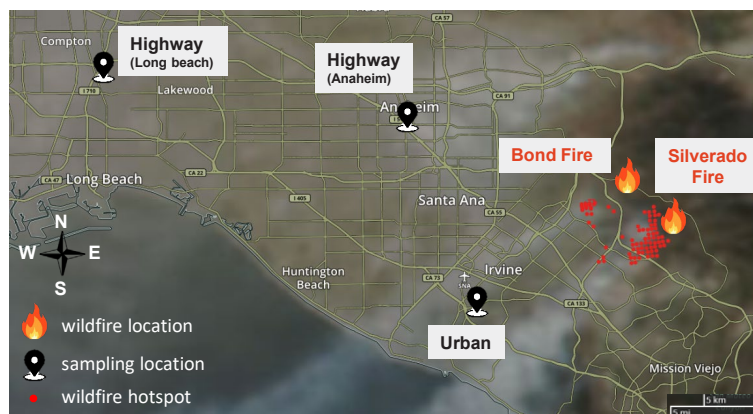
A scanning mobility particle sizer (SMPS, Grimm Aerosol Technik) was used to record the number concentrations and size distributions of SOA produced in the PAM reactor. The typical particle diameter of SOA<sub>OH</sub> ranged from 30–500 nm, and the geometric mean diameter by mass varied from 70–120 nm. Particle sampling was initiated after the number concentrations stabilized. The SOA particles were collected on 47 mm polytetrafluoroethylene (PTFE) filters (Millipore FGLP04700, 0.2  $\mu$ m pore size) at a flow rate of 13 slm for 40 minutes and 5 slm for 3 hours for SOA<sub>O<sub>3</sub></sub> and SOA<sub>OH</sub>, respectively.

The filter samples were extracted in 1 mL spin-trap solutions (10 mM) or Milli-Q (deionized, resistivity = 18.2 M/cm) water for 7 minutes. The filter extracts were used for radical measurements or the H<sub>2</sub>O<sub>2</sub> fluorometric assay, respectively. The mass difference before and after the extraction was regarded as SOA dissolved in reagents, and an average molar mass of 200 g/mol was used for the calculation of SOA molar concentrations in filter extracts. The SOA<sub>O<sub>3</sub></sub> and SOA<sub>OH</sub> concentrations were in the range of 1–16 mM, and the pH of SOA extracts varied between 4 and 6. At least three samples were prepared for each SOA<sub>O<sub>3</sub></sub> and SOA<sub>OH</sub> for EPR analysis and H<sub>2</sub>O<sub>2</sub> measurement, respectively.

### Ambient Sampling with a High-Volume Sampler and a Micro-Orifice Uniform Deposition Impactor

**Highway PM** A high-volume sampler (Hi-Vol, Tisch Environmental, flow rate 1.13 m<sup>3</sup> min<sup>-1</sup>) was used to collect ambient PM<sub>2.5</sub> onto prebaked 8"  $\times$  10" micro-quartz filters at an urban site (a campus building at the University of California, Irvine (UCI), 33°38'40.4"N 117°50'39.3"W,

elevation 20 meters) and two highway sites in Anaheim (**Figure 2**, Interstate 5, 33°49'09.4"N 117°55'07.5"W) and Long Beach (Figure 2, Interstate 710, 33°51'34.0"N 118°12'01.0"W) within 20 meters of the highway roads. Interstate 5 (I-5) is one of the busiest highways in Southern California and is known as a commuter route from Orange County to the city of Los Angeles. The other highway site, Interstate 710, is a major route that connects Long Beach and the Los Angeles city with a higher fraction of heavy-duty vehicles and is known as a heavy-duty corridor (Shirmohammadi et al. 2017). Particle filter samples were collected for 4–12 hours daily from 1/28/2020 to 2/3/2020 at the Anaheim site, for 6–12 hours per day from 2/4/2020 to 2/10/2020 (except on 2/9/2020) at the Long Beach site, and for 8–11 hours at the urban site from 2/23/2020 to 2/29/2020 (except on 2/28/2020). Field blanks were collected every other day at each site. After the collection, all filters were wrapped in a prebaked aluminum foil and immediately stored in a freezer (-18°C). Portions of the filters collected from the highway sites were cut using a circular punch with a diameter of 2.54 cm and used for measurements of environmentally persistent free radicals (EPFR), ROS, and DTT activities. Those from the urban sites were analyzed for EPFRs. Measurements of EPFRs, ROS, and DTT on each filter was repeated 3–5 times. EPFR measurements were conducted within 2 weeks of sample collection, and ROS and DTT measurements were conducted within 4–6 months. Note that EPFRs are stable at least a year after collection. Additionally, PM<sub>2.5</sub> samples were collected at both highway sites on one 47 mm Teflon-membrane filter and two 47 mm quartz-fiber filters in parallel using a medium-volume sampler with a Bendix Model 240 PM<sub>2.5</sub> cyclone. These filters were used to determine PM mass, metals, organic carbon, and elemental carbon at the highway sites. The sampling flow rate was 37.7 L min<sup>-1</sup> for each filter channel. The sampling duration was 4 hours covering the following periods: 6:00–10:00, 10:00–14:00, and 14:00–18:00. The total sampling periods overlapped with the



**Figure 2.** Sampling and wildfire locations, with smoke plumes and wildfire hotspots from the Silverado Fire as viewed by moderate resolution imaging spectroradiometer. Adapted from Fang et al. 2023.

Hi-Vol sampling periods. The Teflon-membrane filters were analyzed for  $PM_{2.5}$  mass and metal, while the quartz fiber filters were analyzed for organic and elemental carbon (Wang et al. 2018).

**Wildfire PM** A micro-orifice uniform deposition impactor (MOUDI Model 100NR) was used to collect size-segregated ambient PM on Teflon filters (Millipore Omnipore Membrane Filter, PTFE, 0.2  $\mu\text{m}$  pore size, JFWP04700) at an urban site, which is located on the rooftop of a UCI campus building at (33°38'40.4"N 117°50'39.3"W, elevation 20 meters). In winter 2020, two wildfire events occurred near the sampling site according to the California Department of Forestry and Fire Protection: Silverado and Bond Fires (Figure 2). The Silverado Fire started in Silverado Canyon (33°44'10.1"N 117°39'25.9"W) on October 26, 2020, and was contained on November 7, 2020. The Bond Fire started off the California State Route 241 in the Irvine area (33°44'37.8"N 117°40'29.9"W) on December 2, 2020, and was active for 7 days until December 10, 2020. These wildfire events were characterized with greatly reduced visibilities and substantial increases of PM mass concentrations up to 280 and 450  $\mu\text{g m}^{-3}$  for the submicron ( $PM_1$ ) and coarse ( $PM_{10}$ ) fractions, respectively. Figure 2 shows the sampling and wildfire locations and the smoke plumes from the Silverado Fire on October 26, 2020, affecting the Irvine area. The fire location was roughly 20 kilometers from the urban sampling site.

Eight sets of MOUDI filter samples were collected at the urban location continuously for 3 days for each set during wildfire events from October 26 to December 9, 2020. Three MOUDI sets were collected at the highway sites (Anaheim site: 12 hrs on January 31, 2020; Long Beach site: 12 hrs on February 7, 2020 and 5.5 hrs on February 8, 2020). Three sets were collected for 10–12 hours daily at the urban site on February 23–25, 2020, which are considered to be urban background samples. The MOUDI was operated with selected stages with the remaining stages removed and without a back-up filter at a flow rate of 30  $\text{L min}^{-1}$ . The 50% cut-off aerodynamic diameters used for wildfire samples were 18, 10, 1, 0.18, and 0.056  $\mu\text{m}$ . Those for the highway and urban samples were 18, 10, 3.2, 1, 0.56, and 0.056  $\mu\text{m}$ . EPFRs, ROS formation, and OP measured with the DTT assay (OP-DTT) in  $PM_1$  and  $PM_{1-10}$  are sums of the activities from MOUDI stages up to 1  $\mu\text{m}$  and between 1 and 10  $\mu\text{m}$ , respectively. Field blanks were collected without turning on the MOUDI pump at each site ( $N = 11$ ). After the collection, all blank and sample filters were stored in petri dishes and immediately stored in a freezer ( $-18^\circ\text{C}$ ). EPFRs, ROS formation, and OP-DTT measurements were performed on these filter samples following the procedures in our previous study (Hwang et al. 2021). The data are presented as per volume of air sampled ( $\text{pmol m}^{-3}$  for EPFRv and ROSv, or  $\text{nmol min}^{-1} \text{m}^{-3}$  for total OP-DTTv) or per mass of PM ( $\text{pmol } \mu\text{g}^{-1}$  for EPFRm and ROSm, or  $\text{nmol min}^{-1} \mu\text{g}^{-1}$  for OP-DTTm). Frequency size distributions of EPFRs, ROS formation, and OP were calculated by

dividing the size distribution with the sum of activities from all MOUDI stages. Note that frequency distributions are independent of ambient levels that can vary substantially among different sites and sampling times.

The hourly mass concentrations of  $PM_1$  and  $PM_{10}$  for the urban site were obtained from the Purple Air real-time air quality data (<https://www2.purpleair.com/>) from sensors located within 0.5 kilometers of the sampling location (UCI buildings Aldrich Hall for urban samples; the Multipurpose Science and Technology Building for wildfire samples). The mass concentration of  $PM_{1-10}$  was obtained by subtracting the mass concentration of  $PM_1$  from  $PM_{10}$ . The PM mass concentrations during sampling periods were integrated and used to normalize the data of EPFRs, ROS, and total OP-DTT. Note that particles smaller than 56 nm were not collected, but they only represent a minor fraction of the mass. The Purple Air data were not available at the highway sites.

## ROS DETECTION AND QUANTIFICATION

### EPR Spectroscopy

Radical forms of ROS (i.e.,  $\cdot\text{OH}$ , superoxide,  $\text{R}\cdot$  and  $\text{RO}\cdot$ ) were detected using a continuous wave EPR spectrometer (Bruker) deployed in the lab at UCI. EPR spectroscopy is based on the Zeeman effect, occurring when unpaired electrons are immersed in a magnetic field, which splits the energy levels of the electrons into two or more levels. The electrons with the same direction of the magnetic field have a lower energy than the electrons with the opposite direction. In these conditions, the sample can absorb energy from a microwave radiation. Upon absorption, electrons have enough energy to flip their direction against the magnetic field. The absorption spectrum of a paramagnetic compound is recorded by irradiating the sample with a fixed microwave frequency radiation and sweeping the magnetic field. The absorption takes place when the gap between the energy levels matches the energy of the microwave radiation.

The investigators successfully conducted measurements using X-band microwave frequencies of  $\sim 9.8$  GHz (Arangio et al. 2016; Tong et al. 2016). EPR spectra were recorded at a room temperature by setting the following typical operating parameters: a modulation frequency of 100 kHz; a microwave frequency of 9.8 GHz; a microwave power of 2.149 mW (20 db); a modulation amplitude of 1.0 G; a sweep width of 110.0 G; a sweep time of 175 seconds; a receiver gain of 40 db; a time constant of 40.96 milliseconds; a conversion time of 160 milliseconds; and a scan number of 6. Paramagnetic species are characterized based on their  $g$ -factor values. Free electrons have a  $g$ -factor value of 2.0023 and organic radicals have higher  $g$ -factor values (2.0030–2.0060), depending on the number of oxygen atoms in the molecule (Dellinger et al. 2007; Pedersen 1985).

EPR can detect and quantify relatively stable radicals, such as ascorbate radicals ( $\text{Asc}\cdot^-$ ) (Buettnner and Jurkiewicz 1993), that may be generated upon electron-transfer reactions of anti-oxidants and semiquinone radicals contained in naphthalene SOA (McWhinney et al. 2013). EPR can also detect and quantify short-lived reactive radicals by applying a spin-trapping technique. A spin trap is a molecule that reacts preferentially with specific radicals (i.e.,  $\cdot\text{OH}$ , superoxide, organic radicals etc.). Addition of a spin-trapping agent results in reaction of spin traps with specific short-lived radicals, forming stable radicals that can be directly detected by EPR. In this project, we used  $\cdot\text{OH}$  (5-*tert*-butoxycarbonyl-5-methyl-1-pyrroline-N-oxide) (high purity, Enzo Life Sciences GmbH), which are very efficient in trapping for  $\cdot\text{OH}$ ,  $\cdot\text{O}_2^-$ , and organic radicals (Tong et al. 2016; Zhao et al. 2001).

For quantification and determination of the relative abundance of  $\cdot\text{OH}$ ,  $\cdot\text{O}_2^-$ ,  $\text{R}\cdot$ , and  $\text{RO}\cdot$ , EPR spectra were fit and simulated using Xenon and the Matlab-based computational package Easyspin (Stoll and Schweiger 2006). Four types of radicals were used to fit the spectra: BMPO-OH (hyperfine coupling constants of  $a^N = 14.3$  G,  $a^H_\beta = 12.7$  G,  $a^H = 0.61$  G); BMPO-OOH ( $a^N = 14.3$  G,  $a^H = 8.1$  G); BMPO-R ( $a^N = 15.2$  G,  $a^H = 21.6$  G); and BMPO-OR ( $a^N = 14.5$  G,  $a^H_\beta = 16.6$  G). The deconvolution of spectra allows us to estimate the relative contribution of four types of ROS.

The spin-counting method embedded in the Bruker software, Xenon, was used to quantify detected radicals. In this method, the absolute amount of free radicals was directly quantified with the spin-counting theory with careful calibration of an EPR resonator. Spin concentrations were calculated using the spin-counting method (Eaton et al. 2010), which we had successfully applied in our previous studies (Arangio et al. 2016; Tong et al. 2016). The detection limit of radicals by EPR is  $\sim 1 \times 10^{10}$  spins  $\mu\text{g}^{-1}$  (the number of spins or radicals per  $\mu\text{g}$  of particle mass).

### Diogenes Chemiluminescence

A Diogenes chemiluminescence assay combined with EPR spectroscopy was applied to quantify cellular and chemical  $\cdot\text{O}_2^-$  production with and without SOD pretreatments. The Diogenes chemiluminescence assay is suitable for monitoring cellular  $\cdot\text{O}_2^-$  production kinetics during exposure, as Diogenes is a very sensitive  $\cdot\text{O}_2^-$  chemiluminescence enhancer that is non-denaturing to living cells (Yamazaki et al. 2011). A Diogenes probe reacts with  $\cdot\text{O}_2^-$  to emit flash chemiluminescence signal that is proportional to the  $\cdot\text{O}_2^-$  production rate. The output of the Diogenes chemiluminescence is in relative light units (RLU). To convert RLU to  $\cdot\text{O}_2^-$  production rates, the Diogenes chemiluminescence assay was calibrated with an EPR spectrometer coupled with a spin-probe technique using the standardized cell-free  $\cdot\text{O}_2^-$  generation system — the hypoxanthine (HX) and XO system. With the catalysis of XO, HX is oxidized to xanthine, which is then oxidized to uric acid. This oxidation process produces electrons that are passed to  $\text{O}_2$  to produce  $\cdot\text{O}_2^-$  (Fridovich 1970; McCord 1985).

A spin-probe molecule CMH (1-hydroxy-3-methoxycarbonyl-2,2,5,5-tetramethylpyrrolidine) was used to react with  $\cdot\text{O}_2^-$  to form EPR-detectable nitroxides radical  $\text{CM}\cdot$  that has a characteristic three-peak EPR spectra (Dikalov et al. 2011).  $\text{CM}\cdot$  is stable over time and thus it can be used to calculate the  $\cdot\text{O}_2^-$  production rate. Instrument parameters for EPR spectra acquisition were set to the following: a microwave frequency of 9.652 GHz; a modulation frequency of 100 kHz; a modulation amplitude of 1 G; a center field of 3,520 G; a sweep width of 80 G; a microwave power of 20 mW; a number of scans of 5; and a receiver gain of 30 dB. Concentrations of  $\cdot\text{O}_2^-$  were obtained by simulating the  $\text{CM}\cdot$  spectra and counting the total number of spins using the SpinFit and SpinCount modules embedded in the Bruker Xenon software.

The concentration of HX was fixed at 250  $\mu\text{M}$ , and XO was varied in the range of 0–0.6  $\text{mU mL}^{-1}$  (U as the enzyme unit in  $\mu\text{mol min}^{-1}$ ). First, XO and the probe reagent (either 50  $\mu\text{L}$  Diogenes or 15  $\mu\text{L}$  3 mM CMH) were mixed in incomplete media, yielding negligible chemiluminescence or  $\text{CM}\cdot$  signal. Next, HX was added to initiate the reaction in a final volume of 200  $\mu\text{L}$ . Chemiluminescence and EPR measurements were then carried out with 1.5 to 7 minutes of reaction. Pretreatments with SOD diminished the signals to the background levels, confirming that the enhanced signals were due to the presence of  $\cdot\text{O}_2^-$ .  $\cdot\text{O}_2^-$  production rates calculated from the EPR spin-probe method show a linear relationship with RLU from chemiluminescence ( $R^2 = 0.993$ ). Therefore, the slope from the linear regression was used to convert the Diogenes chemiluminescence data to  $\cdot\text{O}_2^-$  production rate with units of  $\mu\text{M min}^{-1}$ .

For cellular  $\cdot\text{O}_2^-$  measurements, after cells were cultured in 96-well plates, the media was gently removed and 50  $\mu\text{L}$  of the Diogenes probe made in sterile water was added to each well and incubated for 2 minutes. Then 100  $\mu\text{L}$  of incomplete media (for SOD-pretreated wells, 85  $\mu\text{L}$  of incomplete media and 15  $\mu\text{L}$  1,250  $\text{U mL}^{-1}$  SOD in PBS buffer were added instead) and 50  $\mu\text{L}$  of sample were added to start the exposure. For inhibitor experiments, 10  $\mu\text{L}$  of the inhibitor (roughly 100  $\mu\text{M}$  apocynin) was added before sample exposure. To prevent cross-well interferences, black sealing films (AbsorbMax, EXCEL Scientific, Inc.) were used to seal the bottom of the plates. Continuous luminescence measurements were conducted using the plate reader every 3 minutes for 4 hours to obtain the  $\cdot\text{O}_2^-$  production rate. The total  $\cdot\text{O}_2^-$  production was obtained by integrating the area under the curve from the kinetic of  $\cdot\text{O}_2^-$  release as a function of exposure time. Incomplete media and filter blanks were used as vehicle controls for 9,10-phenanthrenequinone (PQN) and SOA, respectively. The  $\cdot\text{O}_2^-$  production rate and total production from exposure to samples were corrected by subtracting those from controls. Cell-free wells were also used as *acellular controls* to capture any background signals, which were subtracted from the data with cells when necessary.

For quality control, we used PQN as the positive control and calibration using CMH to check the linearity of EPR deter-



mination of the CMH concentration. One well of DMEM cell culture media, one well of deionized water, and one filter blank with and without SOD pretreatment were run in each experiment. The measurements of chemical  $\cdot\text{O}_2^-$  production followed the same protocol as cellular  $\cdot\text{O}_2^-$  measurements, except cells were absent and the reaction time started when PQN or isoprene-derived SOA particles were dissolved or extracted in incomplete media (pH 7.4). Accounting for the time it took to prepare samples, chemical  $\cdot\text{O}_2^-$  measurements usually started at 15 minutes. As this delay may miss a significant portion of the generated ROS, kinetic modeling was applied to account for ROS generation in earlier times.

**Statistical Analyses** For Diogenes chemiluminescence data, for each dose, triplicate measurements were performed on a 96-well plate on the same experimental day along with vehicle and acellular controls that were used to correct experimental data. For every experiment, we included a positive control (either  $1.74 \mu\text{g mL}^{-1}$  PQN or  $1 \mu\text{M}$  phorbol 12-myristate 13-acetate [PMA]), vehicle control (incomplete media for PQN and filter blank for isoprene SOA), and acellular control (without the presence of cells). Experiments with some different doses were done on different days. One-way ANOVA followed by the Tukey post-hoc test on the total superoxide production from the same dose of PQN ( $1.74 \mu\text{g mL}^{-1}$ ), performed on different days, show that no significant differences were determined between the means of data ( $P > 0.1$ ). The time profile of superoxide production and total production are presented as the mean of the triplicate measurements, with error bars representing the uncertainties propagated from the standard deviations of triplicate measurements of samples and controls. For imaging, all data points for each sample were averaged from multiple cells ( $N > 10$ ). Results were analyzed by using unpaired student *t* tests. The results were considered statistically significant at  $P \leq 0.05$  for all exposure groups compared with control groups.

### Fluorometric $\text{H}_2\text{O}_2$ Assay

A modified protocol (Wei et al. 2019) was applied for the  $\text{H}_2\text{O}_2$  measurement using a fluorometric  $\text{H}_2\text{O}_2$  assay kit (MAK165, Sigma-Aldrich). 250  $\mu\text{L}$  DMSO and 1 mL assay buffer were added to the red peroxidase substrate and horseradish peroxidase for reconstitution, respectively. The reagents were divided into ten sets of aliquots (25  $\mu\text{L}$  red peroxidase substrate, 100  $\mu\text{L}$  horseradish peroxidase, and 2 mL assay buffer each). Prior to each analysis, one set of reagents was used to prepare 2 mL working solutions, consisting of 20  $\mu\text{L}$  red peroxidase substrate, 80  $\mu\text{L}$  horseradish peroxidase, and 1.9 mL assay buffer. All  $\text{H}_2\text{O}_2$  measurements were conducted within 2 hours of the preparation of working solutions, due to the high instability of the probe. A calibration was performed using  $\text{H}_2\text{O}_2$  standards concentrations ranging from

0.05–1.5 M, which were prepared by diluting 30 wt%  $\text{H}_2\text{O}_2$  (Sigma-Aldrich). The reaction vials (3 mL) consisted of 2.94 mL solution (Milli-Q water + filter extracts) and 60  $\mu\text{L}$  working solution. The  $\text{H}_2\text{O}_2$  yields from different SOA samples varied significantly, and dilution factors were adjusted so that the final  $\text{H}_2\text{O}_2$  concentrations in the reaction vial were below 1.5 M. All  $\text{H}_2\text{O}_2$  measurements were conducted with a filter blank that used the same dilution factor as the samples. The addition of working solution was considered to be the start of the reaction, and the reaction vials were incubated at room temperature for 15 minutes until the measurement. The fluorescence of the reagents was measured by a spectrofluorometer (RF-6000, Shimadzu) at excitation and emission wavelengths of 540 and 590 nm, respectively.

### Oxidative Potential by the DTT Assay

Two circular punches from each filter sample were extracted in 7 mL of Millipore water for 8–10 minutes using the vortex mixer. The DTT assay was conducted on the extracts and the filter using the DTT protocol of Gao and colleagues (2017). The measured total DTT activities include the contributions from both the water-soluble and water-insoluble fractions. In brief, 7 mL of the extracts and the filter were incubated at  $37^\circ\text{C}$  with 2 mL potassium phosphate buffer and 1 mL DTT (1 mM) and were shaken continuously in a ThermoMixer (Eppendorf North America, Inc.). At specified time points (5, 10, 15, 20, 25 min), a small aliquot (100  $\mu\text{L}$ ) of the incubated mixture was withdrawn and mixed with 1 mL trichloroacetic acid to quench the consumption of DTT. The quenched mixture was further mixed with 2 mL Tris buffer (0.08 M with 4 mM EDTA [ethylenediaminetetraacetate]) and 0.5 mL of DTNB (0.2 mM, 5,5'-dithiobis-(2-nitrobenzoic acid)). 1 mL of this mixture was diluted with 9.5 mL of water and filtered using a 0.22  $\mu\text{m}$  pore syringe filter (Millex). The filtered solution was immediately measured for absorbance at 412 nm and 700 nm wavelength using the Liquid Waveguide Capillary Cell with an optical path length of 100 cm (World Precision Instruments, Inc.) coupled to the ultraviolet-visible spectrophotometer (DH-MINI, Ocean Optics, Inc.) and the multiwavelength light detector (FLAME-T-UV-VIS-ES, Ocean Optics, Inc.). The total DTT activities were calculated from the linear regression of absorbance versus time and presented as the total DTT activities per volume of air (total DTTv,  $\text{pmol min}^{-1} \text{m}^{-3}$ ) and per mass of  $\text{PM}_{2.5}$  (total DTTm,  $\text{pmol min}^{-1} \mu\text{g}^{-1}$ ) (Fang et al. 2015). For quality control, we used PQN as the positive control. A 5 mM solution of PQN is made in DMSO, which is then serially diluted to a final concentration of 40.8 ng/mL in deionized water. The standard is used in the same amount as the sample (0.7 mL). The OP value must have a coefficient of variation less than 15%; otherwise, the experiment was repeated.

## CELL CULTURE AND EXPOSURE

### Cell Culture

We chose RAW 264.7 macrophages, as they are a widely applied and established macrophage cell line for studying the oxidative stress responses of macrophages (Forman and Torres 2002; Franzi et al. 2011; He et al. 2017; Hiura et al. 1999; Li et al. 2003). Macrophage cells (ATCC TIB-71) were obtained and passaged in complete media (DMEM media supplemented with 10% FBS and 1% antimycotic/ampicillin) until >80% confluent. Cells were then seeded at a density of  $4 \times 10^4$  cells/mL with 200  $\mu$ L per well into 96-well plates (Corning) and incubated at 37°C and 5% CO<sub>2</sub> in an incubator for about 2 hours for cells to fully adhere to the bottom of the culture plate.

### Cytotoxicity

Cell cytotoxicity was measured using the CellTox Green Cytotoxicity Assay, which measures the changes in membrane integrity that may occur as a result of cell death. In brief, after cells were cultured in 96-well plates, complete media was replaced by 100  $\mu$ L incomplete media and 50  $\mu$ L CellTox Green reagent for each well. The CellTox Green reagent was made according to the package directions. To facilitate the dye binding to DNA, cells were incubated in dark for at least 15 minutes before 50  $\mu$ L of each sample was added to initiate exposure. Fluorescence signals were then measured with a Microplate Reader (Promega, GloMax) for 4 hours with excitation and emission wavelengths of 475 nm and 525 nm, respectively. The fluorescence signals were given as RLUs.

## FLUORESCENCE LIFETIME IMAGING

### Phasor Approach to FLIM

The Phasor-FLIM imaging technique was applied with NADPH oxidase activator, PMA, and inhibitor, apocynin, to study the NADPH oxidase activities as a potential mechanism of cellular  $\cdot\text{O}_2^-$  release. The Phasor-FLIM has been previously used to study cellular metabolism in great details (Digman et al. 2008; Ranjit et al. 2019; Stringari et al. 2012; Weber 1981). FLIM measures the time a fluorophore spends during an excited state before emitting a photon and returning to the ground state, which can vary from picoseconds to hundreds of nanoseconds. Lifetime measurements take advantage of absolute measurements that are independent of probe concentration, absorption, or luminescence intensity. Furthermore, the phasor approach has a robust computation analysis and provides an additional dimension to fluorescence data mapping. The phasor approach takes in fluorescence decay intensity from FLIM and Fourier-transforms either the multi- or mono-exponential lifetime into phasor plots on a  $g$ - $s$  coordinate.

The NADPH oxidase complex is an important source of  $\cdot\text{O}_2^-$  in phagocytosis as activated by bacterial products and cytokines (Bedard and Krause 2007). It should be noted that NADH (nicotinamide adenine dinucleotide) has identical

fluorescence properties with NADPH (Rehman et al. 2017); thus FLIM cannot differentiate NADH and NADPH, and we denote the FLIM signals as NAD(P)H. Note that NADH reacts with O<sub>2</sub> to produce either water or H<sub>2</sub>O<sub>2</sub> (Yang and Ma 2007), or produces significantly lower  $\cdot\text{O}_2^-$  than NADPH (Li and Shah 2001). Combining with the experiments conducted using NADPH oxidase activator PMA (Nguyen et al. 2017) and inhibitor apocynin (El-Sawalhi and Ahmed 2014), we were able to use the Phasor-FLIM method to study the NADPH oxidase activities. NAD(P)H expresses in two forms inside cells, bound and free states. Each state has its own fluorescence lifetime after laser excitation, reflecting differences in structure. Binding to proteins or enzymes causes the NAD(P)H structure to extend, disrupting the  $\pi$ - $\pi$  interaction and increasing the fluorescence lifetime. Since the oxidized NAD(P)<sup>+</sup> does not emit auto-fluorescence, in contrast to NAD(P)H, the conversion of NAD(P)H to NAD(P)<sup>+</sup> will reduce the fraction of bound NAD(P)H and cause a shift from the bound to the free end of the NAD(P)H free-bound trajectory (Pate et al. 2014; Stringari et al. 2011). The benefits of this method are multifold; it shows cell morphology, indicates the localization of NADPH oxidase activities, and provides time-dependent cellular responses without the addition of labeling probes that may interfere with samples or cellular responses from samples.

For FLIM imaging, RAW 264.7 macrophage cells were seeded at a density of  $4 \times 10^4$  cells mL<sup>-1</sup> and incubated overnight before exposure to PQN and isoprene-derived SOA for imaging. PQN and SOA in media in the absence of cells produced low fluorescence background signals. Cells were imaged at 37°C and 5% CO<sub>2</sub> environment before exposure and at different time points after the addition of samples. FLIM images were acquired with a Zeiss LSM710 META laser scanning microscope coupled to a 2-photon Ti:Sapphire laser (Mai Tai, Spectra Physics, Newport Beach, CA) for excitation at 740 nm using a 40 $\times$  water-immersion objective (1.2 NA; Zeiss), and a photomultiplier tube (H7422P-40; Hamamatsu) for detection. An ISS A320 FastFLIM box was used to collect the fluorescence decay, and data were Fourier transformed into  $g$  and  $s$  coordinates using the SimFCS software (Globals Software-G-SOFT LLC., Irvine UCI-CA) in the phasor approach. 20 frames of images were collected for each time point. The bound NAD(P)H fraction of each macrophage cell can be obtained according to its relative location on the phasor plot. Because NAD(P)H mainly resides in cell membranes and cytoplasm (Panday et al. 2015) and that the fluorescence lifetimes of nuclei do not change significantly before and after sample exposure, the nuclei were cropped out, that is, only the fluorescence signals from the cell membranes and cytoplasm were included in calculating the bound NAD(P)H fractions. The bound NAD(P)H fractions from all data points for each sample were averaged from multiple cells ( $N = 12$ – $23$ ). PQN exhibited an unusually long lifetime distribution and relatively higher photon counts compared with other samples (over maximum 1  $\mu$ s) in that the phasors from PQN fell outside of the NAD(P)H free-bound trajectory,

while those from the nuclei remained on the trajectory. This abnormal long lifetime was likely caused by the delayed emission of phosphorescence from triplets of PQN (Togashi and Nicodem 2004). The phosphorescence lifetime of aromatic ketones in a triplet state is known to be in the range of milliseconds for transitions forbidden by structure symmetry in the condition of low oxygen quenching. We verified the emission of phosphorescence from PQN using hyperspectral imaging. Before exposure, the cells' emission spectra exhibited a peak within a wavelength range of 450–525 nm, which is consistent with NAD(P)H emission wavelengths. After the same cells were exposed to PQN for 30 minutes, we observed significant increases in the intensity below 450 nm, likely due to the emission of phosphorescence from PQN. To avoid the lifetime of phosphorescence interfering with the NAD(P)H fluorescence lifetime, we used PBS buffer to wash cells after 10 minutes of incubation. After replacing with fresh incomplete media, cells were loaded back to the FLIM system for imaging. With this method, the lifetime phasors of PQN fell onto the NAD(P)H free-bound trajectory.

### FLIM-Laurdan

The FLIM-Laurdan imaging technique was used to study the effect of  $\cdot\text{O}_2^-$  on cell membrane fluidity as a result of lipid peroxidation (Golfetto et al. 2013). Laurdan (6-dodecyl-2-dimethylaminonaphthalene) is a fluorescent membrane marker used to investigate membrane fluidity. This solvatochromic probe has the ability to attach in different depths and orientation of lipid bilayers and shift its spectral emission maximum upon hydrophobic environmental change. When Laurdan is in a hydrophobic environment, it has two excited states, the locally excited state, which is intrinsic to the fluorophore, and an internal charge transfer state created by a larger dipole moment. This polar relaxation causes water molecules in more hydrophilic environments to reorient to the same orientation of Laurdan's dipole that causes energy loss. According to former works done by the Laboratory of Fluorescence Dynamics, Laurdan lifetime in the blue channel decreases when the probe is in a more hydrophobic environment (Golfetto et al. 2013). Therefore, shorter Laurdan fluorescence lifetimes correspond to increases in membrane fluidity due to lipid peroxidation. We measured the difference of Laurdan lifetime, which does not require the need of fluorescence intensity. Lifetime imaging is a relatively more stable approach since it is independent of dye concentration and also provides local changes upon the cell membrane. The dipolar relaxation effect of Laurdan is found to cause quenching of lifetime in both blue and green channels, only that the green channel does not shift along the phasor plot universal circle. Hence, we used a 460/80 nm cubical filter to collect only blue emission spectra and project phasor points onto the universal circle to acquire absolute lifetime values. After seeding cells in an 8-well plate, complete media was replaced with incomplete media, and Laurdan dye was added to each well to achieve a final concentration of 5  $\mu\text{M}$  30 minutes before exposure to control, PQN, PMA, and iso-

prene-derived SOA. After some period of exposure, the 8-well plate was loaded to the FLIM system for imaging at 800 nm.

### Third Harmonic Generation Imaging

The third harmonic generation (THG) imaging technique was applied to detect the accumulation of lipids inside the cells after exposure to PQN (Dvornikov et al. 2019). The excitation of the THG signal requires laser wavelengths of up to  $\sim 1,000$  nm, which conventional tunable titanium sapphire lasers could not achieve. The THG imaging was carried out in the deep imaging via emission recovery system from the Laboratory of Fluorescence Dynamics, which uses Spectra Physics Insight DS+ femtosecond laser tunable in the range of 68–1,300 nm. The actual focal depth difference was found to be  $\sim 0.75$  mm for a different wavelength excitation, where NAD(P)H is 740 nm and THG is 1,050 nm. The THG signal is generated at the interface between media with differences in third order nonlinear susceptibility, refractive index, and dispersion, and can be used to detect lipids. Note that THG microscopy is not affected by long lifetime phosphorescence from PQN.

## KINETIC MODELING

### Aqueous Kinetic Model

A kinetic model was developed for an aqueous environment and applied to simulate the simultaneous formation of  $\cdot\text{OH}$  and the superoxide family ( $\cdot\text{O}_2^-/\text{HO}_2\cdot$ ), that is, the superoxide radical and its conjugated acid ( $\text{HO}_2\cdot$ ), by aqueous reactions of SOA. The reactions include chemical reactions of SOA components, ROS coupling reactions, and radical-trapping reactions by BMPO. SOA chemistry includes decomposition of ROOH, which generates  $\cdot\text{OH}$  radicals (Tong et al. 2016, 2018),  $\cdot\text{OH}$  oxidation of primary and secondary alcohols ( $\text{R}_1\text{R}_2\text{CHOH}$ ) and a subsequent reaction with  $\text{O}_2$  to form  $\alpha$ -hydroxyperoxyl radicals ( $\text{R}_1\text{R}_2\text{C}(\text{O}_2)\text{OH}\cdot$ ), decomposition of  $\text{R}_1\text{R}_2\text{C}(\text{O}_2)\text{OH}\cdot$  to generate hydroperoxyl radical ( $\text{HO}_2\cdot$ ) (Bothe et al. 1978; Herrmann et al. 2005),  $\cdot\text{OH}$  oxidation of ROOH (Herrmann et al. 2005),  $\text{R}_1\text{R}_2\text{C}(\text{O}_2)\text{OH}\cdot$  and other SOA components, and  $\text{HO}_2\cdot$  termination of  $\text{R}_1\text{R}_2\text{C}(\text{O}_2)\text{OH}\cdot$ . Rate coefficients of decomposition of ROOH and  $\text{R}_1\text{R}_2\text{C}(\text{O}_2)\text{OH}\cdot$ , as well as H-abstraction of  $\text{R}_1\text{R}_2\text{CHOH}$ , were assumed to be independent of the structures of R groups ( $\text{R}_1$  and  $\text{R}_2$ ) contained among different SOA, representing a major model assumption. This assumption is in line with the CAPRAM 3.0 model (Herrmann et al. 2005), in which the rate constants of H-abstraction on alcohols vary within one order of magnitude regardless of carbon numbers and functionalities besides the hydroxy group. The radical composition profiles generated by different SOA are solely determined by the relative abundance of ROOH and  $\text{R}_1\text{R}_2\text{CHOH}$  groups in SOA. Potential variation of these rate constants depending on R structures can be partly translated into uncertainties in molar fractions of ROOH and  $\text{R}_1\text{R}_2\text{CHOH}$ . The rate coefficients of ROS coupling reactions were obtained from literature values and the unknown rate coefficients, and molar fractions of ROOH and  $\text{R}_1\text{R}_2\text{CHOH}$

contained in SOA were determined using the Monte Carlo genetic algorithm (MCGA) to reproduce experimental data (Berkemeier et al. 2017).

A kinetic model was also applied to simulate the radical formation by aqueous reactions of isoprene SOA with Fe<sup>2+</sup> in surrogate lung fluid (SLF) containing antioxidants (see Wei et al. 2021a for details). The reactions include radical chemistry involving SOA, ROS coupling, reactions of Fe ions, reactions involving antioxidants, and BMPO chemistry. The mechanisms of ·OH and ·O<sub>2</sub>/HO<sub>2</sub>· formation from SOA were explicitly addressed in our previous study (Wei et al. 2021b) with the addition of Fe<sup>2+</sup>-catalyzed ROOH decomposition (Goldstein and Meyerstein 1999; Tong et al. 2016). The RO-formation originates from Fe<sup>2+</sup>-catalyzed decomposition of organic peroxides (ROOR) and ROOH, which can be further converted to R· through isomerization (Gilbert et al. 1976), decomposition (Erben-Russ et al. 1987), and bimolecular reactions (Carrasquillo et al. 2015). Note that the rate coefficients involving SOA chemistry were assumed to be independent of the structures of R groups contained in isoprene SOA, representing a major model assumption. The rate coefficients of ROS coupling reactions were obtained from literature values. For Fe-oxidant interaction, we also consider potential impacts of Fe-citrate ligand on the rate coefficients as demonstrated by Gonzalez and colleagues (2017). The rate coefficients of antioxidants with radicals and Fe ions were also adopted from literature, except that the scavenging of R· and RO· by ascorbate was assumed to be a few orders of magnitude slower than that of ·OH and ·O<sub>2</sub>/HO<sub>2</sub>·. This is in line with the experimental results showing BMPO-OH and BMPO-OOH below the detection limit, in contrast to the significant formation of BMPO-R and BMPO-OR. The redox cycling of Fe<sup>3+</sup>/Fe<sup>2+</sup> by ascorbate is also considered. The unknown rate coefficients and molar fractions of ROOH, R<sub>1</sub>R<sub>2</sub>CHOH, and ROOR contained in isoprene SOA were determined using the MCGA to reproduce experimental data (Berkemeier et al. 2017). In the Monte Carlo search, input parameters were varied randomly within individual bounds: the boundaries of all reaction rate constants were generally constrained to within two or three orders of magnitude based on literature values. The uncertainties of the rate coefficients were obtained by running the MCGA numerous times (>100), among which 40 parameter sets were selected that reasonably captured the temporal trends of the experimental data.

### KM-SUB-ELF Model

KM-SUB-ELF treats mass transport and chemical reactions involving ROS, antioxidants, surfactants and redox-active components contained in PM (Lakey et al. 2016). The model contains over 50 reactions including Fenton and Fenton-like chemistry, redox cycling of quinones, Fe and Cu, and radical-radical reactions. Rate coefficients were set to literature values when available and unknown or uncertain rate coefficients had previously been optimized to reproduce measurements of H<sub>2</sub>O<sub>2</sub> and ·OH production by quinones, Fe, and Cu in surrogate ELF, which also showed that other transition metals such

as Mn, Co, V, Ni, Zn, Cd, and Cr do not induce significant ROS formation (Charrier and Anastasio 2011; Charrier et al. 2014). The model considers ROS formation by SOA derived from α- and β-pinene, isoprene, limonene, and ROS by quinones from naphthalene. Experimental studies showed that naphthalene SOA produce mainly H<sub>2</sub>O<sub>2</sub> and ·O<sub>2</sub>·, while α- and β-pinene, isoprene, and limonene SOA produce mainly ·OH and organic radicals via the decomposition of ROOH, which can be enhanced in the presence of iron ions via Fenton-like reactions (Tong et al. 2016, 2018). Organic hydroperoxides generated by multigenerational oxidation and autoxidation account for a substantial fraction of SOA (Docherty et al. 2005; Ehn et al. 2014). The ROS molar yields of SOA are based on those from α- and β-pinene, isoprene, and limonene SOA (Tong et al. 2016). The model includes a H<sub>2</sub>O<sub>2</sub> formation rate of 1.5 × 10<sup>9</sup> s<sup>-1</sup> per μg of SOA, which is based on a 0.06% mass yield of H<sub>2</sub>O<sub>2</sub> from terpene SOA at pH 7.5 (Lakey et al. 2016; Wang et al. 2011b) and a total ·OH molar yield of 0.1% from SOA (Tong et al. 2016).

For the modeling study, ambient concentrations of the different chemical species were used as inputs to a lung deposition model, which predicts concentrations of chemical species and OP in the ELF in different compartments of the human respiratory tract (ICRP 1994). Fang and colleagues (2017a) collected size-segregated ambient particles with aerodynamic diameters of 0.056–18 μm on filters at road-side and urban sites in Atlanta, GA, in spring 2016. They measured PM OP and chemical composition including organic carbon, brown carbon, and water-soluble metals. As quinones and SOA measurement were not available for these samples, their ambient concentrations were estimated from the organic carbon ambient concentration using conversion factors based on previous studies at the same urban site and during the same seasons (Verma et al. 2015b). In addition, they measured PM OP for water-soluble extracts of all samples by the DTT and ascorbic acid assays (OP<sup>DTT</sup> and OP<sup>AA</sup>) (Fang et al. 2017b). To evaluate contributions of HULIS to ROS formation in this study, we estimated HULIS concentrations in the PM<sub>2.5</sub> samples based on brown carbon measured by absorbance at the 365 nm wavelength with an UV-VIS spectrometer.

We modeled respiratory deposition for a person doing light work, assuming unit density spherical particles and nose-only steady breathing with a flow rate of 1.5 m<sup>3</sup> hr<sup>-1</sup>, a respiration frequency of 20 min<sup>-1</sup>, and a tidal volume of 1,250 cm<sup>3</sup>. Deposition efficiencies in different compartments of the extrathoracic, bronchial, and alveolar regions can be found in a previous study (Fang et al. 2017b). Concentrations of water-soluble Fe and Cu, SOA, and quinones deposited in the ELF for different compartments were calculated using the following two equations: (Lakey et al. 2016)

$$C_{i,j}^{ELF,k} = \frac{C_i^a \cdot DE_j^k \cdot F \cdot t \cdot N_A}{MW_i \cdot A^k \cdot D^k} \quad (1)$$

$$C_i^{ELF,k} = \sum_{j=1}^{10} C_{i,j}^{ELF,k} \quad (2)$$

where  $C_{i,j}^{ELF,k}$  is the concentration of species  $i$  (molecules  $\text{cm}^{-3}$ ) in particle size bin  $j$  that deposited in the ELF in respiratory compartment  $k$ ;  $C_i^a$  is the ambient concentration ( $\text{g m}^{-3}$ ) of chemical species  $i$ ;  $DE_j^k$  is the deposition efficiency;  $F$  is the breathing flow rate of  $1.5 \text{ m}^3 \text{ hr}^{-1}$  and  $t$  is the accumulation time of 1.5 hours (accumulation time chosen based on the range of time for particles to be removed by the immune system and metabolic activities [Ghio et al. 1998, 2006]);  $N_A$  is the Avogadro number;  $MW_i$  is the molecular weight of the species  $i$  ( $MW$  for ROS-generating quinones is  $183.18 \text{ g mol}^{-1}$ , obtained by taking the average value of the  $MW$  of phenanthrenequinone, 1,2-naphthoquinone, and 1,4-naphthoquinone;  $MW_{\text{SOA}}$  is assumed to be  $200 \text{ g mol}^{-1}$ ); and  $A^k$  and  $D^k$  are the ELF surface areas ( $\text{cm}^2$ ) and thickness (cm) in compartment  $k$ , respectively.  $C_{i,j}^{ELF,k}$  is then summed up for all size ranges to obtain the total concentration of species  $i$  in the ELF in compartment  $k$ , denoted as  $C_i^{ELF,k}$ .

For the  $\text{PM}_{2.5}$  sample, an average deposition efficiency of 0.384 and an ELF volume of 16.7 mL were used. OP measurements were inputted into the same equations (equations 1 and 2) to calculate the OP in the ELF, except that the Avogadro number and  $MW$  were excluded from the equations.

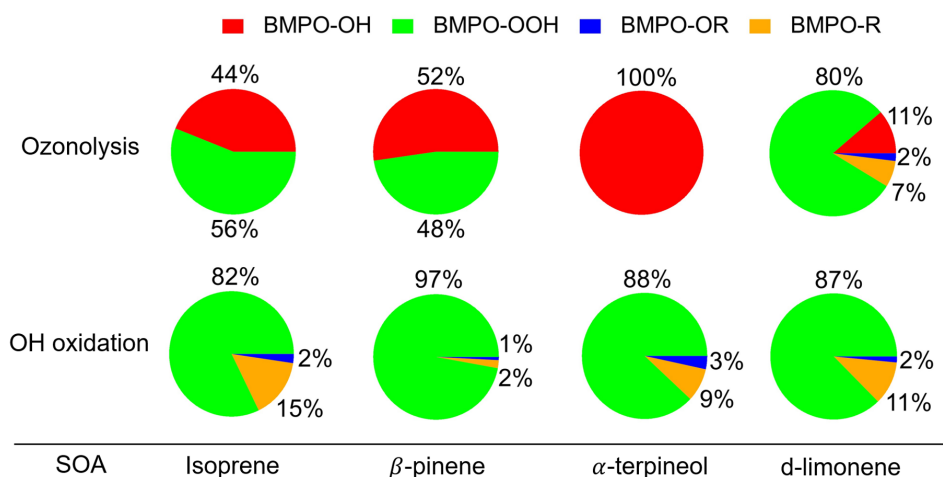
ELF concentrations of water-soluble Fe and Cu, SOA, and quinones were inputted into the KM-SUB-ELF model to predict the concentrations and production rates of ROS in different ELF regions for the size-segregated samples and in the whole tract for  $\text{PM}_{2.5}$  samples. ROS obtained from the model include  $\cdot\text{OH}$ ,  $\text{H}_2\text{O}_2$ , and the  $\cdot\text{O}_2^-$  family. We also applied KM-SUB-ELF to quantify the effects of  $\text{PM}_{2.5}$ ,  $\text{O}_3$ , and  $\text{NO}_2$  on ROS formation, interconversion, and reactivity, and discuss different chemical metrics for oxidative stress, such as cumulative production of ROS and  $\text{H}_2\text{O}_2$  to  $\cdot\text{OH}$  conversion (Lelieveld et al. 2021).

## RESULTS

### ROS FORMATION FROM AQUEOUS REACTIONS OF SOA

#### Superoxide Formation from SOA

Biogenic SOA were generated by both dark ozonolysis and  $\cdot\text{OH}$  photooxidation ( $\text{SOA}_{\text{O}_3}$  and  $\text{SOA}_{\text{OH}}$ ) of isoprene,  $\beta$ -pinene,  $\alpha$ -terpineol, and d-limonene. Particle water extracts were analyzed with EPR spectroscopy coupled with a spin-trapping technique for detection of free radicals. The observed spectra were simulated and deconvoluted into spectra for different BMPO adducts with radicals including  $\cdot\text{OH}$ ,  $\cdot\text{O}_2^-/\text{HO}_2^-$ , R $\cdot$ , and RO $\cdot$  (BMPO-OH, BMPO-OOH, BMPO-R, and BMPO-OR, respectively). Note that BMPO-OOH is generated by the trapping of either  $\cdot\text{O}_2^-$  or  $\text{HO}_2^-$ . Integration of deconvoluted spectra allows us to quantify contributions from each radical species. **Figure 3** shows quantifications of different types of radicals in water extracts of SOA generated by ozonolysis or  $\cdot\text{OH}$  oxidation of isoprene,  $\beta$ -pinene,  $\alpha$ -terpineol, and d-limonene. Relative yields of BMPO-OH of isoprene and  $\beta$ -pinene  $\text{SOA}_{\text{O}_3}$  after 20 min of reactions are 44% and 52%, respectively, both of which further increased to >70% after 2 hours. This is in very good agreement with a previous study that demonstrated major  $\cdot\text{OH}$  formation from biogenic SOA formed by ozonolysis (Tong et al. 2018).  $\alpha$ -terpineol  $\text{SOA}_{\text{O}_3}$  generates  $\cdot\text{OH}$  exclusively, while d-limonene  $\text{SOA}_{\text{O}_3}$  generates  $\cdot\text{O}_2^-/\text{HO}_2^-$  dominantly (80%) with minor contributions from  $\cdot\text{OH}$  (11%) and organic radicals (7%). In contrast to major  $\cdot\text{OH}$  formation by most of  $\text{SOA}_{\text{O}_3}$ , more than 80% of radical species generated from  $\text{SOA}_{\text{OH}}$  are in the form of  $\cdot\text{O}_2^-/\text{HO}_2^-$ . All types of  $\text{SOA}_{\text{OH}}$  are found to have a similar  $\cdot\text{O}_2^-/\text{HO}_2^-$  formation efficiency (molar concentration ratios of BMPO-radical adduct to SOA) of 0.018%–0.03%.

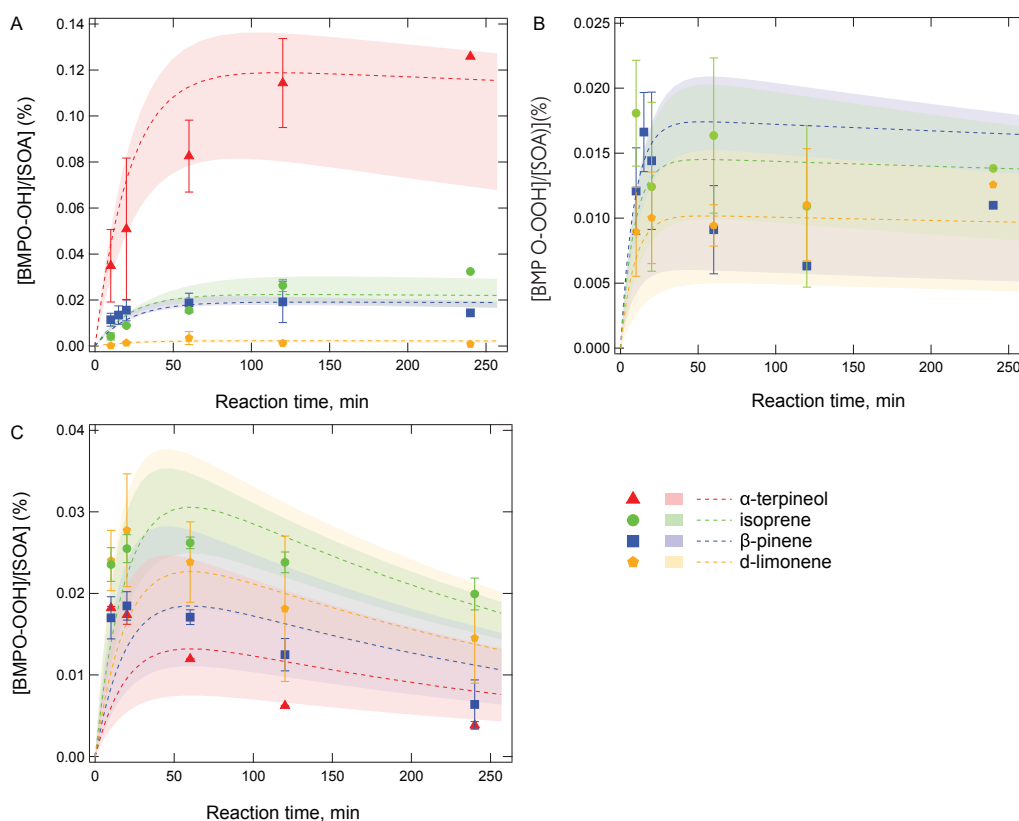


**Figure 3.** Relative yields of BMPO-radical adduct from aqueous reactions of SOA generated by dark ozonolysis ( $\text{SOA}_{\text{O}_3}$ ) versus  $\cdot\text{OH}$  photooxidation ( $\text{SOA}_{\text{OH}}$ ) of isoprene,  $\beta$ -pinene,  $\alpha$ -terpineol, and d-limonene. Reprinted with permission from Wei et al. 2021b. Copyright 2021 American Chemical Society.

The molar yields of  $\text{H}_2\text{O}_2$  from SOA were also quantified using a fluorometric  $\text{H}_2\text{O}_2$  assay. Isoprene SOA generally yields higher  $\text{H}_2\text{O}_2$  compared with other types of SOA. The  $\text{H}_2\text{O}_2$  yield from  $\beta$ -pinene  $\text{SOA}_{\text{O}_3}$  ( $1.8\% \pm 0.3\%$ ) is comparable with results of Wang and colleagues (2011b),  $1.3\% \pm 0.9\%$ , but around half of the amount reported by Tong and colleagues (2018), ( $3.2\% \pm 0.7\%$ ). Isoprene  $\text{SOA}_{\text{O}_3}$  generally produces higher  $\text{H}_2\text{O}_2$  ( $4.2\% \pm 0.7\%$ ) compared with  $\beta$ -pinene  $\text{SOA}_{\text{O}_3}$  ( $1.8\% \pm 0.3\%$ ), which is comparable with Tong and colleagues (2018), ( $8.0\% \pm 0.8\%$  for isoprene  $\text{SOA}_{\text{O}_3}$  and  $3.2\% \pm 0.7\%$  for  $\beta$ -pinene  $\text{SOA}_{\text{O}_3}$ ). For both oxidation systems with various precursors, the production of  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  is tightly correlated with  $\text{H}_2\text{O}_2$  formation, with  $R^2$  greater than 0.9, indicating that  $\cdot\text{O}_2^-$  is an important precursor of  $\text{H}_2\text{O}_2$ , or that  $\cdot\text{O}_2^-$  and  $\text{H}_2\text{O}_2$  have similar types of source compounds. For  $\beta$ -pinene,  $\alpha$ -terpineol, and d-limonene SOA, the  $\text{H}_2\text{O}_2$  yields from  $\text{SOA}_{\text{O}_3}$  are about one order of magnitude higher than those from  $\text{SOA}_{\text{OH}}$ . This is consistent with the reaction mechanism of ozonolysis, in which stabilized Criegee intermediates hydrolyze to form  $\alpha$ -hydroxyhydroperoxides that can readily decompose into carbonyls and  $\text{H}_2\text{O}_2$  (Hasson et al. 2001; Qiu et al. 2020a; Wang et al. 2011b). The distinct profiles of ROS composition by  $\text{SOA}_{\text{O}_3}$  and  $\text{SOA}_{\text{OH}}$  reflect

differences in chemical compositions and functionalities caused by different oxidation pathways. Monoterpene SOA from ozonolysis generally contains higher fractions of ROOR (12%–65%) compared with those from photooxidation (6%–18%) (Docherty et al. 2005; Mertes et al. 2012), partly because ROOH are decomposed with prolonged UV exposure (Badali et al. 2015; Epstein et al. 2014). As ROOH can be a primary source of  $\cdot\text{OH}$  radicals through decomposition (Tong et al. 2016, 2018), lower ROOH fractions should lead to minor contributions of  $\cdot\text{OH}$  formation for  $\text{SOA}_{\text{OH}}$ .

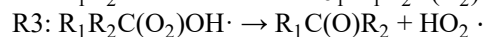
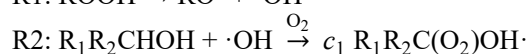
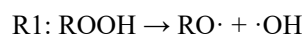
**Reaction Mechanism** The temporal evolution of  $\cdot\text{OH}$  and  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  formation from the aqueous reactions of SOA was measured. As shown in **Figure 4A**, the molar yields of BMPO-OH adducts from  $\text{SOA}_{\text{O}_3}$  increase over time to reach a steady state after approximately 2 hours. In contrast, the molar yields of BMPO-OOH adducts from  $\text{SOA}_{\text{O}_3}$  (except  $\alpha$ -terpineol  $\text{SOA}_{\text{O}_3}$ , which was below the detection limit) reach their maximum concentrations within a short period of time ( $<30$  min), followed by a slight decrease (Figure 4B). For  $\text{SOA}_{\text{OH}}$ ,  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  yields reach their maximum within 40 minutes but decrease gradually over 4 hours (Figure 4C). The BMPO-OH concentrations from all  $\text{SOA}_{\text{OH}}$  are below the detection limit. The highly distinct time-dependent profiles



**Figure 4. Temporal evolution of molar yields of A. BMPO-OH and B. BMPO-OOH adducts from aqueous reactions of SOA generated from dark ozonolysis ( $\text{SOA}_{\text{O}_3}$ ), and C. BMPO-OOH adducts from SOA generated from  $\cdot\text{OH}$  photooxidation ( $\text{SOA}_{\text{OH}}$ ) of  $\alpha$ -terpineol, isoprene,  $\beta$ -pinene, and d-limonene.** The markers and error bars are experimental data with one standard deviation. The dashed lines represent the best fits of kinetic model with the shaded area denoting the modeling uncertainties. The  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  formation from  $\alpha$ -terpineol  $\text{SOA}_{\text{O}_3}$  and  $\cdot\text{OH}$  formation from all  $\text{SOA}_{\text{OH}}$  are below detection limits. Reprinted with permission from Wei et al. 2021b. Copyright 2021 American Chemical Society.

of  $\cdot\text{OH}$  and  $\cdot\text{O}_2/\text{HO}_2\cdot$  formation leads to an interesting evolution of radical composition by SOA. For example, radical production from isoprene and  $\beta$ -pinene  $\text{SOA}_{\text{O}_3}$  is initially dominated by  $\cdot\text{O}_2/\text{HO}_2\cdot$ , while  $\cdot\text{OH}$  becomes dominant after 20 min. In comparison, d-limonene  $\text{SOA}_{\text{O}_3}$  and all types of  $\text{SOA}_{\text{OH}}$  are consistently dominated by  $\cdot\text{O}_2/\text{HO}_2\cdot$  (>70%) over 4 hours.

To further elaborate on the reaction kinetics and mechanism, a kinetic model was developed and applied to simulate the temporal evolution of  $\cdot\text{OH}$  and  $\cdot\text{O}_2/\text{HO}_2\cdot$  radicals. The following reactions were implemented into the kinetic model for  $\cdot\text{OH}$  and  $\cdot\text{O}_2/\text{HO}_2\cdot$  formation:



$\cdot\text{OH}$  can be generated from the first-order decay of ROOH (R1) (Chen et al. 2011a; Tong et al. 2016, 2018). Krapf and colleagues (2016) provided molecular evidence of the unimolecular decomposition of labile hydroperoxides in the condensed phase through the cleavage of the weaker O-O bond, which must lead to  $\cdot\text{OH}$  formation. In addition, direct  $\cdot\text{OH}$  formation has been observed from the decomposition of cumene hydroperoxide (Tong et al. 2016) (a common proxy of atmospheric ROOH) at room temperature, and a recent study (Tilgner and Herrmann 2018) demonstrated that the implementation of R1 in the aqueous chemistry model CAPRAM would account for an important source of aqueous  $\cdot\text{OH}$  formation from HOM. Note that  $\cdot\text{OH}$  formation in R1 results from the decomposition of ROOH without additional functionalities on the  $\alpha$ -carbon, as the decomposition of  $\alpha$ -hydroxyhydroperoxides leads to the formation of carbonyl and  $\text{H}_2\text{O}_2$  instead of to  $\cdot\text{OH}$  (Qiu et al. 2020a). The generated  $\cdot\text{OH}$  can abstract a hydrogen atom from  $\alpha$ -carbon of primary or secondary alcohols to form  $\alpha$ -hydroxyalkyl radicals ( $\text{R}_1\text{R}_2\text{C}(\text{OH})\cdot$ ) with a yield of  $c_1$ , which immediately combine with dissolved to form  $\alpha$ -hydroxyperoxy radicals ( $\text{R}_1\text{R}_2\text{C}(\text{O}_2)\text{OH}\cdot$ ) (R2). These radicals can subsequently undergo unimolecular decomposition to form  $\text{HO}_2\cdot$  (R3) (Bothe et al. 1978; Herrmann et al. 2005).

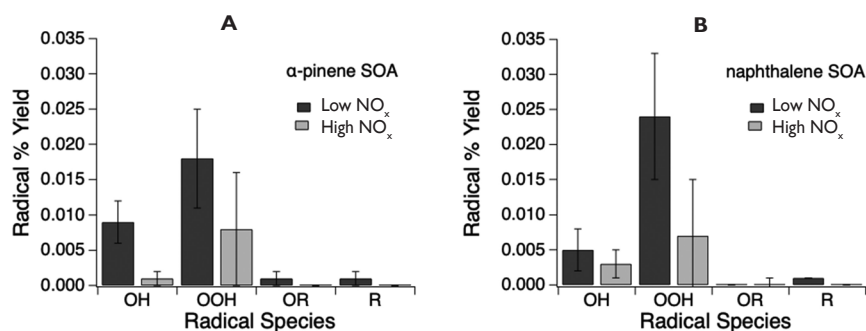
The kinetic model also considers a number of other reactions including  $\cdot\text{OH}$  loss via reactions with SOA components, ROS coupling reactions, radical trapping by BMPO, and decay of BMPO-radical adducts. Molar fractions of ROOH and  $\text{R}_1\text{R}_2\text{CHOH}$  contained in SOA were estimated using the MCGA to reproduce experimental data (Berkemeier et al. 2017). The decomposition rate of ROOH estimated in this study ( $[0.9 - 6.5] \times 10^{-5} \text{ s}^{-1}$ ) is in agreement with previous studies (Tong et al. 2016, 2017), and the lifetime of  $\alpha$ -hydroxyperoxy radicals (0.002–0.06 s) is also consistent with the aqueous chemistry model CAPRAM 3.0 (Herrmann et al. 2005). As shown in Figure 4, the modeling results show good agreement with measurements within modeling uncertainties, indicating that the above reaction mechanisms are plausible for  $\cdot\text{OH}$  and  $\cdot\text{O}_2/\text{HO}_2\cdot$

formation as they can explain the temporal evolution of distinct radical profiles depending on precursors and oxidation pathways.

The model-estimated molar fractions of ROOH in isoprene,  $\beta$ -pinene, and d-limonene  $\text{SOA}_{\text{O}_3}$  are 6%–25%, 7%–35%, and 2%–12%, respectively. These estimated ranges are reflected in uncertainties of model predictions, as indicated by the shaded areas in Figure 4. These estimated values are comparable with the peroxide mass fractions reported by previous studies for the same types of SOA (~30%, ~85%, ~2%, respectively) (Bateman et al. 2011; Docherty et al. 2005; Nguyen et al. 2010; Shiraiwa et al. 2017), assuming that the molar masses of peroxides and SOA components are the same. Note that the measured total peroxide contents include both ROOR and ROOH, which may explain the lower estimated fraction of ROOH in isoprene and  $\beta$ -pinene compared with values found in the literature. In addition, it may imply that some ROOH may be more stable and do not decompose within the timescale of the experiment, as has been observed for isoprene hydroxyl hydroperoxide in a recent study (Fang et al. 2020). Significantly higher fractions of ROOH are estimated in isoprene and  $\beta$ -pinene  $\text{SOA}_{\text{O}_3}$ , compared with their corresponding  $\text{SOA}_{\text{OH}}$  (3%–5% and 1%–3%), leading to the major contribution of  $\cdot\text{OH}$  formation in  $\text{SOA}_{\text{O}_3}$ . The molar fractions of  $\text{R}_1\text{R}_2\text{CH}(\text{OH})$  in d-limonene SOA are estimated to be higher compared with ROOH, contributing to the  $\cdot\text{O}_2/\text{HO}_2\cdot$ -dominated profile for both d-limonene  $\text{SOA}_{\text{O}_3}$  and  $\text{SOA}_{\text{OH}}$ . Significant amounts of  $\text{R}_1\text{R}_2\text{CH}(\text{OH})$  are predicted in most SOA (34%–74%). Primary and secondary alcohols are generated via multigenerational gas-phase oxidation as shown in a number of previous experimental and theoretical studies as summarized in review papers (Vereecken and Francisco 2012; Ziemann and Atkinson 2012).  $\alpha$ -terpineol  $\text{SOA}_{\text{O}_3}$  is estimated to contain a very small fraction of  $\text{R}_1\text{R}_2\text{CH}(\text{OH})$  (0.1%–1%), leading to suppression of the  $\cdot\text{O}_2/\text{HO}_2\cdot$  formation. This is likely caused by the specific position of hydroxy groups in  $\alpha$ -terpineol: tertiary alcohol without  $\alpha$ -H for abstraction and subsequently no formation of peroxy radicals. The predicted very low fraction of  $\text{R}_1\text{R}_2\text{CH}(\text{OH})$  in  $\alpha$ -terpineol  $\text{SOA}_{\text{O}_3}$  is consistent with previous experimental measurements (Leviss et al. 2016), showing that the primary products (>90%) from  $\alpha$ -terpineol ozonolysis only contain tertiary alcohols.

### Effects of $\text{NO}_x$ on ROS Formation from SOA

Naphthalene and  $\alpha$ -pinene SOA generated in the chamber were collected for analysis of ROS. SOA samples were extracted in a spin-trapping BMPO solution that was able to capture different types of radical species for detection with EPR spectroscopy. **Figure 5** shows that  $\alpha$ -pinene SOA generated under the  $\text{NO}_x$ -free condition mainly generates  $\cdot\text{OH}$  radicals and  $\cdot\text{O}_2/\text{HO}_2\cdot$ , which is consistent with our previous study (Wei et al. 2021b).  $\cdot\text{OH}$  radicals can be generated by the decomposition of ROOH (Tong et al. 2016), and superoxide can be formed by  $\cdot\text{OH}$  oxidation of primary and secondary alcohols and unimolecular decomposition of

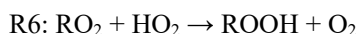
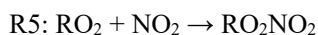


**Figure 5.** Molar yields of BMPO radical adducts (BMPO-OH, BMPO-OOH, BMPO-OR, and BMPO-R) generated from aqueous reactions of **A.**  $\alpha$ -pinene SOA and **B.** naphthalene SOA, generated with NO<sub>x</sub> concentrations of 0 and 700 ppb. Error bars represent one standard deviation of 5 replicate measurements. Adapted from Edwards et al. 2022.

$\alpha$ -hydroxyperoxy radicals (Wei et al. 2021b). Naphthalene SOA generated under the NO<sub>x</sub>-free condition shows the dominant superoxide formation, which is most likely generated via redox reactions of quinones (Tong et al. 2018). The formation of organic radicals is relatively minor for both SOA.

For SOA generated under high NO<sub>x</sub>, ROS production was diminished for both naphthalene and  $\alpha$ -pinene SOA. For  $\alpha$ -pinene SOA, the total radical yield decreased with  $\cdot$ OH, and superoxide formation decreased by a factor of  $\sim$ 10 and  $\sim$ 2, respectively. For naphthalene SOA, the total radical yield was reduced, with  $\cdot$ OH and superoxide reduction by a factor of  $\sim$ 1.5 and  $\sim$ 3, respectively. Given that the pH of SOA extracts were in the range of 3–6 and considering that the pKa of HO<sub>2</sub> $\cdot$  was 4.88, HO<sub>2</sub> $\cdot$  would be the dominant species as the pH approaches 3,  $\cdot$ O<sub>2</sub> $\cdot$  would be dominant at pH 6, and HO<sub>2</sub> $\cdot$  and  $\cdot$ O<sub>2</sub> $\cdot$  production would be similar around pH 5. SOA extracts were slightly more acidic for high NO<sub>x</sub> SOA compared with low NO<sub>x</sub> SOA; in any case, we expect both species HO<sub>2</sub> $\cdot$  and  $\cdot$ O<sub>2</sub> $\cdot$  to be present for this pH range. Note that our recent study showed that ROS production by  $\alpha$ -pinene and naphthalene SOA generated under NO<sub>x</sub> free would be higher at lower pH (Wei et al. 2022), but the influence of NO<sub>x</sub> has a greater effect on ROS yield than does the pH of the system. Acid may reduce the formation of semiquinone, which may contribute to the reduction of ROS formation.

Given that ROOH is an important source of ROS, we have quantified peroxides using an iodometric spectrophotometric method. We found that  $\alpha$ -pinene SOA generated with the NO<sub>x</sub>-free condition contains substantial amounts of peroxides, which decreased significantly by a factor of  $\sim$ 7 for SOA generated with 700 ppb NO<sub>x</sub>, while peroxide contents are comparable for naphthalene SOA for both NO<sub>x</sub> conditions. The fate and reaction pathways of peroxy radicals (RO<sub>2</sub> $\cdot$ ) are critical for SOA formation. There are competitions of NO<sub>x</sub> and HO<sub>2</sub> $\cdot$  to react with RO<sub>2</sub> $\cdot$  radicals leading to different products as follows:



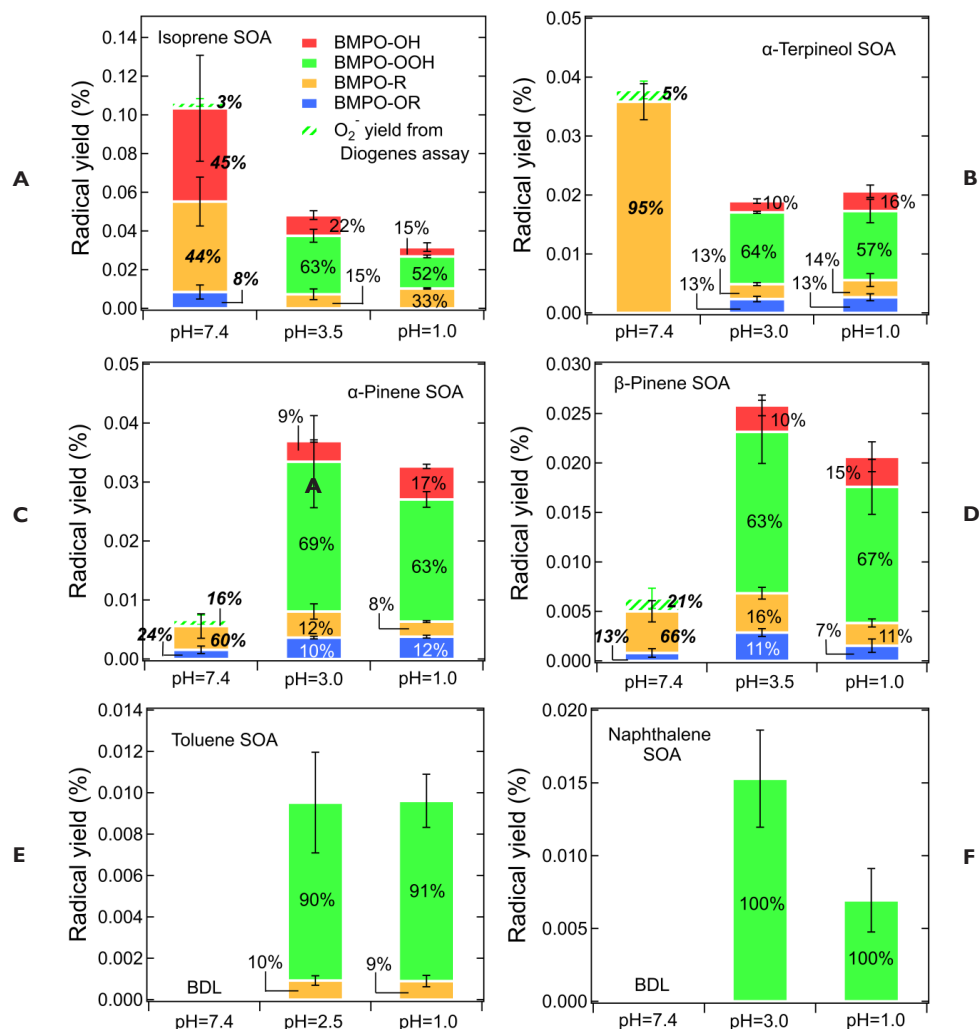
Under high NO<sub>x</sub> conditions, R4 and R5 dominate over R6; hence, ROOH is substantially reduced.

**High-Resolution Mass Spectrometry** High-resolution mass spectrometry shows that both naphthalene and  $\alpha$ -pinene SOA show significant incorporation of nitrogen at high NO<sub>x</sub> conditions. The addition of NO<sub>x</sub> during SOA formation has led to the formation of many nitrogen-containing compounds. While our mass spectrometry method does not provide individual functional group information, based on previous work we expect the nitrogen-containing groups in the naphthalene SOA to be mostly nitroaromatics (RNO<sub>2</sub>) and in the  $\alpha$ -pinene SOA to be organonitrates (RONO<sub>2</sub>). Both SOA types show monomer (>250 Da) and dimer (250–500 Da) regions. The  $\alpha$ -pinene SOA also shows the formation of a small trimer region above 500 Da. The major peaks in both naphthalene SOA conditions generally have formulas of C<sub>8–10</sub>H<sub>6–8</sub>O<sub>2–5</sub>. The major peaks in both  $\alpha$ -pinene SOA conditions have formulas of C<sub>8–10</sub>H<sub>12–16</sub>O<sub>2–5</sub>. For the  $\alpha$ -pinene SOA, there are also a few C<sub>7</sub> compounds that are abundant and less typical of previously reported  $\alpha$ -pinene SOA. These C<sub>7</sub> compounds may be a result of in-source fragmentation due to the spray voltage being too high during mass spectrometry analysis, particularly since this is not evident in the aromatic naphthalene SOA, which will be less susceptible to fragmentation. The high NO<sub>x</sub> environment saw the incorporation of nitrogen into the final product, forming an organic nitrate. In the complete product list, more organic nitrate compounds were present as well, agreeing with the aforementioned studies that show the formation of these compounds in a high NO<sub>x</sub> environment.

### Effects of pH on ROS Formation from SOA

Acidity is an important physicochemical property of atmospheric aerosols, but its effects on the ROS formation from SOA have been poorly characterized. By applying the EPR spin-trapping technique and the Diogenes chemiluminescence assay, we investigated radical yields and composition at differing pH in the range of 1–7.4 from SOA generated by oxidation of isoprene,  $\alpha$ -terpineol,  $\alpha$ -pinene,  $\beta$ -pinene, toluene, and naphthalene. The solid color bars in **Figure 6** show the relative abundance and BMPO-radical yields from





**Figure 6.** Yields and relative abundance of different radical species from A. isoprene SOA, B.  $\alpha$ -terpineol SOA, C.  $\alpha$ -pinene SOA, D.  $\beta$ -pinene SOA, E. toluene SOA, and F. naphthalene SOA at different pH in the presence of spin-trapping agent BMPO. The solid-colored bars represent BMPO-radical adducts measured by EPR, while the green dashed bars represent superoxide yields estimated from the Diogenes assay. Note the italic bold numbers at pH 7.4 are calculated combining the results of EPR and the Diogenes assay. The error bars represent the error propagation from the two duplicates in EPR measurements or the Diogenes assay with the uncertainty in SOA mass measurements. Adapted from Wei et al. 2022.

SOA generated from six different precursors. The BMPO-radical adduct yields from isoprene and  $\alpha$ -terpineol SOA at neutral pH are significantly enhanced to 0.10% and 0.035% from <0.05% and <0.02% at acidic conditions, respectively. Isoprene SOA at neutral pH generated substantial amounts of  $\cdot\text{OH}$  (45%) and  $\text{R}\cdot$  (44%) with a very minor contribution from  $\text{RO}\cdot$  (8%), while  $\alpha$ -terpineol SOA shows dominant R-formation at neutral pH (Figure 6A, B). In comparison, both isoprene and  $\alpha$ -terpineol SOA produced  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  (52%–64%) predominantly in acidic conditions, while  $\cdot\text{OH}$  (10%–22%) and  $\text{R}\cdot$  and  $\text{RO}\cdot$  (13%–33%) constituted only minor fractions, as consistent with our recent study (Wei et al. 2021a). It should be noted that the highly acidic condition (pH = 1.0) does not lead to notable differences in radical yields and

relative abundance compared with the original SOA extracts with moderately acidic conditions (pH = 3.0–3.5).

In addition, we characterized radical formation from  $\alpha$ -pinene,  $\beta$ -pinene, toluene, and naphthalene SOA (Figure 6 C–F) at differing pH.  $\alpha$ -pinene and  $\beta$ -pinene SOA (Figure 6C, D) showed an inverse trend to isoprene and  $\alpha$ -terpineol SOA, with substantially lower BMPO-radical yields at neutral pH (<0.01%) compared with acidic conditions (0.02%–0.036%). At neutral pH,  $\alpha$ -pinene and  $\beta$ -pinene SOA mainly generated low amounts of  $\text{R}\cdot$  and  $\text{RO}\cdot$ , while the dominant formation of  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  (>60%) was observed under acidic conditions, similar to isoprene and  $\alpha$ -terpineol SOA. For aromatic (toluene and naphthalene) SOA (Figure 6E, F), we observed

dominant superoxide formation (90%–100%) in acidic solutions, whereas no radicals above detection limit were found at neutral pH.

An interesting result as observed from Figure 6 is that no BMPO-OOH (green solid bars) was detected at pH 7.4 for all SOA, raising a question if the EPR spin-trap method with BMPO can detect superoxide efficiently at neutral pH. Given the pKa of  $\text{HO}_2\cdot$  (4.88), the predominant form of superoxide in acidic conditions (pH 3.0 and 1.0) should be  $\text{HO}_2\cdot$ , whereas it is  $\cdot\text{O}_2^-$  at neutral pH (Gutteridge 1995). It has been reported that a nitron spin trap can react with  $\text{HO}_2\cdot$  very efficiently (e.g.,  $\text{BMPO} + \text{HO}_2\cdot \rightarrow \text{BMPO-OOH}$ ), while the trapping of  $\cdot\text{O}_2^-$  is a two-step process via an initial addition of  $\cdot\text{O}_2^-$  to BMPO to form the  $\text{BMPO-O}_2^-$  adduct followed by protonation by water (or other acidic sources) to form  $\text{BMPO-OOH}$  (Villamena et al. 2005). As a consequence, the overall rate of  $\cdot\text{O}_2^-$  trapping in neutral conditions can be an order of magnitude slower compared with  $\text{HO}_2\cdot$  trapping in acidic conditions (Tsai et al. 2003). Hence, we applied the Diogenes chemiluminescence assay, which is more sensitive in superoxide measurements at neutral pH. All biogenic SOA show positive superoxide production rates, varying from 0.005–0.013  $\mu\text{M min}^{-1}$ . In contrast, toluene and naphthalene SOA do not generate  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  above the detection limit, as consistent with the EPR spin-trap method (Figure 6E, F). Overall, the cross-validation by the Diogenes assay suggests that the superoxide yields at neutral pH may be underestimated by BMPO trapping. Therefore, we estimated the total superoxide production yields by the Diogenes assay at neutral pH, which are added as green dashed bars in Figure 6. For isoprene and  $\alpha$ -terpineol SOA, the additional  $\cdot\text{O}_2^-$  formation at pH 7.4 can further increase the enhancement factors compared with acidic conditions, while the radical yields from  $\alpha$ -pinene and  $\beta$ -pinene SOA are still much lower at neutral pH even after considering  $\cdot\text{O}_2^-$  formation. Both methods confirm that superoxide formation is below the detection limit from toluene and naphthalene SOA at pH 7.4, consolidating that aromatic SOA containing quinone-type compounds mediate redox cycling and  $\cdot\text{O}_2^-$  formation in a pH-dependent manner (Guin et al. 2011).

To better understand the pH effects on ROS formation mechanism from SOA, we measured radical formation from commercially available ROOH at differing pH. We found predominant  $\cdot\text{OH}$  formation (70%–90%) from 10 mM cumene hydroperoxide and *tert*-butyl hydroperoxides at neutral pH, with total radical yields up to 0.014% and 0.04%, respectively. The unimolecular decomposition of labile ROOH can lead to  $\cdot\text{OH}$  formation through the cleavage of the weaker O-O bond (Krapf et al. 2016; Tilgner and Herrmann 2018). In acidic solutions (pH 3.0 and 1.0), however, both ROOH generate much lower  $\cdot\text{OH}$  (radical yields <0.0009%). While the first-order decomposition of peroxides should be a thermal process depending on temperature instead of pH, it may be suppressed at higher acidity due to the acid-catalyzed rearrangement of alkyl hydroperoxides (Anderson and Smith 1968; Levin et al. 2006; Walling 1963). This alternative pathway would lead to alcohol and ketone formation as the end

products, involving no radical formation (Yaremenko et al. 2016). A similar mechanism has also been shown for aliphatic alkyl hydroperoxides including *tert*-butyl hydroperoxide (Deno et al. 1970). Therefore, it may partially account for the decreased radical formation by isoprene and  $\alpha$ -terpineol SOA at lower pH, although the complex and multifunctionalized nature of ROOH in SOA may not be accurately represented by cumene or *tert*-butyl hydroperoxides. The major contribution from  $\cdot\text{OH}$  by isoprene SOA may be due to its higher fraction of ROOH (3%–5%) compared with  $\alpha$ -terpineol SOA (1%–3%) (Wei et al. 2021b).

Quinones, often contained in aromatic SOA, are well known to induce superoxide formation: in the presence of an electron donor, quinones can be reduced to semiquinone radicals that can further react with dissolved  $\text{O}_2$  to form superoxide (Bates et al. 2019; McWhinney et al. 2013). The pH dependence of the quinone redox cycling has rarely been discussed in the context of ambient PM, so we measured radical formation in the mixture of 0.2 mM 5-hydroxy-1,4-naphthoquinone (5-H-1,4-NQ) and 0.2 mM ascorbate. Note that 5-H-1,4-NQ alone did not generate radicals above the detection limit. We found significantly higher superoxide production at lower pH. It has been demonstrated that the quinone–hydroquinone couple has a redox potential dependent on pH in a straightforward Nernstian manner (Guin et al. 2011), which follows that increasing pH causes a decrease in the redox potential (Walczak et al. 1997). This provides a thermodynamic explanation on favoring  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  formation through stronger quinone redox cycling in acidic conditions compared with neutral pH (Figure 6E, F). It has been shown that hydroquinones can be unstable at physiological pH, undergoing autoxidation to form semiquinone radicals and quinones with the concomitant generation of  $\cdot\text{O}_2^-$  and  $\text{H}_2\text{O}_2$  (Yuan et al. 2014). Further studies are necessary to evaluate the relevance of such pathways, especially for SOA generated from phenolics such as catechol and cresol (Hoffmann et al. 2018; Schwantes et al. 2017).

In addition to radicals, we characterized  $\text{H}_2\text{O}_2$  yields from all SOA at differing pH. Overall, higher  $\text{H}_2\text{O}_2$  yields are consistently observed for all SOA as pH decreases from 7.4 to 1.0, with the enhancement factors varying from 1.5 to 3. This is in good agreement with Wang and colleagues (2011b) who observed that  $\text{H}_2\text{O}_2$  generation by  $\alpha$ -pinene,  $\beta$ -pinene, and toluene SOA increased by a factor of 1.5, 2.4, and 1.75, respectively, when pH decreased from 7.5 to 3.5. Isoprene SOA shows significantly higher yields of  $\text{H}_2\text{O}_2$  (4.0%–6.6%), compared with other SOA (<2.0%) with the  $\text{H}_2\text{O}_2$  level (4.2%) in the original extract (pH 3.5), in excellent consistency with our previous study (4.3%  $\pm$  0.4%) (Wei et al. 2021b). Naphthalene SOA shows the second highest  $\text{H}_2\text{O}_2$  yields (1.4%–2.0%), which is comparable with Liu and colleagues (2020) (1.9%–2.5%). Qiu and colleagues (2020b) recently proposed that the decomposition of  $\alpha$ -hydroxyalkyl-hydroperoxides is a proton-catalyzed process associated with  $\text{H}_2\text{O}_2$  formation, which is a highly plausible mechanism accounting for the elevated  $\text{H}_2\text{O}_2$  yields from biogenic SOA. For toluene and

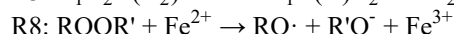
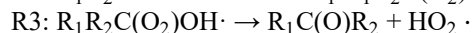
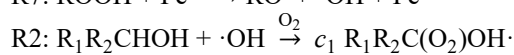
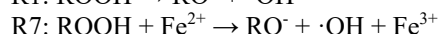
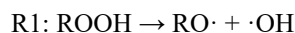
naphthalene SOA, the enhanced superoxide formation with higher acidity may subsequently lead to  $\text{H}_2\text{O}_2$  yields because  $\cdot\text{O}_2^-$  is known as an important precursor of  $\text{H}_2\text{O}_2$  (Hayyan et al. 2016). Given the low  $\cdot\text{O}_2^-$  formation but high  $\text{H}_2\text{O}_2$  yields from naphthalene SOA, additional  $\text{H}_2\text{O}_2$  sources could be important, including decomposition of hydroxyhydroperoxides (Liu et al. 2020), which may account for significant fractions in naphthalene SOA (Kautzman et al. 2010).

### Organic Radical Formation by SOA in ELF

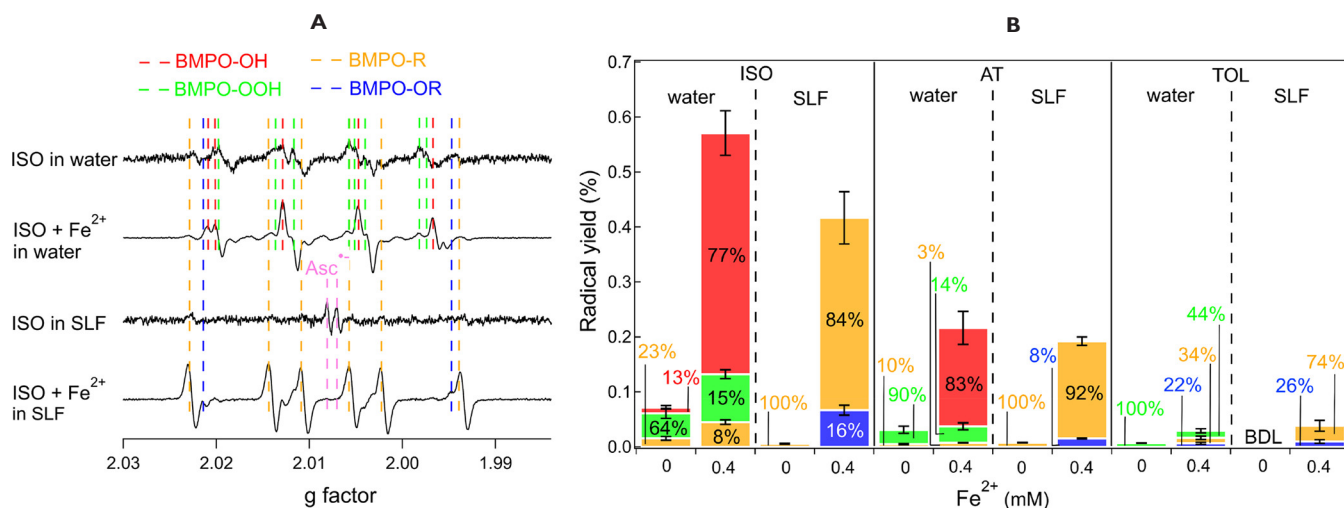
Respiratory deposition of SOA and iron may lead to the generation of ROS and free radicals in lung fluid to cause oxidative stress, but their underlying mechanism and formation kinetics are not well understood. In this work we demonstrate substantial formation of organic radicals in SLF by mixtures of  $\text{Fe}^{2+}$  and SOA generated from photooxidation of isoprene,  $\alpha$ -terpineol, and toluene. **Figure 7A** shows the observed EPR spectra of isoprene SOA in water and SLF in the absence of  $\text{Fe}^{2+}$  or in the presence of 0.4 mM  $\text{Fe}^{2+}$ . As shown in Figure 7B, we find striking enhancements in the observed total radical yields with  $\text{Fe}^{2+}$  addition in both water (from 0.07% to 0.57%) and SLF (from 0.005% to 0.42%) with large changes in radical composition. Isoprene SOA in water leads to the predominant formation of superoxide (64%) with a minor contribution from  $\cdot\text{OH}$  and  $\text{R}\cdot$ . Upon the addition of 0.4 mM  $\text{Fe}^{2+}$ , the radical profile becomes dominated by  $\cdot\text{OH}$  (77%) with contributions from  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  (15%) and  $\text{R}\cdot$  (8%). In the presence of antioxidants in SLF without  $\text{Fe}^{2+}$ , only  $\text{R}\cdot$  are observed, while a minor contribution from  $\text{RO}\cdot$  (16%) is also observed with 0.4 mM  $\text{Fe}^{2+}$ .  $\cdot\text{OH}$  and  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  radicals are not observed in SLF, indicating effective scavenging of these highly reactive species by antioxidants, which is consistent with the formation of  $\text{Asc}\cdot^-$  (Buettner and Jurkiewicz 1993) in Figure 7A.

In addition to isoprene SOA,  $\alpha$ -terpineol and toluene SOA are also characterized for the radical yields in water and SLF. In water,  $\alpha$ -terpineol and toluene SOA alone consistently generate radicals dominated by  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  (>90%). The total radical yields are elevated by a factor of 4–8 upon  $\text{Fe}^{2+}$  addition:  $\alpha$ -terpineol SOA exhibits dominant (83%)  $\cdot\text{OH}$  formation, which is similar to isoprene SOA (77%), while we observe no  $\cdot\text{OH}$  above the detection limit from toluene SOA +  $\text{Fe}^{2+}$  in water. In SLF, Figure 7B shows consistent enhancement effects by  $\text{Fe}^{2+}$  in organic radical formation, with radical yields increasing substantially from 0.008% to 0.19% for  $\alpha$ -terpineol SOA and from below the detection limit to 0.04% for toluene SOA, respectively.  $\text{R}\cdot$  are the dominant species for  $\alpha$ -terpineol (92%) and toluene (74%) SOA with minor contributions from  $\text{RO}\cdot$  (8% and 26%, respectively). Overall, we observe the highest radical yields and strongest enhancement effects of  $\text{Fe}^{2+}$  (by a factor up to ~80) from isoprene SOA, followed by  $\alpha$ -terpineol and toluene SOA.

To elucidate the chemical mechanisms of organic radical formation by SOA and  $\text{Fe}^{2+}$ , we developed and applied a kinetic model to simulate the temporal evolution of  $\text{R}\cdot$  and  $\text{RO}\cdot$  radicals. The following reactions were implemented into the kinetic model for radical formation from isoprene SOA and  $\text{Fe}^{2+}$  based on previous studies:



SOA contains ROOH, which can undergo thermal decomposition to yield  $\cdot\text{OH}$  radicals (R1), which can be drastically promoted by  $\text{Fe}^{2+}$  with the Fenton-like reaction leading to

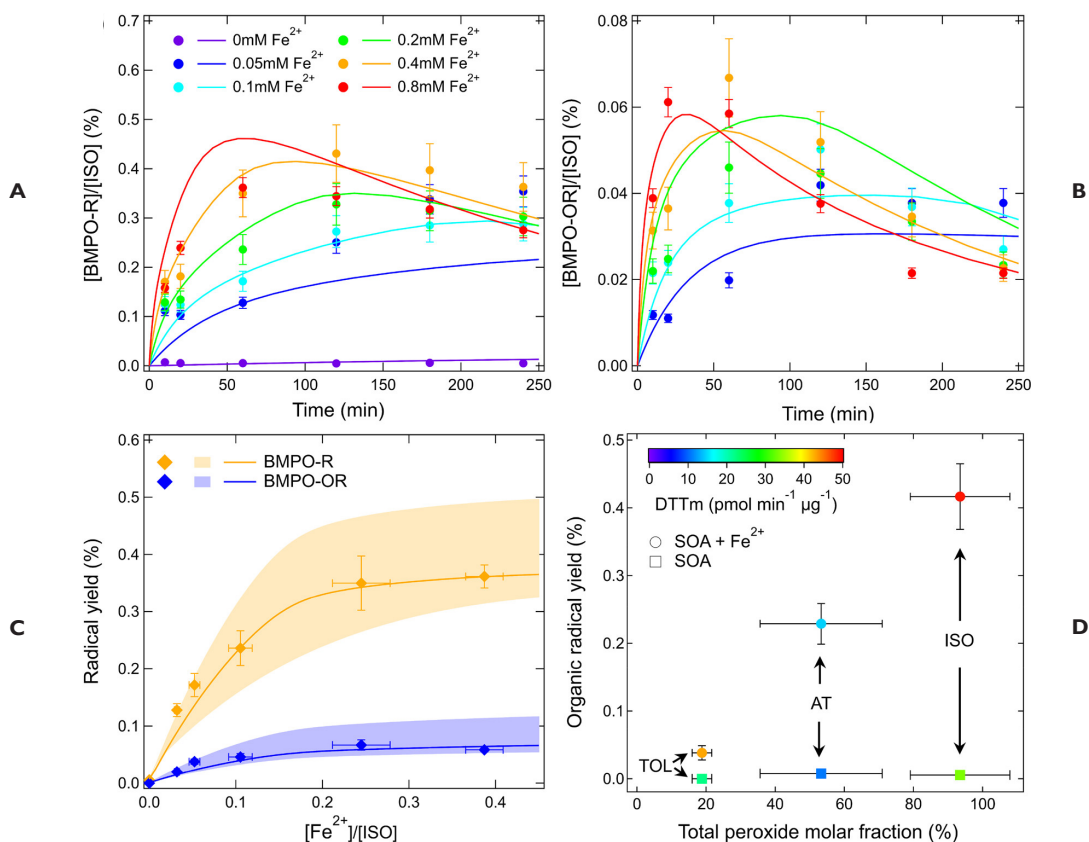


**Figure 7.** A. EPR spectra of isoprene SOA with 0 or 0.4 mM  $\text{Fe}^{2+}$  in water and SLF in the presence of spin-trapping agent BMPO. The dashed vertical lines represent different BMPO-radical adducts and  $\text{Asc}\cdot^-$ . B. Yields and relative abundance of different radical species including BMPO-OH (red), BMPO-OOH (green), BMPO-R (yellow) and BMPO-OR (blue) from isoprene (ISO),  $\alpha$ -terpineol (AT), and toluene (TOL) SOA in water and SLF with 0 or 0.4 mM  $\text{Fe}^{2+}$ . The radical yields shown peaked at a reaction time of 20 min in water and 60 min in SLF, respectively. The error bars represent the error propagation from the two duplicates in EPR measurement and the uncertainty in SOA mass measurements. BDL = below the detection limit. Reprinted with permission from Wei et al. 2021a. Copyright 2021 American Chemical Society.

enhanced formation of  $\cdot\text{OH}$  (R7) (Tong et al. 2016).  $\text{HO}_2\cdot$  is formed subsequently by  $\cdot\text{OH}$  oxidation of primary or secondary alcohols ( $\text{R}_1\text{R}_2\text{CHOH}$ ), followed by fast addition of dissolved  $\text{O}_2$  (R2) and unimolecular decomposition of  $\alpha$ -hydroxyperoxyl radicals (R3) (Herrmann et al. 2005). Our recent study showed that this mechanism can explain the dominated  $\cdot\text{O}_2\cdot/\text{HO}_2\cdot$  formation from the aqueous reactions of isoprene and terpene SOA in the absence of  $\text{Fe}^{2+}$  (Wei et al. 2021b). While organic peroxides ( $\text{ROOR}'$ ) are thermally stable, they are known to be reactive toward  $\text{Fe}^{2+}$ , releasing  $\text{RO}\cdot$  radicals (R8) in analogy to R7 (Denisov and Tumanov 2005; Eames and Watkinson 2001). To confirm  $\text{Fe}^{2+}$ -facilitated decomposition of  $\text{ROOR}$ , we measured radical formation in mixtures of  $\text{Fe}^{2+}$  and commercially available  $\text{ROOH}$  and peroxides in water and SLF. *tert*-butyl peroxybenzoate, *tert*-butyl peracetate (a  $\text{ROOR}$ ) and cumene hydroperoxide (an  $\text{ROOH}$ ) produce various radicals via Fenton-like reactions of  $\text{Fe}^{2+}$  in water. In SLF, only  $\text{R}\cdot$  was formed, and other radicals ( $\cdot\text{OH}$ ,  $\cdot\text{O}_2\cdot$ ,  $\text{RO}\cdot$ ) were hardly observed. It indicates efficient scavenging of reactive radicals by antioxidants and rapid conversion of  $\text{RO}\cdot$  to  $\text{R}\cdot$ . Indeed, we observed significantly higher formation of  $\text{R}\cdot$  than  $\text{RO}\cdot$  from isoprene SOA in both water and SLF

(Figure 8A, B), most likely due to the rapid conversion of  $\text{RO}\cdot$  to  $\text{R}\cdot$  via isomerization, decomposition, and a bimolecular reaction resulting in H abstraction (Studer and Curran 2016).

The significantly higher formation of organic radicals in SLF than in water can be attributed to redox cycling of  $\text{Fe}^{3+}/\text{Fe}^{2+}$  mediated by antioxidants:  $\text{Fe}^{3+} + \text{AscH}^- \rightarrow \text{Fe}^{2+} + \text{H}^+ + \text{Asc}\cdot$ .  $\text{Fe}^{3+}$  can be reduced rapidly to regenerate  $\text{Fe}^{2+}$  by  $\text{Asc}\cdot$  (Buettnner and Jurkiewicz 1996; Lakey et al. 2016; Sun et al. 2020; Valko et al. 2005) to sustain organic radical formation. The model sensitivity analysis revealed that such recycling of  $\text{Fe}^{2+}$  contributes to 5–10 times higher organic radical formation in the SLF than in water. Note that a very recent study suggested that  $\text{Fe}^{3+}$  and ascorbate reactions are catalytic rather than redox reactions (Shen et al. 2021):  $\text{Fe}^{3+} + \text{ascorbate} + \text{O}_2 \rightarrow \text{Fe}^{3+} + \text{dehydroascorbic acid} + \text{H}_2\text{O}_2$ . To further investigate the nature of the  $\text{Fe}^{3+}$ –ascorbate reaction, we measured  $\text{Fe}^{2+}$  in the mixtures of  $\text{Fe}^{3+}$  and ascorbate in water or PBS buffer solutions. We found that 12%–14% of  $\text{Fe}^{3+}$  can be reduced to form  $\text{Fe}^{2+}$  in water, which can be further enhanced when buffered by PBS (18%–47%). In addition, EPR measurements showed that  $\text{Asc}\cdot$  is formed in the  $\text{Fe}^{3+}$ –ascorbate mixtures when buffered by PBS, which is only generated by redox



**Figure 8. Temporal evolution of molar yields of A. BMPO-R and B. BMPO-OR from aqueous reactions of isoprene SOA and  $\text{Fe}^{2+}$  (0–0.8 mM) in SLF. C. Yields of  $\text{R}\cdot$  (yellow) and  $\text{RO}\cdot$  (blue) from isoprene SOA in SLF as a function of  $[\text{Fe}^{2+}]/[\text{ISO}]$  molar ratios. The markers are experimental data. The solid lines represent the best fits of the kinetic model and the shaded areas represent the modeling uncertainties. D. Organic radical yields (BMPO-R + BMPO-OR) are plotted against total peroxide molar fractions in isoprene,  $\alpha$ -terpineol, and toluene SOA with 0 (square) or 0.4 mM (circle)  $\text{Fe}^{2+}$ . The color scale represents the DTT consumption rate normalized by SOA mass (DTTm). The error bars in all panels represent the error propagation from the two duplicates in EPR measurement or total peroxide measurement and the uncertainty in SOA mass measurements. Reprinted with permission from Wei et al. 2021a. Copyright 2021 American Chemical Society.**

instead of catalytic reactions. Interestingly, both  $\text{Fe}^{2+}$  and  $\text{Asc}\cdot^-$  measurements indicate that  $\text{Fe}^{3+}$ -ascorbate redox reactions are highly pH-dependent and more prominent when buffered by PBS. We also conducted sensitive analysis in the model, showing that the redox reactions remain the dominant channel of  $\text{Fe}^{3+}$ -ascorbate interaction even if the catalytic reactions are considered. Future studies are required to elucidate the relative importance of catalytic and redox reactions between  $\text{Fe}^{3+}$  and ascorbate particularly under differing pH.

Overall, the implemented mechanisms successfully reproduce the time dependence of organic radical formation (Figure 8A, B) as well as the concentration dependence (Figure 8C), demonstrating the consistency of model simulations with experiments. We measured peroxide molar fractions in SOA, showing a positive correlation with organic radical yields by SOA in the presence of  $\text{Fe}^{2+}$  (circles in Figure 8D). It indicates that peroxides ( $\text{ROOR} + \text{ROOH}$ ) are highly probable sources of aqueous organic radical formation. This is in line with a very recent study showing that the total ROS production from cooking SOA can be enhanced substantially through atmospheric aging, coinciding with the elevation in peroxide contents (Wang et al. 2021). Isoprene SOA is measured to have high peroxide content (~97%) compared with the measurements of Surratt and colleagues (2006) (~61%, from a Teflon chamber). This difference may be due to excess  $\text{RO}_2\cdot$  chemistry in the PAM reactor, inducing more production of peroxides through termination steps such as  $\text{RO}_2\cdot + \text{HO}_2\cdot \rightarrow \text{ROOH}$  and  $\text{RO}_2\cdot + \text{RO}_2\cdot \rightarrow \text{ROOR}$  (Ziemann and Atkinson 2012). Toluene SOA has the lowest peroxide content with 18%, which is consistent with a previous study (Sato et al. 2007), leading to a lower organic radical yield.

To investigate the linkage between organic radical formation and OP, we performed the DTT assay on mixtures of SOA and  $\text{Fe}^{2+}$ ; the results are shown by the color scale in Figure 8D. The DTT consumption rates normalized by SOA mass (DTTm) for isoprene SOA ( $33.4 \pm 6.2 \text{ pmol min}^{-1} \mu\text{g}^{-1}$ ) and toluene SOA ( $22.3 \pm 2.5 \text{ pmol min}^{-1} \mu\text{g}^{-1}$ ) are consistent with previous studies (Fujitani et al. 2017; Jiang et al. 2016). With the  $\text{Fe}^{2+}$  addition, clear enhancements of DTTm are observed for all types of SOA. Due to the moderate DTT activity from  $\text{Fe}^{2+}$  alone, SOA and  $\text{Fe}^{2+}$  demonstrate a strong synergistic effect in causing OP. Figure 8D shows no clear association between organic radical yields and DTTm. Despite the lowest organic radical yields from mixtures of toluene SOA and  $\text{Fe}^{2+}$ , they induce relatively high DTTm ( $42.8 \pm 0.4 \text{ pmol min}^{-1} \mu\text{g}^{-1}$ ), which is comparable with mixtures of isoprene SOA and  $\text{Fe}^{2+}$  ( $49.0 \pm 11.2 \text{ pmol min}^{-1} \mu\text{g}^{-1}$ ). Tuet and colleagues (2017b) reported generally higher DTT activities from anthropogenic SOA than from biogenic SOA, although the interactions of SOA and transition metals in OP are still understudied. Dedicated studies are necessary to further elucidate the link between ROS formation and OP from SOA.

## ROS FORMATION FROM AMBIENT PARTICLES

### ROS Formation from Highway and Wildfire PM

The EPR measurements show that ambient  $\text{PM}_{2.5}$  collected from the two highway sites generate mainly  $\cdot\text{OH}$  and  $\text{R}\cdot$  upon interaction with water (Figure 9). ROS from highway and urban background are predominantly comprised of  $\cdot\text{OH}$  (84%–88%) with a 12%–16% contribution from  $\text{R}\cdot$  for both  $\text{PM}_1$  and  $\text{PM}_{1-10}$ . The dominant contribution of  $\cdot\text{OH}$  in ROS formation at highway and urban particles is also supported by  $\cdot\text{OH}$  and total radical forms of ROS showing very similar size distributions, ROSm levels, and a tight correlation ( $R^2 = 0.99$ ). In contrast, for the wildfire PM,  $\cdot\text{OH}$  and  $\text{R}\cdot$  have roughly equal contributions (~50%) to the total radical forms of ROS in  $\text{PM}_{1-10}$ , whereas in  $\text{PM}_1$ ,  $\text{R}\cdot$  (54%) and  $\cdot\text{OH}$  (28%) contribute substantially with some contribution from  $\text{RO}\cdot$  (13%) and  $\cdot\text{O}_2/\text{HO}_2\cdot$  (5%). The correlation between  $\cdot\text{OH}$  and total radical forms of ROS from the wildfire PM is strong for particle sizes larger than  $1 \mu\text{m}$  ( $R^2 = 0.89$ ), while the correlation for  $\text{PM}_1$  is weaker ( $R^2 = 0.40$ ).

As shown in Figure 10A, ROS generated by wildfire PM have distinct frequency size distributions compared with those in highway and urban PM. Total radical forms of ROS in wildfire particles show a bimodal distribution with one peak at small sizes (0.056–0.18  $\mu\text{m}$ ) and the other peak at large sizes (10–18  $\mu\text{m}$ ), whereas total radical forms of ROS in highway PM exhibit a single mode peaking at the 0.56–1  $\mu\text{m}$  size range; urban PM has another peak at 10–18  $\mu\text{m}$ . The ambient concentrations of ROSv in  $\text{PM}_1$  and  $\text{PM}_{1-10}$  follow the

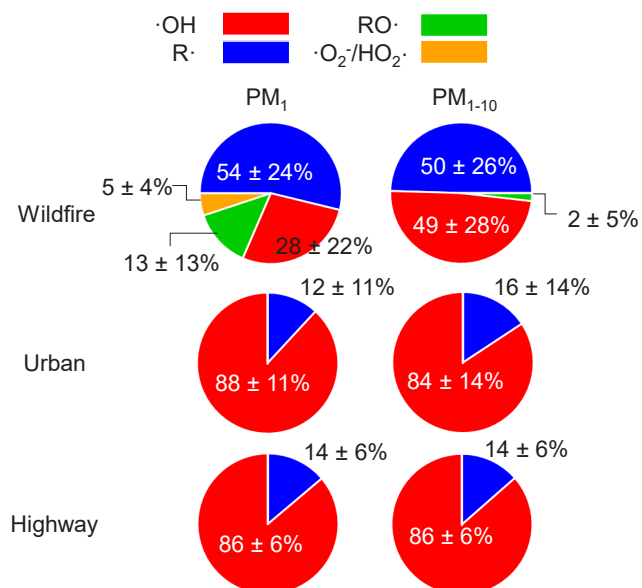
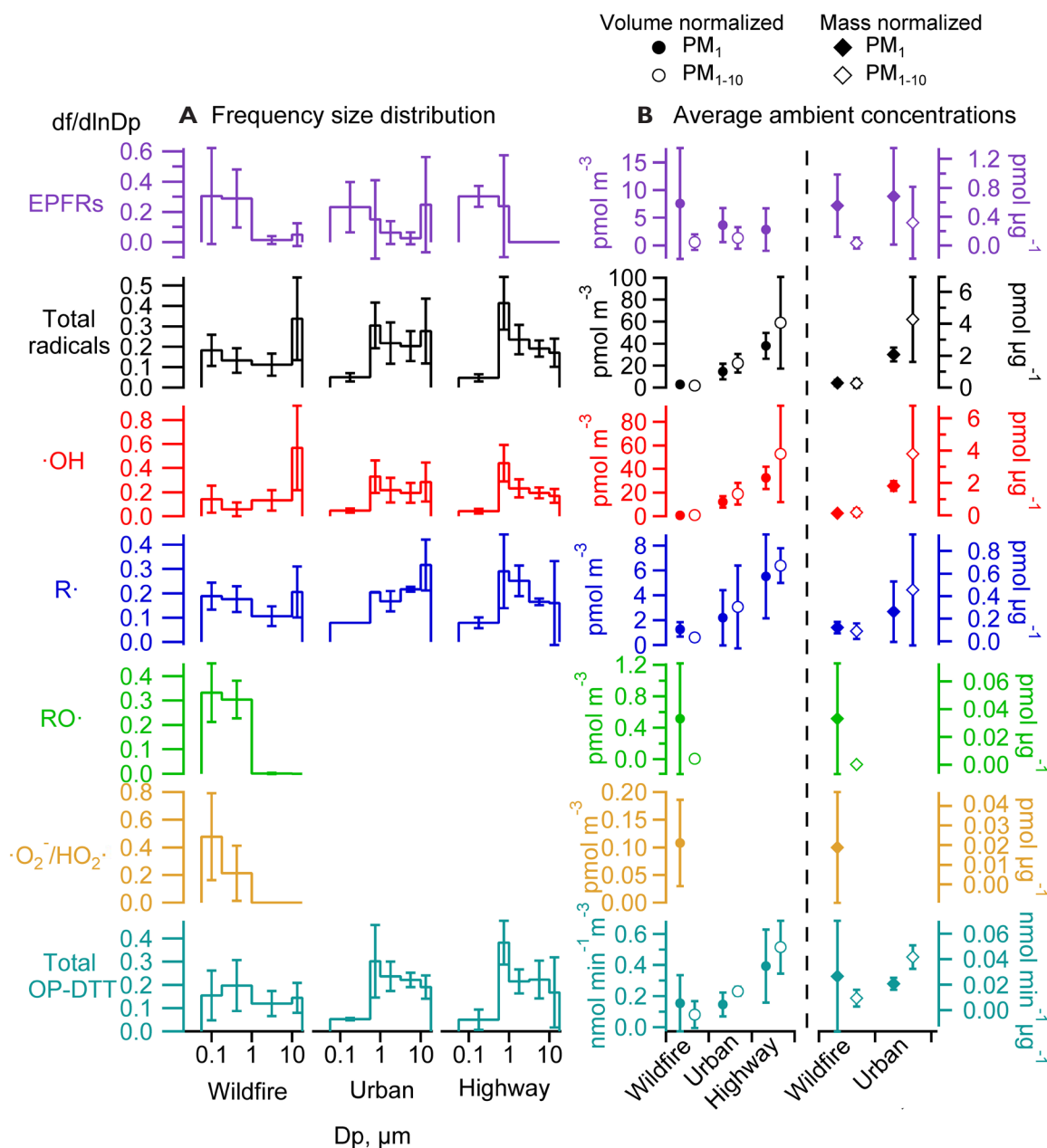


Figure 9. Averaged fractions of  $\cdot\text{OH}$ ,  $\text{R}\cdot$ ,  $\text{RO}\cdot$ , and  $\cdot\text{O}_2/\text{HO}_2\cdot$  in total radical formation in the aqueous extracts of  $\text{PM}_1$  and  $\text{PM}_{1-10}$  collected during wildfire events and at highway and urban sites in the Los Angeles area (see sampling locations in Figure 2). Adapted from Fang et al. 2023.



**Figure 10.** A. Average frequency distributions and B. air volume-normalized and PM mass-normalized concentrations of EPFRs, ROS, and total OP-DTT of ambient PM collected during wildfire events ( $N = 8$ ) and at highway ( $N = 3$ ) and urban ( $N = 3$ ) sites. Data are presented as mean and the standard deviation from different sampling days.  $\text{PM}_1$  and  $\text{PM}_{1-10}$  are sum of all MOUDI stages up to  $1 \mu\text{m}$  and between  $1$  and  $10 \mu\text{m}$ , respectively. PM mass concentrations are not available at the highway sites. Adapted from Fang et al. 2023.

order of highway > urban > wildfire (Figure 10B). In terms of ROSm, the urban PM has much higher levels in  $\text{PM}_1$  and  $\text{PM}_{1-10}$  compared with the wildfire PM. These results suggest that wildfire emissions lead to less total radical formation compared with traffic emissions. This may be because biomass burning PM contains many pyrolyzed, less oxidized, and insoluble components as well as inorganics such as potassium and sulfate, which are not redox active (Khamkaew et al. 2016; Li et al. 2021).

$\text{RO}\cdot$  and  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  are only observed in wildfire  $\text{PM}_1$ .  $\text{RO}\cdot$  shows a strong correlation with total radical forms of ROS ( $R^2 = 0.71$ ) and a moderate correlation with  $\text{R}\cdot$  ( $R^2 = 0.53$ ).  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  is moderately correlated with the total radical forms of ROS ( $R^2 = 0.41$ ) and  $\cdot\text{OH}$  ( $R^2 = 0.50$ ) but is not correlated with  $\text{RO}\cdot$  or  $\text{R}\cdot$ . The correlations among different radical species suggest that the organic radicals ( $\text{RO}\cdot$  and  $\text{R}\cdot$ ) would be associated with similar aqueous chemistry that may be distinct from the formation chemistry of  $\cdot\text{OH}$  and  $\cdot\text{O}_2^-/\text{HO}_2\cdot$ . Biomass

burning has been found to be an important primary source for ROOH (Lee et al. 1997; Snow et al. 2007). The decomposition of ROOH can generate alkoxy and  $\cdot\text{OH}$  radicals (Fang et al. 2020; Tong et al. 2016, 2018). Alkoxy radicals can undergo decomposition (e.g.,  $\text{RO}\cdot \rightarrow \text{R}'\cdot + \text{R}''\text{C}(\text{O})\text{H}$ ) and isomerization (e.g.,  $\text{RO}\cdot \rightarrow (\text{OH})\text{R}\cdot$ ) to form alkyl radicals (Chen et al. 2011a), whereas  $\cdot\text{OH}$  oxidizes primary or secondary alcohols to form  $\alpha$ -hydroxyalkyl radicals, followed by  $\text{O}_2$  addition to form  $\alpha$ -hydroxyperoxy radicals that may decompose to form  $\cdot\text{O}_2/\text{HO}_2\cdot$  (Wei et al. 2021b). The correlations between  $\cdot\text{OH}$  and  $\cdot\text{O}_2/\text{HO}_2\cdot$  may also be explained by a common role of iron ions in the decomposition of  $\text{H}_2\text{O}_2$  to form  $\cdot\text{OH}$  and reduction of  $\text{O}_2$  to form  $\cdot\text{O}_2$  (Fenton 1894; Santana-Casiano et al. 2005); synergistically, these Fe-mediated  $\cdot\text{OH}$  and  $\cdot\text{O}_2$  formations may be enhanced by the presence of HULIS and quinones in biomass burning PM (Charrier and Anastasio 2015; Gonzalez et al. 2017; Xiong et al. 2017). Other chemical components in biomass burning may also explain the ROS formation: for example, ROOR can react with iron ions to form organic radicals (Wei et al. 2021a); Fe–organic complexes may release ROS by photolytic reactions (Alpert et al. 2021), and EPFRs can also reduce the  $\text{O}_2$  to form  $\cdot\text{O}_2$  (Khachatryan et al. 2011). Overall, the observed ROS formation should be a consequence of highly complex chemistry involving a variety of reactive and redox-active components in biomass burning PM; future laboratory studies are warranted to characterize specific ROS formation pathways and to investigate the interplay of different components with surrogate mixtures with known PM components.

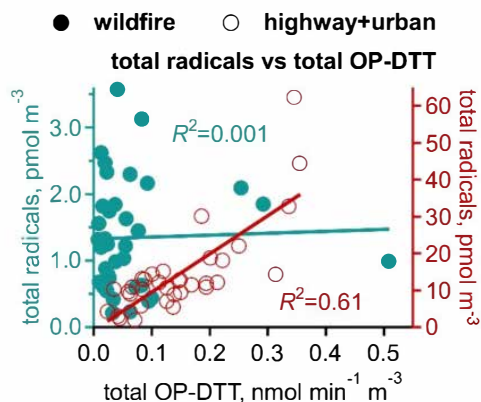
### Measured ROS Formation vs. OP by DTT Assay

In Figure 10A, total OP-DTT for highway and urban PM shows a unimodal distribution with the peak at 0.56–1  $\mu\text{m}$ , and total OP-DTT in  $\text{PM}_{1-10}$  is higher than in  $\text{PM}_1$ . The highway PM has higher levels of total OP-DTTv than does the urban PM, which may be partly caused by nonexhaust emissions of transition metals with large OP at highways (Fang et al. 2016; Gao et al. 2017; Saffari et al. 2014; Zhang et al. 2016). The two highway sites have similar levels of total DTT activities and the average total DTTv (normalized by sampled air volume) and DTTm (normalized by particle mass) are  $\sim 0.47 \text{ nmol min}^{-1} \text{ m}^{-3}$  and  $\sim 37 \text{ pmol min}^{-1} \mu\text{g}^{-1}$  respectively. These values are similar or slightly higher than previous measurements at highway or road sites (Charrier et al. 2015; Gao et al. 2017; Shirmohammadi et al. 2017). The distribution of total OP-DTT for wildfire PM is relatively uniform across different particle diameter ranges, and submicron wildfire PM exhibits higher total OP-DTTv and OP-DTTm compared with coarse fractions. It is worth noting that wildfire PM shows a higher contribution from submicron particles to EPFRs, ROS formation, and total OP-DTT compared with highway and urban PM. This observation is consistent with the fact that DTT-active organics including quinones and HULIS (Dou et al. 2015; Verma et al. 2015b) are mostly contained in the submicron size range (Garofalo et al. 2019; Kleeman et al. 1999). Since submicron particles after inhalation can deposit deep

into the lung, reaching the alveoli (Heyder 2004), it suggests that biomass burning contributes to deposition of organics and ROS in the lower respiratory tract and hence may play a role in inducing inflammatory responses and oxidative stress deeper in lung.

OP-DTT has been extensively used to indicate toxicity, assuming that it represents the ability of aerosols to generate ROS; this assumption still needs to be evaluated by investigating correlations between OP-DTT and ROS formation (Fang et al. 2019; Hwang et al. 2021; Xiong et al. 2017; Zhang et al. 2022). A recent study found that secondary inorganic components, crustal material, and biogenic SOA control PM mass concentrations, while OP is associated mostly with anthropogenic sources, in particular with residential biomass burning and metals from vehicular nonexhaust emissions (Daellenbach et al. 2020). Interestingly, total OP-DTT from highway and urban shows a positive moderate correlation with total radical forms of ROS ( $R^2 = 0.61$ , **Figure 11**) and  $\cdot\text{OH}$  ( $R^2 = 0.59$ ). Interestingly, the total DTTv activities at the Anaheim are strongly correlated with ROSv ( $R^2 = 0.91$ ). For individual ROS species, the correlations of total DTTv with organic radicals ( $R^2 = 0.85$ ) are stronger than with OH radicals ( $R^2 = 0.71$ ), suggesting that the DTT decay and the formation of organic radicals are contributed by similar organic compounds. This is consistent with a recent study reporting that ROOH can consume DTT (Jiang et al. 2016). The lower correlation of total DTTv activities with OH radicals is expected as the DTT assay is less sensitive to Fe, which plays a large role in generating OH radicals through Fenton chemistry (Fang et al. 2019). There is little correlation between total DTTv activities and ROSv or individual species at the Long Beach site ( $R^2 \leq 0.16$ ).

Total OP-DTT from wildfire samples does not correlate with any radical generation ( $R^2 \leq 0.02$ ) (Figure 11). The correlation in highway and urban PM samples may be explained by transition metals such as Cu or Fe that can oxidize DTT (Charrier and Anastasio 2012; Charrier et al. 2016) and also participate in Fenton/Fenton-like reactions with  $\text{H}_2\text{O}_2$  or hydroperoxides to generate  $\cdot\text{OH}$  (Chevallier et al. 2004; Fang et al. 2020). Previous studies have also found mixed results for such correlation analyses. For example, Zhang and colleagues (2022) found that OP-DTT and ROS measurements were positively correlated for photochemically aged naphthalene SOA with soot particles, while there was a weaker correlation for  $\beta$ -pinene SOA. Xiong and colleagues (2017) found that ambient  $\text{PM}_{2.5}$  samples collected from an urban site in Illinois showed no correlation between OP-DTT and  $\cdot\text{OH}$  formation. The lack of correlation between OP-DTT and total radical forms of ROS in wildfire PM in this work indicates that OP would not be a good metric for radical generation in biomass burning aerosols. The mixed results in this study provide promising but cautious implications from ambient data that DTT activities could represent ROS generation, but further measurements with longer sampling time periods, and also at various locations with differing sources, are necessary to better understand the associations of ROS generation and DTT activities with oxidative stress induced by atmospheric



**Figure 11. Correlations of total radicals with total OP-DTT during wildfire events and at highway and urban sites.** Each data point represents data obtained from an individual MOUDI stage. Adapted from Fang et al. 2023.

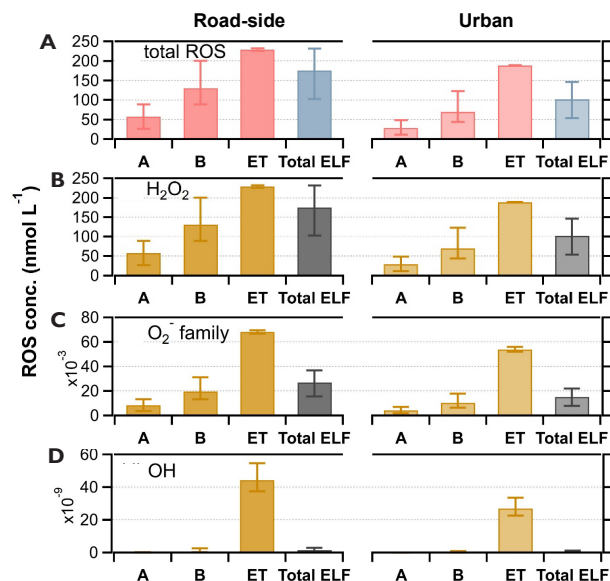
PM and its adverse effects on human health. As this study did not measure nonradical forms of ROS such as  $\text{H}_2\text{O}_2$  and singlet oxygen, further studies are necessary to fully evaluate validations and limitations of the use of OP as an indicator of ROS formation and PM toxicity. Other OP assays such as ascorbic acid or glutathione assays that measure the consumption of antioxidants could also be used to assess the relations of OP with ROS generation in future studies.

### MODELING ROS FORMATION IN ELF

Respiratory deposition can lead to the formation of ROS in the ELF due to redox reactions of PM components with lung antioxidants. As a direct quantification of ROS is challenging, PM OP is more commonly measured using antioxidant surrogates, including DTT and ascorbic acid, assuming that the decay of surrogates corresponds to ROS formation. However, this assumption has not yet been validated and the lack of ROS quantification in the respiratory tract causes major limitations in evaluating PM impacts on oxidative stress. By combining field measurements of size-segregated chemical composition in Atlanta, a human respiratory tract model, and kinetic modeling, we quantified production rates and concentrations of different types of ROS in different regions of the ELF by considering particle-size-dependent respiratory deposition.

### ROS Concentration in ELF in Various Respiratory Regions

**Figure 12** shows the modeled total and individual ROS concentrations in the ELF in alveolar, bronchial, and extrathoracic regions after particle-size-dependent respiratory deposition at road-side and urban sites in Atlanta, GA. ROS concentrations and production rates depend strongly on the size-dependent composition of ambient PM and their deposition efficiencies in different ELF regions. The extrathoracic region is found to have the highest ROS concentrations



**Figure 12. Estimates of ROS concentrations in the epithelial lining fluid in various respiratory compartments after 1.5 hours of inhalation and deposition of ambient size-segregated water-soluble Fe and Cu, SOA, and quinones at road-side and urban sites in Atlanta, GA.** A. Total ROS; B–D. Individual ROS. Respiratory compartments include alveolar (A), bronchial (B), and extrathoracic (ET) regions. The blue and grey bars (denoted as Total ELF) represent ROS concentrations without considering particle-size dependence of ELF deposition in different regions and assuming homogeneous PM deposition in the total ELF volume. Uncertainties associated with the ELF thickness are represented by the error bars. Other model uncertainties are discussed in the text. Reprinted with permission from Fang et al. 2019. Copyright 2019 American Chemical Society.

compared with the lower respiratory tract, for all types of ROS, at both sites due to efficient PM deposition and a small ELF volume.

In **Figure 12**, Total ELF represents ROS concentrations if PM respiratory deposition would be assumed to be homogeneous without considering the particle-size dependence of ELF deposition in different respiratory regions. Comparisons of ROS from Total ELF to those in each respiratory region by considering size-dependent deposition suggest that assuming homogeneous deposition would lead to an underestimation of ROS concentrations in the extrathoracic region, while those in bronchi would be slightly overestimated and those for alveoli would be largely overestimated. This suggests that a proper consideration of the particle-size effect and dilution due to the ELF volume variations are important for accurate estimations of ROS concentrations in different respiratory regions. Comparing the two sites, the road-side site has higher levels of ROS estimated in the ELF in various respiratory compartments. This is due to higher ambient concentrations of various chemical species at the road-side site, resulting in higher concentrations of chemical species and ROS formation in the ELF.



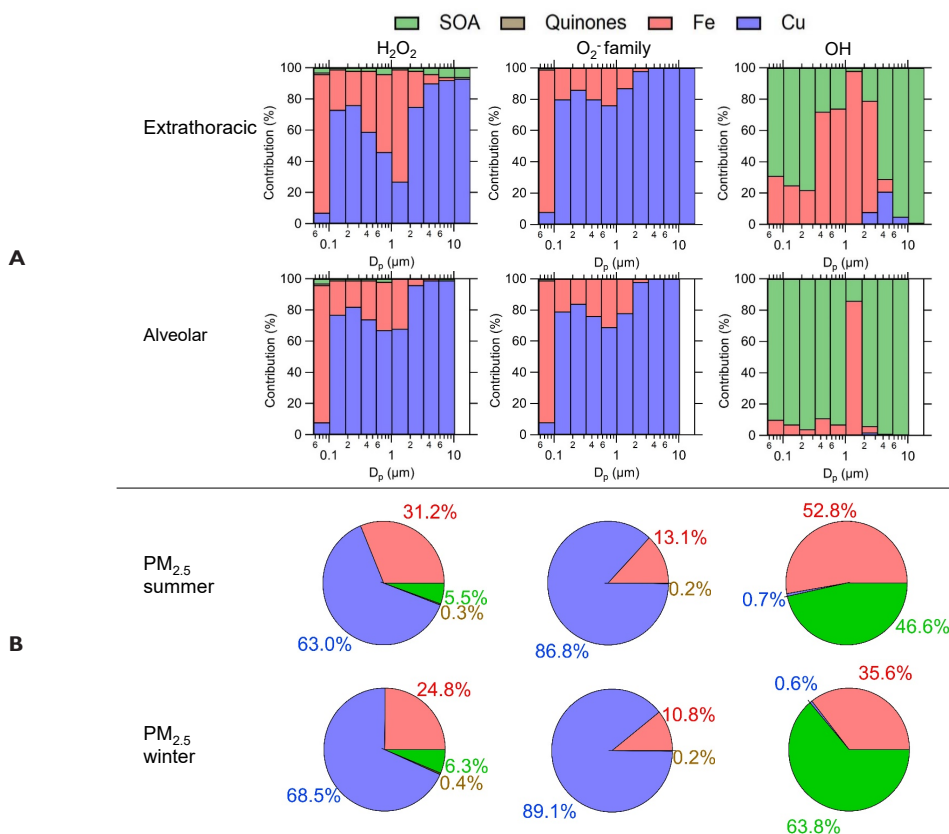
$\text{H}_2\text{O}_2$  is the most abundant ROS in the ELF owing to its low reactivity and slow decomposition, and it is about four and ten orders of magnitude more abundant than the  $\cdot\text{O}_2^-$  family and  $\cdot\text{OH}$  radicals, respectively. Although  $\text{H}_2\text{O}_2$  is not a free radical, it may play a critical role in PM-induced oxidative stress, as  $\text{H}_2\text{O}_2$  can diffuse through cell membranes and serve as a reservoir species to release more reactive forms of ROS in vivo (Mathai and Sitaramam 1994).  $\cdot\text{OH}$  radicals are mainly generated in the extrathoracic region. Transition metal ions are largely contained in coarse particles, which lead to higher deposition in the extrathoracic region, resulting in increased rates of Fenton and Fenton-like reactions to yield  $\cdot\text{OH}$  radicals. While  $\cdot\text{OH}$  concentrations are kept low due to scavenging by antioxidants, these results imply that oxidative damage to cells or tissues caused by highly reactive  $\cdot\text{OH}$  radicals would be more likely in the extrathoracic region than in the lower respiratory tract.

### Composition-Dependent ROS Production Rates

We investigated modeled ROS production rates in different regions of ELF by individual chemical components, including Cu, Fe, SOA, and quinones, contained in size-segregated particles collected at the road-side site in Atlanta. We found that

Cu has the highest ROS production rates, followed closely by Fe, while SOA rates are one order of magnitude less than Cu or Fe. Quinones have the lowest ROS production rates. The rate-limiting step for ROS production is the reduction of antioxidants. ELF concentrations of Cu and Fe are similar, but Cu has the highest ROS production rates due to the higher reaction rate coefficient ( $k = 1.4 \times 10^{-18} \text{ cm}^3 \text{ s}^{-1}$ ) of Cu with ascorbic acid compared with the analogous Fe reaction ( $k = 1.1 \times 10^{-19} \text{ cm}^3 \text{ s}^{-1}$ ). Quinones do not contribute substantially to ROS production due to much lower ambient and ELF concentrations and lower rate coefficients ( $\sim 10^{-21}$ – $10^{-19} \text{ cm}^3 \text{ s}^{-1}$ ). In terms of size dependence, ROS production rates by Cu and Fe are mostly contributed to by coarse particles with particle sizes larger than  $1 \mu\text{m}$ , while SOA and quinones have a broader distribution with more contribution from fine particles smaller than  $1 \mu\text{m}$  ( $\text{PM}_{10}$ ). Larger particles dominate the contribution to ROS production in the extrathoracic region, mainly driven by the effective deposition of larger particles in the upper respiratory tract.

Figure 13A shows the modeled contributions of different chemical species in generating various ROS in the extrathoracic and alveolar regions.  $\text{H}_2\text{O}_2$  and  $\cdot\text{O}_2^-$  family production rates are mostly governed (>90 %) by Cu and Fe. SOA contrib-



**Figure 13. Modeled contributions of Cu, Fe, SOA, and quinones to production rates of  $\text{H}_2\text{O}_2$ ,  $\cdot\text{O}_2^-$  family, and  $\cdot\text{OH}$ .** A. in the extrathoracic and alveolar regions based on size-dependent deposition of particles collected at the road-side site and B. in the respiratory tract (without considering size-dependent deposition) based on  $\text{PM}_{2.5}$  particles collected at the urban site in Atlanta in summer and winter. Reprinted with permission from Fang et al. 2019. Copyright 2019 American Chemical Society.

ute a very small fraction (<10%) to  $\text{H}_2\text{O}_2$ , and its contribution to the  $\cdot\text{O}_2^-$  family is negligible. The relative importance of Cu and Fe depends highly on particles sizes: at larger sizes, Cu contributes the most, whereas Fe plays a larger role at smaller size ranges. Figure 13B shows average contributions in the respiratory tract without considering particle-size-dependent deposition by  $\text{PM}_{2.5}$ , showing that Cu has the largest contribution to both the  $\text{H}_2\text{O}_2$  and  $\cdot\text{O}_2^-$  families, followed by Fe. This also suggests that the role of Fe in ROS production can be underestimated if size-dependent deposition is not considered. Correlations between Cu and  $\text{H}_2\text{O}_2$  or  $\cdot\text{O}_2^-$  production rates are strong ( $R^2 > 0.90$ ). The high similarity in chemical sensitivity of  $\text{H}_2\text{O}_2$  and the  $\cdot\text{O}_2^-$  family leads to strong correlations between them ( $R^2 \geq 0.92$ ), except in the extrathoracic region where the correlation is moderate ( $R^2 = 0.6$ ). The low correlation in the extrathoracic region may be due to the high contribution of Fe to  $\text{H}_2\text{O}_2$  generation in this region.

In contrast, OH has a different chemical selectivity from other ROS, as shown by weaker correlations with  $\text{H}_2\text{O}_2$  and the  $\cdot\text{O}_2^-$  family ( $R^2 = 0.25\text{--}0.84$ ).  $\cdot\text{OH}$  production rates are mainly attributed to SOA and Fe due to decomposition of ROOH and Fenton reactions, respectively. SOA and Fe can be comparably important in generating  $\cdot\text{OH}$ . In the extrathoracic region, Fe plays a major role by contributing more than 60% of the  $\cdot\text{OH}$  production for the 0.35–3.2  $\mu\text{m}$  size range, while the SOA contribution dominates in smaller and larger particles (Figure 13A). In the alveoli, SOA contribute most of the  $\cdot\text{OH}$  production except for the 1–1.8  $\mu\text{m}$  size range where Fe is responsible for producing more than 80% of  $\cdot\text{OH}$  radicals. For overall  $\text{PM}_{2.5}$  contributions in summer, contributions from Fe and SOA are approximately equal, and SOA show a larger contribution (on average 64%) in winter (Figure 13B). ROS production of SOA may depend on various factors such as precursors and levels of oxidants (i.e.,  $\text{O}_3$ ,  $\cdot\text{OH}$ ,  $\text{NO}_x$ ) upon formation and aging (Chowdhury et al. 2018; Tong et al. 2018). Further experiments are required using SOA formed in a wide range of conditions along with detailed chemical characterization for a better evaluation of ROS activity and toxicity of SOA.

### ROS Production Rates vs. OP

Figure 14 shows the comparisons of modeled production rates of  $\text{H}_2\text{O}_2$ , the  $\cdot\text{O}_2^-$  family, and  $\cdot\text{OH}$  with the OP by the DTT and ascorbic acid assays. Modeled  $\cdot\text{O}_2^-$  family production rates show moderate to strong correlations with  $\text{OP}^{\text{DTT}}$  and  $\text{OP}^{\text{AA}}$  in the extrathoracic ( $R^2 = 0.76\text{--}0.83$ ) and alveolar regions ( $R^2 = 0.55\text{--}0.72$ ). Correlations to chemical species show that both the  $\cdot\text{O}_2^-$  family production rates and  $\text{OP}^{\text{DTT}}$  correlate well with quinones and Cu in the extrathoracic region. In the alveolar region  $\cdot\text{O}_2^-$  family production rates only correlate with Cu ( $R^2 = 0.99$ ), while  $\text{OP}^{\text{DTT}}$  correlates mainly with organic species ( $R^2 = 0.69$ ).

Moderate to strong correlations of  $\cdot\text{O}_2^-$  family production rates with  $\text{OP}^{\text{DTT}}$  and  $\text{OP}^{\text{AA}}$  are also found for  $\text{PM}_{2.5}$  samples in summer ( $R^2 = 0.69$  and  $0.49$  for  $\text{OP}^{\text{DTT}}$  and  $\text{OP}^{\text{AA}}$ , respectively),

whereas the correlation is weaker in winter ( $R^2 = 0.27$  and  $0.32$  for  $\text{OP}^{\text{DTT}}$  and  $\text{OP}^{\text{AA}}$ , respectively). The  $\cdot\text{O}_2^-$  family production is mainly governed by Cu and Fe via metal redox chemistry converting  $\text{O}_2$  to  $\cdot\text{O}_2^-$ . Organics contribute little for all sizes or ELF regions due to low ELF concentrations of quinones and low  $\cdot\text{O}_2^-$  production of SOA.  $\text{OP}^{\text{DTT}}$  in the alveolar region is dominated by accumulation-mode organic species (Fang et al. 2017b).  $\cdot\text{O}_2^-$  production rates correlate better with OP in the extrathoracic region than in the alveolar region.

$\text{H}_2\text{O}_2$  shows a strong correlation with OP, as  $\text{H}_2\text{O}_2$  is mainly produced by  $\cdot\text{O}_2^-$  reacting with various species including quinones, Fe, Cu, and ascorbic acid, as well as by decomposition of certain species (e.g., hydroxyhydroperoxides) in SOA (Wang et al. 2011b). Previous studies have also found correlations of OP with  $\text{H}_2\text{O}_2$  production rates for quinones and metal ions (Xiong et al. 2017), as well as for laboratory-generated SOA (Tong et al. 2018). In the extrathoracic region, correlations of  $\text{H}_2\text{O}_2$  with OP measures ( $R^2 = 0.43$  and  $0.36$  for  $\text{OP}^{\text{DTT}}$  or  $\text{OP}^{\text{AA}}$ , respectively) are much lower than those for the  $\cdot\text{O}_2^-$  family ( $R^2 = 0.76$  and  $0.83$  for  $\text{OP}^{\text{DTT}}$  or  $\text{OP}^{\text{AA}}$ , respectively). These weaker correlations may be due to a low activity of Fe in the DTT and ascorbic acid assays, whereas Fe is effective in  $\text{H}_2\text{O}_2$  generation.

In contrast,  $\cdot\text{OH}$  production rates do not show strong correlations with  $\text{OP}^{\text{DTT}}$  or  $\text{OP}^{\text{AA}}$  ( $R^2 = 0.08\text{--}0.55$ ). The lack of correlation between OH production rates and OP measures is consistent with low correlations of  $\cdot\text{OH}$  with  $\cdot\text{O}_2^-$  and  $\text{H}_2\text{O}_2$  production rates.  $\cdot\text{OH}$  radicals are mainly generated by SOA through decomposition of ROOH and Fenton reactions of Fe with  $\text{H}_2\text{O}_2$  ( $\cdot\text{OH}$  production rates are strongly associated with Fe [ $R^2 \geq 0.90$ ]). Since the DTT and ascorbic acid assays are less sensitive to Fe ( $R^2 < 0.4$ ) (Fang et al. 2016),  $\cdot\text{OH}$  and OP correlations become stronger when removing high-Fe data points ( $R^2$  increases from below 0.32 to 0.53–0.76). This suggests that organic compounds may contribute to both  $\cdot\text{OH}$  production and OP, which is consistent with a recent study finding that ROOH can consume DTT (Jiang and Jang 2018).

In winter,  $\text{OP}^{\text{DTT}}$  is mainly driven by organic species as shown by the strong correlation with quinones and SOA ( $R^2 = 0.81$ ) and the moderate to weak correlation with Cu and Fe ( $R^2 < 0.35$ ). In winter, biomass burning is a major PM source in Atlanta with a high abundance of aromatics or HULIS (Fang et al. 2016); previous studies have shown that HULIS plays an important role in DTT decay and  $\cdot\text{O}_2^-$  production (Lin and Yu 2011; Verma et al. 2015b). HULIS concentrations are at much higher levels in winter than in summer, due to high levels of biomass burning in winter in the southeastern United States. Estimated HULIS concentrations were implemented into the KM-SUB-ELF model, assuming that HULIS would have a similar or one order of magnitude higher  $\cdot\text{O}_2^-$  generating capability than quinones. With this estimation the correlation between  $\text{OP}^{\text{DTT}}$  and the model  $\cdot\text{O}_2^-$  family, as well as  $\text{H}_2\text{O}_2$  production rate in winter, improves substantially ( $R^2$  increases from  $\sim 0.3$  to  $0.4\text{--}0.7$ ), supporting the hypothesis that HULIS are the missing sources of ROS production in the model. This

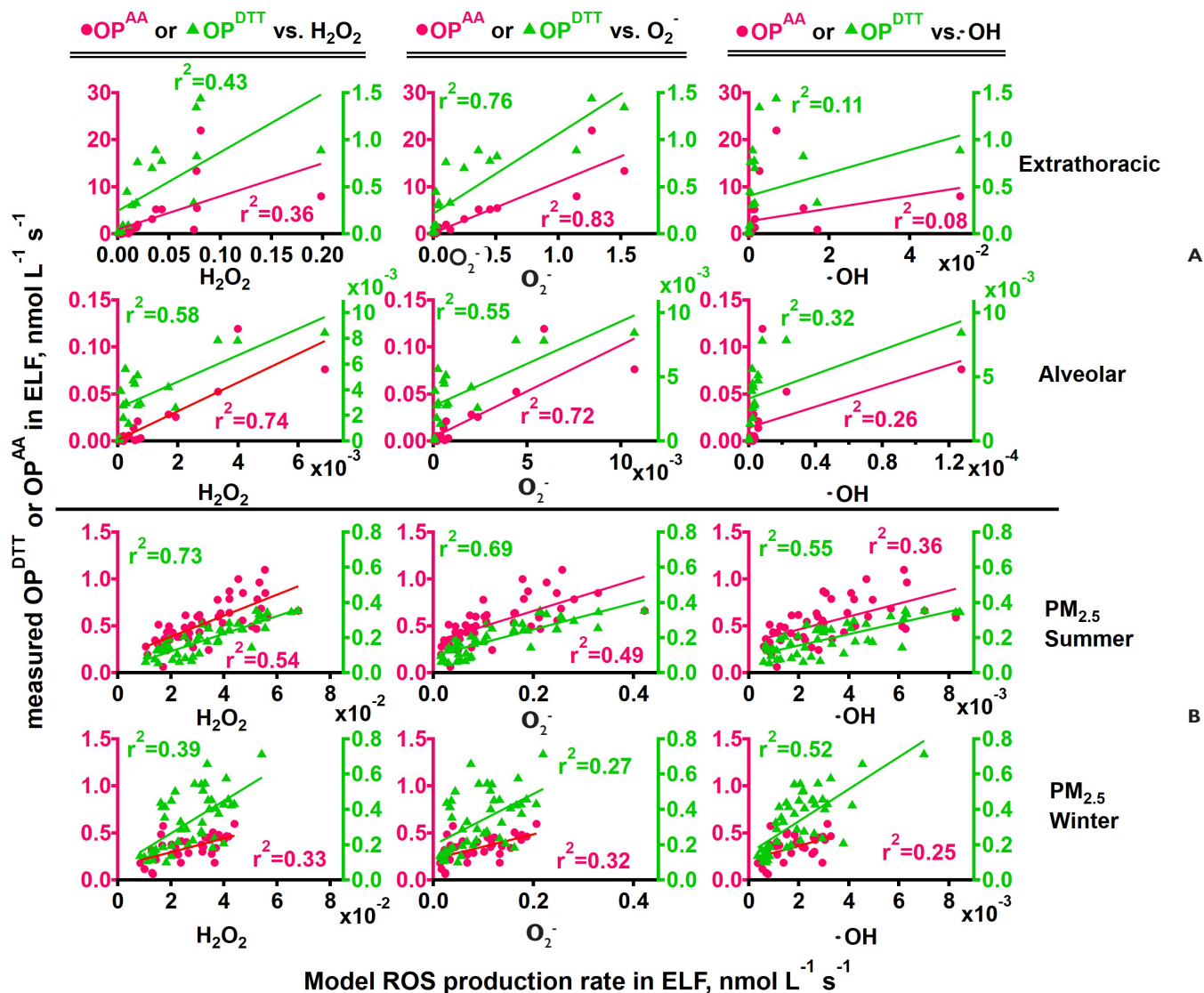


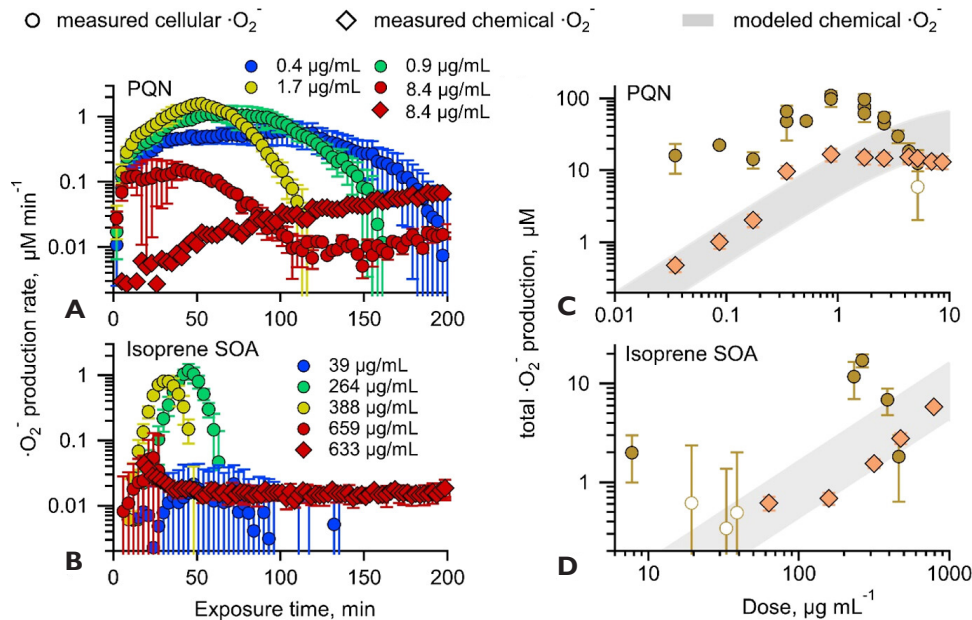
Figure 14. Correlations between oxidative potential measured by the dithiothreitol (OPDTT) and ascorbic acid (OPAA) assays and H<sub>2</sub>O<sub>2</sub>, the ·O<sub>2</sub><sup>-</sup> family, and ·OH production rates. A. by size-dependent respiratory deposition of the size-segregated particles collected from both road-side and urban sites in extrathoracic and alveolar regions and B. by respiratory deposition of PM<sub>2.5</sub> particles collected at the urban site in summer and winter in the total ELF. Reprinted with permission from Fang et al. 2019. Copyright 2019 American Chemical Society.

exercise also suggests the potential use of brown carbon measurements to estimate ROS generation from HULIS activities. In fact, brown carbon shows strong correlations with measured 1,4-naphthoquinone ( $R^2 = 0.84$ ) and moderate correlations with 1,2-naphthoquinone ( $R^2 = 0.47$ ), two of the three ROS-generating quinones species. Recent studies have shown that ROS formation and the OP of HULIS can be altered significantly upon complex formation with metals (Gonzalez et al. 2017; Wei et al. 2019) or in the presence of pyridine, imidazole, and their alkyl derivatives (Dou et al. 2015). Other potential chemical species affecting ROS generation but not included

in the model are peroxyacyl nitrates and electron-deficient alkenes (Jiang and Jang 2018). Further laboratory experiments and implementation into the model are required to evaluate the impact of ROS generation by these species.

#### CELLULAR VS. CHEMICAL ROS IN ELF

Figure 15A and B show the measured temporal evolution of control-corrected chemical and cellular ·O<sub>2</sub><sup>-</sup> production rates upon exposure to PQN and isoprene-derived SOA, respectively. Cellular ·O<sub>2</sub><sup>-</sup> production rate increased to ~0.4 μM min<sup>-1</sup>



**Figure 15.** Total  $\cdot\text{O}_2^-$  production upon exposure to PQN and isoprene-derived SOA. Cellular  $\cdot\text{O}_2^-$  release from RAW264.7 macrophages (circles) and chemical (diamonds) is shown in time profiles (A, B) and in dose–response curves (C, D). **A & B.** Markers are color-coded with dose (in  $\mu\text{g/mL}$ ). Data points with error bars represent the average and uncertainties calculated from error propagation based on variabilities from samples and controls (see Statistical Analyses section for details). **C & D.** Statistically insignificant ( $P > 0.05$ , unpaired  $t$  test) comparisons of exposure groups with vehicle controls are plotted as open circles. Chemical production of  $\cdot\text{O}_2^-$  was also simulated using kinetic models with shaded areas representing model uncertainties. Adapted from Fang et al. 2022.

when exposed to  $0.4 \mu\text{g mL}^{-1}$  of PQN. With increased doses of  $0.9$  and  $1.7 \mu\text{g mL}^{-1}$ , cellular  $\cdot\text{O}_2^-$  production rates increased and reached maximum at  $\sim 1 \mu\text{M min}^{-1}$  after  $\sim 50$  minutes, followed by decreases over time. At a higher dose of  $8.4 \mu\text{g mL}^{-1}$ , macrophages responded faster to reach a lower peak at  $\sim 0.2 \mu\text{M min}^{-1}$  at 40 minutes and decreased more swiftly afterward. At all doses, cellular  $\cdot\text{O}_2^-$  production rates eventually decreased to the level observed for controls with  $\sim 0.1 \mu\text{M min}^{-1}$ , which may be regulated by mitochondrial respiration (Winterbourn 2008). In the absence of cells, PQN triggered redox reactions to produce  $\cdot\text{O}_2^-$  increasingly to reach  $\sim 0.1 \mu\text{M min}^{-1}$  over a few hours. While relatively low doses of PQN stimulate macrophages for  $\cdot\text{O}_2^-$  release for a few hours, much higher doses of isoprene SOA are required for  $\cdot\text{O}_2^-$  release, which lasts less than 1 hour. With doses of isoprene SOA increasing from  $264 \mu\text{g mL}^{-1}$  to  $388 \mu\text{g mL}^{-1}$ , cellular  $\cdot\text{O}_2^-$  production rates reached their peaks above  $\sim 1 \mu\text{M min}^{-1}$  and decreased to control levels earlier in time with a faster cellular response at higher doses. At the very high dose of  $659 \mu\text{g mL}^{-1}$ , the peak cellular  $\cdot\text{O}_2^-$  release rate is comparable to the chemical  $\cdot\text{O}_2^-$  production rate of  $\sim 0.05 \mu\text{M min}^{-1}$  via aqueous reactions of SOA components in the absence of cells.

The dose–response relationships are shown in Figure 15C and D, where responses are given as total  $\cdot\text{O}_2^-$  production as obtained from the integration of control-corrected  $\cdot\text{O}_2^-$  production rates over exposure time. The measured chemical

total  $\cdot\text{O}_2^-$  production increased with an increase of dose. PQN generates  $\cdot\text{O}_2^-$  via redox-cycling reactions with antioxidants (D’Aur aux and Toledano 2007; Lakey et al. 2016), while isoprene-derived SOA yields  $\cdot\text{O}_2^-$  via a series of aqueous reactions including decomposition of  $\alpha$ -hydroxyperoxyl radicals and ROOH, as well as  $\cdot\text{OH}$  oxidation of primary or secondary alcohols (Wei et al. 2021b). We applied kinetic models that include these chemical mechanisms to simulate chemical  $\cdot\text{O}_2^-$  production (Lakey et al. 2016; Wei et al. 2021b), producing consistent results as the measurements (shaded areas).

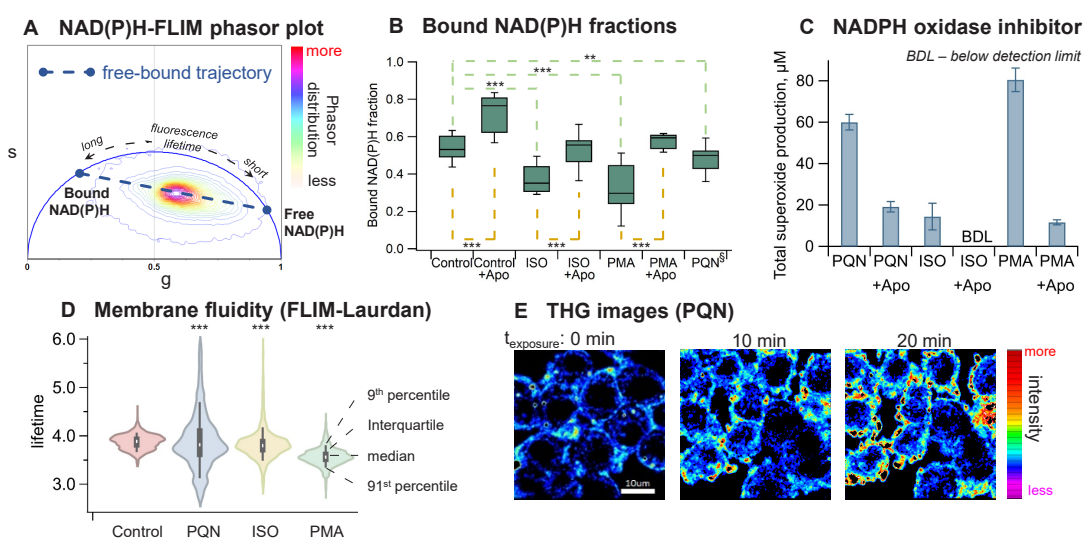
Interestingly, cellular  $\cdot\text{O}_2^-$  release induced by PQN and isoprene-derived SOA shows inverted U-shaped dose–response distributions. Under low doses, macrophages release  $\cdot\text{O}_2^-$  under regular cellular metabolisms with a control level of  $\sim 23 \mu\text{M}$ , which is comparable to or higher than the chemical  $\cdot\text{O}_2^-$  production. Once the dose reaches a threshold, macrophages are activated and cellular total  $\cdot\text{O}_2^-$  production increases sharply, dominating over chemical  $\cdot\text{O}_2^-$  production. The onset dose of cell activation for PQN ( $\sim 0.2 \mu\text{g mL}^{-1}$ ) is much lower than isoprene-derived SOA ( $> 40 \mu\text{g mL}^{-1}$ ), indicating that macrophages are more sensitive to PQN than isoprene-derived SOA in producing ROS. This suggests that quinones are intrinsically more toxic than isoprene-derived SOA, consistent with previous studies that show anthropogenic aromatics have higher toxicity compared with biogenic aerosols (Offer et al. 2022; Tuet et al. 2017a,b).

Cellular  $\cdot\text{O}_2^-$  production induced by PQN and isoprene-derived SOA then decreased at higher doses, surpassed by chemical  $\cdot\text{O}_2^-$  production. The decreases of cellular  $\cdot\text{O}_2^-$  release at higher doses (Figure 15C and D) and longer exposure time (Figure 15A and B) are not due to cell death. Cell cytotoxicity measurements show that cells exposed to doses of up to  $5 \mu\text{g mL}^{-1}$  for PQN and  $923 \mu\text{g mL}^{-1}$  for isoprene SOA have fluorescence signals similar to that of filter blanks (fresh filter without particle collection) (unpaired  $t$  test,  $P > 0.05$ ). These signals were much lower than those from the positive control lysed cells ( $P < 0.05$ ), confirming that cells are alive during the whole course of exposure. Instead, the decreased cellular release of  $\cdot\text{O}_2^-$  is most likely due to redox homeostasis by cells upregulating the antioxidant response elements to scavenge ROS for protection against proinflammatory effects (Droge 2002). Previous studies have found that antioxidant enzymes can be upregulated by the transcription factor Nrf2 when oxidative stress is induced upon exposure to ambient and diesel exhaust PM containing polycyclic aromatic hydrocarbons and quinones (Gurgueira et al. 2002; Li et al. 2004; Pardo et al. 2020).

Note that cell cytotoxicity measurements also imply that the range of doses applied in this study are reasonable. The conversion of doses to ambient PM concentrations is highly complex as respiratory deposition is largely affected by the nature of breathing (nasal vs. oral, tidal volume, and frequency), individual differences in lung anatomy, the airflow patterns in the lung airways, and the presence of deposition hotspots in the lung (Porra et al. 2018). Phalen and colleagues (2006) demonstrated by dosimetry model predictions that the

surface PM deposition can reach up to  $85.5 \mu\text{g cm}^{-2}$  but vary by up to three orders of magnitude in the tracheobronchial region. With a bottom area of  $0.32 \text{ cm}^2$  and a working volume of  $0.2 \text{ mL}$  of a 96-well plate, the surface doses for SOA and PQN in the current study are  $5\text{--}412 \mu\text{g cm}^{-2}$  and  $0.02\text{--}5.3 \mu\text{g cm}^{-2}$ , respectively. Combining all the uncertainties and variabilities (up to four orders of magnitude), and also considering that the surface area of the alveolar region is about 200 times larger and the deposition in the alveolar region is up to 3 fold higher than in the tracheobronchial region (Vicente et al. 2021), the applied surface doses are within relevant ranges for real-life exposure scenarios and are consistent with the doses applied in previous submerged cell exposure studies.

We then applied cellular imaging techniques to study the mechanism of cellular  $\cdot\text{O}_2^-$  release and its impacts on cell membranes. Selected doses that activate massive cellular  $\cdot\text{O}_2^-$  release were used for exposure. In the Phasor-FLIM method, the NAD(P)H fluorescence lifetime is visualized in polar coordinates by calculating the Fourier sine and cosine transformation of the FLIM photon histogram curve (Digman et al. 2008). The cell membranes and cytoplasm mainly fall along a metabolic trajectory represented by a line joining positions of free NAD(P)H and protein-bound NAD(P)H on a phasor plot (Figure 16A) (Digman et al. 2008; Stringari et al. 2012). The relative locations on the trajectory can be used to obtain the bound NAD(P)H fractions (Figure 16B). Macrophages exposed to PQN and isoprene-derived SOA show lower bound NAD(P)H fractions than the controls, indicating that the bound state NADPH is oxidized to nonfluorescent  $\text{NADP}^+$ , releasing superoxide:  $\text{NADPH} + 2\text{O}_2 \rightarrow \text{NADP}^+ + \text{H}^+ + 2\cdot\text{O}_2^-$  (Cross and



**Figure 16. NADPH oxidase activities and oxidative stress on cell membranes.** **A.** Phasor plot of the FLIM images from RAW 264.7 macrophage treated with control (vehicle) and all samples. **B.** NAD(P)H bound fractions based on phasor locations in A for macrophage treated with control and samples with and without NADPH oxidase inhibitor apocynin (Apo). The box plots show the median, 10, 25, 75, and 90 percentiles. **C.** Effect of apocynin on total  $\cdot\text{O}_2^-$  production. Bars with error bars represent the average from triplicates and the standard deviation. **D.** Membrane fluidity measured with FLIM with a Laurdan probe. **E.** Locations and intensity of lipid accumulation from THG imaging on cells treated with 9,10-phenanthrenequinone (PQN). Unpaired  $t$  test,  $***P < 0.0001$ ,  $**P < 0.001$ . \$FLIM images were taken from cells after 10-min exposure of PQN, washed with PBS buffer, and replaced with fresh incomplete media. BDL = below detectable limit; ISO = isoprene-derived SOA; PMA = phorbol 12-myristate 13-acetate. Adapted from Fang et al. 2022.

Segal 2004; Wientjes and Segal 1995). A bound-to-free shift of NAD(P)H is observed upon exposure to PMA, commonly used as an inducer to activate NADPH oxidase and increase endogenous  $\cdot\text{O}_2^-$  production. Additionally, apocynin (or Apo), a specific NADPH oxidase inhibitor, results in higher bound NAD(P)H fractions in cells than those without the inhibitor (Figure 16B). Consequently, apocynin reduces cellular  $\cdot\text{O}_2^-$  production substantially upon exposure to PQN, isoprene-derived SOA, and PMA (Figure 16C). These observations strongly indicate that PQN and isoprene-derived SOA trigger cellular  $\cdot\text{O}_2^-$  production mainly through activating the NADPH oxidase.

To investigate the impact of generated  $\cdot\text{O}_2^-$  on cell membranes, we used the emission spectrum of a solvatochromic probe, Laurdan, which is incorporated into the hydrophobic phase in the membrane (Golfetto et al. 2013). Since Laurdan dye adheres mostly to cell membranes, only the fluorescence signals from cell membranes were selected for fluorescence lifetime calculations. A total of 15 cells from each sample were averaged and presented as violin plots in Figure 16D. We observed significant decreases in the fluorescence lifetimes of the Laurdan probe on cell membranes from macrophages exposed to PQN, isoprene SOA, and PMA, which indicates that these samples cause an increase in membrane fluidity, an important parameter in membrane integrity and cell health. In addition, the THG microscopy imaging shows that 10–20 minutes of exposure to PQN causes an increase of THG signal around cell membranes, suggesting the accumulation of lipids (Figure 16E). Bright-field cell images also suggest that macrophages may have taken up oxidized low-density lipoprotein-forming foam cells, a key event suggested to involve the activation of NADPH oxidase observed in previous studies (Aviram et al. 1996; Forman and Torres 2001). Therefore, with the revealing of increased membrane fluidity and laden lipids around the cell membranes, we suggest that macrophages are undergoing lipid peroxidation caused by  $\cdot\text{O}_2^-$  or other ROS produced thereafter.

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## DISCUSSION AND CONCLUSIONS

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In this project, we aimed to investigate the chemical mechanism and formation kinetics of ROS by SOA in the ELF and also to quantify the relative importance of ROS formation by chemical reactions and macrophages. We generated SOA particles using a reaction chamber and collected ambient PM next to major highways and at an urban site during wildfire events. The collected particles on filters were extracted, and the generated ROS was quantified using EPR spectroscopy with a spin-trapping technique. Kinetic modeling was applied for the analysis and interpretation of experimental data. PM OP was also quantified using the DTT assay. Finally, we quantified cellular and chemical superoxide generation by representative anthropogenic and biogenic PM components using a chemiluminescence assay combined with EPR spectroscopy as well as kinetic modeling. We also applied cellular

imaging techniques to study the cellular mechanism of superoxide release and oxidative damage on cell membranes.

Our work elucidates ROS generation pathways from aqueous reactions of biogenic SOA. Multigenerational atmospheric oxidation and autoxidation of biogenic VOCs by  $\cdot\text{OH}$  and  $\text{O}_3$  leads to the formation of HOM and ELVOC (Bianchi et al. 2019; Ehn et al. 2014). Most of these compounds contain alcohol and hydroperoxide functional groups. After condensation into the particle phase, a fraction of ROOH decomposes to form  $\cdot\text{OH}$ , which can act as an ignition step for a cascade of ROS formation pathways. The e-folding times for the ROOH decomposition are estimated to be 4–30 hours, which represent average lifetimes for different ROOH compounds; some of them may have shorter timescales (Krapf et al. 2016; Pospisilova et al. 2020), while others may be very stable (Kenseth et al. 2018; Zhang et al. 2017). This decomposition process can be accelerated by photolysis (Badali et al. 2015; Epstein et al. 2014) and Fenton-like reactions of transition metal ions (Fang et al. 2020; Tong et al. 2016, 2017).

While a recent study (Zhang et al. 2017) found that the PAM-reactor-generated  $\alpha$ -pinene SOA contain substantial amounts of particle-phase HOM, consistent with the gas-phase measurements in previous studies (Ehn et al. 2012, 2014; Kirkby et al. 2016), we acknowledge a caveat of this work that the particle mass concentrations in the PAM reactor are much higher compared with ambient conditions, leading to more prominent condensation of semi-volatile organic compounds. While this study serves as a proof of concept and provides mechanistic insights into possible mechanisms of ROS formation, future studies are warranted to investigate the ROS formation from SOA generated under atmospherically relevant conditions. Higher oxidant and precursor concentrations would increase the chance of  $\text{RO}_2\cdot$  to react with each other to form ROOR. With lower concentrations in the ambient atmosphere,  $\text{RO}_2\cdot$  would favorably react with  $\text{HO}_2\cdot$  to form ROOH, which would increase the ROS formation potential of SOA.

$\cdot\text{OH}$  radicals released by ROOH decomposition can abstract hydrogen from primary or secondary alcohols ( $\text{R}_1\text{R}_2\text{CH}(\text{OH})$ ) to form  $\alpha$ -hydroxyalkyl radicals, which quickly react with dissolved  $\text{O}_2$  to form  $\alpha$ -hydroxyperoxyl radicals. Within milliseconds  $\alpha$ -hydroxyperoxyl radicals can undergo unimolecular decomposition to form  $\cdot\text{O}_2^-/\text{HO}_2\cdot$  radicals. Through  $\text{HO}_2\cdot$  termination,  $\alpha$ -hydroxyperoxyl radicals form  $\alpha$ -hydroxyalkyl hydroperoxides, which can decompose to generate  $\text{H}_2\text{O}_2$ , another important ROS (Qiu et al. 2020a; Sauer et al. 1999). Other feasible pathways of  $\text{H}_2\text{O}_2$  formation by SOA have also been discussed in the literature, including hydrolysis of diacyl peroxides or peroxy acids (Ziemann 2002). The relative contributions of different  $\text{H}_2\text{O}_2$  sources still warrant further studies.

The aqueous phase chemical reactions that generate ROS are affected by pH. Inhalation and deposition of organic aerosols can lead to oxidative stress by the formed ROS at physiological

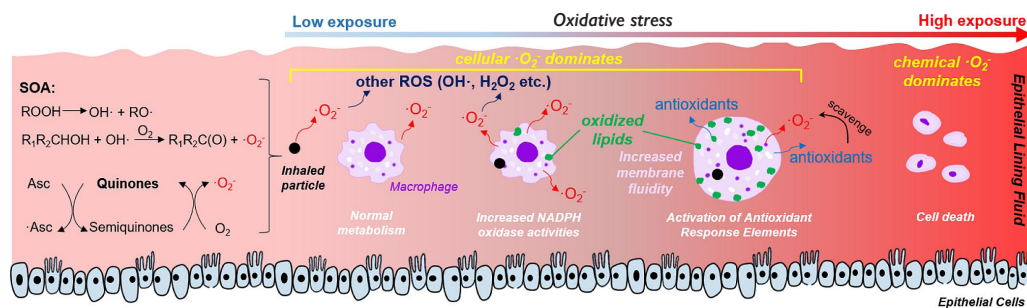
pH. Under neutral conditions organic hydroperoxides can preferably undergo unimolecular decomposition to generate highly reactive  $\cdot\text{OH}$  radicals, which can initiate a cascade of reactions to propagate further radical formation (Gligorovski et al. 2015), as well as to directly attack biological components and induce pathological processes such as lipid peroxidation (Yin et al. 2011). The formed organic radicals can be persistent even in the presence of antioxidants (Wei et al. 2021a), although their capacity in causing OP still warrants further studies. Our work then establishes the mechanisms driving organic radical formation by the interactions between SOA and  $\text{Fe}^{2+}$  in the ELF. While ROOR are thermally stable under physiological temperature (Sanchez and Myers 2004), the chemical lifetimes of ROOR with respect to  $\text{Fe}^{2+}$  reactions were calculated to be 0.3–46 hours, depending on  $\text{Fe}^{2+}$  concentrations in the experimental range of 0.05–0.8 mM. Meanwhile, the antioxidant defense system can counteract ROS formation: for example, ascorbate efficiently scavenges  $\cdot\text{OH}$  and  $\cdot\text{O}_2/\text{HO}_2\cdot$  (Adams et al. 1965; Nadezhdin and Dunford 1979). In comparison, organic radicals, especially  $\text{R}\cdot$ , react with ascorbate more slowly by multiple orders of magnitude, leading to much longer lifetimes (Buettner and Jurkiewicz 1996). A recent study found that the reaction rates of ascorbate and glutathione with alkyl radicals are too slow to protect proteins from peroxidation (Nauser and Gebicki 2017). The rapid redox cycling of  $\text{Fe}^{3+}/\text{Fe}^{2+}$  maintained by ascorbate can further facilitate the ROOR decomposition and subsequent organic radical formation. Note that  $\text{Fe}^{3+}/\text{Fe}^{2+}$  redox cycles can also be mediated by ROS such as superoxide (Gonzalez et al. 2017; Voelker and Sedlak 1995), which can be hindered by the addition of antioxidants through direct scavenging of ROS. Antioxidants play a reciprocal role by depleting short-lived reactive radicals while amplifying organic radical formation. While this study used synthetic ELF containing lung antioxidants, future studies may apply alternative approaches using appropriately concentrated components to represent true ELF concentrations or bronchoalveolar lavage fluid from healthy and diseased participants.

We found that a variety of radicals are generated by ambient PM collected in the Los Angeles Basin. Highway PM mainly generate  $\cdot\text{OH}$ , while wildfire PM mainly generate  $\text{R}\cdot$  and  $\cdot\text{OH}$ , with minor contributions from superoxide and  $\text{RO}\cdot$ . Evaluating the representativeness of the PM investigated in this project requires further studies of PM collected from different locations in the United States and around the world. OP-DTT is found to be high in wildfire PM, exhibiting little correlation with radical forms of ROS, which are in stark contrast with highway PM that correlate well with OP-DTT. The relation between OP and ROS formation is highly complex. Various acellular OP assays (e.g., ascorbic acid and glutathione) and cellular assays should be applied to conduct a comprehensive evaluation of PM OP (Shahpoury et al. 2022). In addition, for complete ROS quantification and robust intercomparison with PM OP, different methods of ROS measurements should be applied, and ROS that were not measured in this study, such as singlet oxygen and peroxy nitrite, should also be quantified.

Epithelial cell membranes contain phospholipid bilayers, and  $\cdot\text{OH}$  and  $\text{HO}_2\cdot$  are known to initiate a cascade of propagation reactions known as lipid peroxidation (Ayala et al. 2014; Yin et al. 2011), which may alter the membrane fluidity and trigger the inactivation of membrane-embedded proteins functioning as ion channels and receptors (Los and Murata 2004). Our results on the persistency of organic radicals even in the presence of antioxidants imply that organic radicals may also participate in radical chain reactions involved in lipid peroxidation. Despite the significance in numerous pathological processes, lipid peroxidation has not been linked mechanistically to radical formation from organic aerosols and transition metals, which underlines the need for future studies. Overall, our experimental and modeling results demonstrate the central role of  $\text{Fe}^{2+}$  in inducing organic radical formation by facilitating ROOR decomposition in lung fluid, which highlights the significance of the interactions among redox-active components in ambient PM in potentially causing oxidative stress.

A novel aspect of our modeling study is the derivation of production rates of different types of ROS in the ELF, based on ambient measurements of redox-active chemical components, for comparison with OP measurements. For both the size-segregated data in different respiratory regions and  $\text{PM}_{2.5}$  in different seasons, OP shows strong correlations with modeled  $\cdot\text{O}_2\cdot$  family and  $\text{H}_2\text{O}_2$  production rates in the ELF, but not with  $\cdot\text{OH}$  production rates. These correlations can be explained by the major chemical species that contribute to ROS production and DTT/ascorbic acid loss. The correlations are consistent with the fact that the rate-limiting step for  $\cdot\text{O}_2\cdot$  and  $\text{H}_2\text{O}_2$  is the reaction between antioxidants and redox-active components, while that for  $\cdot\text{OH}$  is Fenton and Fenton-like reactions or SOA decomposition without involving antioxidants. Direct measurements of ROS in the ELF or in its surrogate are currently lacking, which needs to be addressed in future studies for more robust comparisons with OP measurements.

**Figure 17** summarizes multitier chemical and cellular response mechanisms in SOA exposure in ELF. At low doses or early exposure,  $\cdot\text{O}_2\cdot$  is mainly produced from normal cellular metabolism via mitochondrial respiration with minor contributions from chemical reactions. After a threshold dose to macrophages, NADPH oxidase activities are upregulated for respiratory burst, releasing massive amounts of  $\cdot\text{O}_2\cdot$ , which can cause oxidative stress by increasing cell membrane fluidity through lipid peroxidation. Further increases of doses or exposure time leads to the activation of antioxidant response elements, reducing the net cellular  $\cdot\text{O}_2\cdot$  production. Chemical  $\cdot\text{O}_2\cdot$  production may become comparably important at very high doses, especially if escalation of oxidative stress leads to cell death. Given that cellular  $\cdot\text{O}_2\cdot$  release mostly dominates over its chemical production by PM-reactive and redox-active components, widely applied acellular assays that measure OP and ROS activity may need to be interpreted with caution. Note that alveolar macrophages reside primarily in alveoli, so chemical ROS should still be relevant for causing oxidative stress in the upper respiratory tract, including the extrathoracic and bronchial regions.



**Figure 17. Multitier chemical and cellular response mechanisms upon PM deposition in epithelial lining fluid.** Cellular  $\cdot\text{O}_2$  release by alveolar macrophages via activation of NADPH oxidase dominates over chemical  $\cdot\text{O}_2$  production, causing lipid peroxidation and activation of antioxidant response elements. Adapted from Fang et al. 2022.

Future studies should be extended to include other chemical compounds that have high OP and redox activity, such as transition metals; the presence of metals in ambient PM might enhance the importance of chemical ROS (Charrier and Anastasio 2012; Fang et al. 2019; Guo et al. 2019; Shirmohammadi et al. 2015). In this study, we used RAW 264.7 macrophages as an established cell line for studying the oxidative stress responses of macrophages. Other immortalized alveolar cell lines such as NR8383, MH-S, THP-1, and also primary alveolar macrophages may need to be used to confirm and to strengthen the findings of this project. While current work is based on the standard submerged cell culture method, this classical condition should be extended to represent more realistic conditions with multiple types of cells, including macrophages and endothelial cells, to simulate synthetic interactions between cell populations (Li et al. 2002) with an application of the air-liquid interface for simulating exposure and respiratory deposition of aerosol particles (Offer et al. 2022).

The knowledge on ROS formation by PM obtained in this project and the developed kinetic model can provide useful metric (i.e., ROS levels in lung lining fluid) to epidemiological studies. We applied the KM-SUB-ELF model to estimate ROS concentrations in ELF by using measured ambient concentrations of Fe and Cu. Their concentrations in the ELF can be estimated using their ambient concentrations, their fractional solubilities, breathing rate, PM deposition rate, and total ELF volume. Then, the KM-SUB-ELF model estimated ROS generation in human respiratory tract by resolving mass transport and chemical reactions between lung antioxidants and Fe and Cu ions. The estimated ELF concentrations were found to have positive associations with respiratory diseases (Zhang et al. 2021), cardiovascular diseases (Zhang et al. 2020), and COVID-19 incidences (Stieb et al. 2021), strongly indicating the connection between oxidative stress in lungs and various adverse health outcomes.

In conclusion, the mechanistic understandings and quantifications of ROS formation obtained in this project provide a basis for further elucidation of adverse health effects and

oxidative stress upon respiratory deposition of  $\text{PM}_{2.5}$ . For a comprehensive assessment of PM toxicity and health effects via oxidative stress, we demonstrated that it is important to consider both chemical reactions and cellular processes for the formation of ROS upon respiratory deposition. Chemical composition of PM strongly influences ROS formation triggered by PM; thus, further investigations are required that will study ROS formation from various PM components such as different types of carbonaceous aerosols, inorganic components, and metals emitted from a variety of sources including transportation (tailpipe and nontailpipe emissions), fossil fuel combustion, industrial and agricultural activities, and biomass burning. Such research will provide critical information to environmental agencies and policymakers for the development of air quality policy and regulation.

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INTRODUCTION

Atmospheric aerosols are a mixture of organic (e.g., carbon-containing) and inorganic microscopic particles and liquid droplets, known as particulate matter (PM\*), that are suspended in the air. Commonly used as a proxy for overall air quality levels, PM can be emitted directly from combustion sources (such as smokestacks, vehicle exhaust, and wildfires) but is also formed by chemical reactions in the atmosphere (World Health Organization [WHO] 2022). Even at relatively low levels of exposure, PM is associated with adverse health effects, namely respiratory and cardiovascular disease and mortality, and is recognized as a leading risk factor of morbidity and mortality worldwide (Global Burden of Disease [GBD] 2020; International Agency for Research and Cancer [IARC] 2016; United States Environmental Protection Agency [U.S. EPA 2019]). Laboratory and human studies show that PM exposure leads to poor health outcomes through various biological mechanisms, including oxidative stress and inflammation, both of which are induced by reactive oxygen species (ROS) (Li et al. 2022).

ROS are highly reactive oxygen-containing chemicals, such as hydrogen peroxide and hydroxyl radicals, and are part of normal biological function. For example, ROS are byproducts of aerobic metabolism and play a role in such processes as cell differentiation, apoptosis, and immunity (Pizzino et al. 2017). However, the overproduction and accumulation of ROS due to environmental and other insults can damage essential cell components and lead to the onset and progression of disease (Pizzino et al. 2017). In the context of inhaled pollutants and their downstream health effects, understanding ROS formation in the respiratory tract is essential but poorly characterized. Research focused on the epithelial lining fluid (ELF) — a protective liquid layer above the mucosa that contains antioxidants, surfactants, and immune cells — is needed

Dr. Manabu Shiraiwa's 3-year study, "Formation of Reactive Oxygen Species by Organic Aerosols and Transition Metals in Epithelial Lining Fluid," began in November 2018. Total expenditures were \$450,000. The draft Investigators' Report from Shiraiwa and colleagues was received for review in July 2022. A revised report, received in December 2022, was accepted for publication in February 2023. During the review process, the HEI Review Committee and the investigators had the opportunity to exchange comments and to clarify issues in both the Investigators' Report and the Review Committee's Commentary.

This document has not been reviewed by public or private party institutions, including those that support the Health Effects Institute; therefore, it may not reflect the views of these parties, and no endorsements by them should be inferred.

\* A list of abbreviations and other terms appears at the end of this volume.

because it is a critical interface between lung tissue and the outside environment. Ambient inhalable aerosols contain components such as transition metals that can catalyze ROS formation in the ELF (Gurgueira et al. 2002; Lakey et al. 2016). In response to aerosol exposure, immune cells called macrophages can also release ROS in the ELF as part of their *kill and capture* campaign primarily intended for microbial invasion. The relative importance of ROS formation by chemical reactions compared with macrophage ROS generation and release is unknown. Better characterization of these ROS formation pathways might lead to the development of clinical or public health interventions to reduce air pollution health effects.

To begin to examine the effects of air pollution exposure on the formation of ROS in lungs, Dr. Manabu Shiraiwa of the University of California, Irvine, submitted an application to HEI titled "Formation of Reactive Oxygen Species by Organic Aerosols and Transition Metals in Epithelial Lining Fluid" in response to HEI's Request for Applications 17-3 Walter A. Rosenblith New Investigator Award. This award was established to provide support for an outstanding new investigator to conduct research on air pollution and health and is unrestricted with respect to the specific topic of air pollution health research. Dr. Shiraiwa proposed to investigate the kinetics and chemical mechanisms of ROS formation in synthetic ELF by different types of air pollutants and to quantify the relative importance of ROS formed by chemical reactions in the ELF compared with ROS released as an immune response to the pollution. HEI funded the study because Dr. Shiraiwa was an established atmospheric chemist who has expertise in ROS kinetic modeling and was proposing interdisciplinary chemistry and toxicology work to elucidate the role of chemically versus biologically produced ROS in air pollution health effects, using state-of-the-art methods to capture formation of a variety of ROS species in a controlled environment.

This Commentary provides the HEI Review Committee's independent evaluation of the study. It is intended to aid the sponsors of HEI and the public by highlighting both the strengths and limitations of the study and by placing the Investigators' Report into scientific and regulatory perspective.

SCIENTIFIC AND REGULATORY BACKGROUND

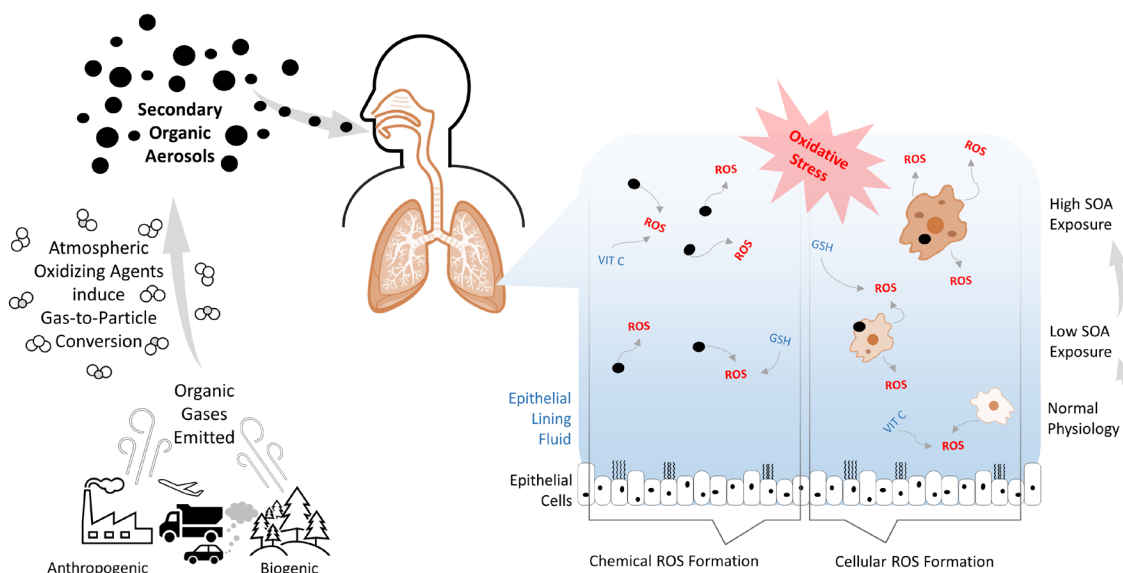
Aerosol air pollution is associated with a myriad of health effects, including respiratory, cardiovascular, and neurological diseases, cancer, and poor birth outcomes (GBD 2020; IARC 2016; U.S. EPA 2019). Particle size determines

deposition in the respiratory tract and influences subsequent health effects. For example, coarse particles (PM between 2.5 and 10  $\mu\text{m}$  in average aerodynamic diameter) mostly deposit in the upper respiratory tract, whereas fine particles (PM <2.5  $\mu\text{m}$  in aerodynamic diameter, or  $\text{PM}_{2.5}$ ) can deposit deep in the lower respiratory tract; they can directly enter the bloodstream, or compounds adsorbed onto the particles can enter the lung and vascular tissues (Li et al. 2022). A substantial body of evidence has led the U.S. EPA to conclude that the link between exposure to  $\text{PM}_{2.5}$  and mortality is causal (U.S. EPA 2019). Although air pollution levels have decreased over the past few decades in high-income countries, associated health effects are still observed at levels at and below current air quality standards (Brauer et al. 2019, 2022; Brunekreef et al. 2021; Chen and Hoek 2020; Dominici et al. 2019, 2022). Accordingly, the WHO revised its air quality guidelines (WHO 2021), and some governmental agencies, such as the U.S. EPA, have proposed further lowering the regulatory standards for PM (U.S. EPA 2023). They continue to review the scientific evidence to evaluate the need for even lower standards.

Despite their public health importance, the complex time-varying chemistry of atmospheric aerosols is not fully understood. Primary aerosols originate directly from their anthropogenic (e.g., fuel and biomass combustion) or natural sources (e.g., sea salt and mineral dust). The carbonaceous fraction of PM includes such components as organic carbon and black carbon, whereas the inorganic fraction includes such compounds as sulfates and nitrates. Secondary organic aerosols

(SOAs) form by gas-to-particle conversion in the atmosphere when organic gases react with oxidizing agents such as ozone (see **Commentary Figure 1**). SOA precursors can be biogenic or anthropogenic in origin. Biogenic precursors, including isoprene and pinene, are primarily emitted from plants and are abundant in the ambient atmosphere (Shrivastava et al. 2017). Anthropogenic precursors such as naphthalene are emitted from fuel burning and coal tar processing (Jia and Batterman 2010). SOAs are the major fraction of organic aerosols in the atmosphere and are thus an important aspect of air pollution health effects (Shrivastava et al. 2017).

Research has demonstrated that redox active air pollutants, including SOAs, contribute to ROS formation in the lung ELF, where they undergo redox cycling and interact with host antioxidants and immune cells, including macrophages (Pöschl and Shiraiwa 2015). In response to foreign substances, certain types of macrophages classified as M1 macrophages activate to a proinflammatory state and release ROS to kill microbes and signal the disturbance to neighboring cells. Macrophage ROS generation is beneficial when compartmentalized to specific cells and tissues in need, and in healthy tissues ROS are kept in balance by scavenging antioxidants. However, excess ROS can lead to oxidative stress, tissue damage, and overwhelming or chronic inflammation (Canton et al. 2021). Thus, ROS are formed in lung ELF both chemically and cellularly, but the relative importance of each formation pathway is poorly characterized, partly because it is difficult to measure various species of ROS and determine their origin. To shed light on this question, the current study aimed to combine kinetic



**Commentary Figure 1. Anthropogenic and biogenic volatile organic gases are emitted into the atmosphere and react with oxidizing agents such as ozone in a gas-to-particle conversion to form SOAs. SOAs (and other aerosols) are inhaled into the respiratory tract and can form ROS in the ELF through chemical reactions. ROS are also formed cellularly by macrophages under normal physiological conditions. However, aerosols exposure can increase cellular ROS formation. Antioxidants like vitamin C and glutathione (GSH) scavenge and neutralize ROS. When antioxidant systems are overwhelmed, ROS can accumulate and induce oxidative stress, which leads to cell damage and death.**

modeling of ROS formation with experimental quantification of ROS produced by chemical reactions of SOA in synthetic lung ELF and by macrophages exposed to SOA in vitro.

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## SUMMARY OF APPROACH AND METHODS

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### STUDY AIMS AND APPROACH

To begin to characterize the formation of ROS in the ELF of lungs following inhalation of SOAs, Dr. Shiraiwa and colleagues aimed to accomplish the following:

- Identify the mechanisms and kinetics of ROS formation by aqueous chemical reactions of SOAs. Specific tasks were to
  - ◊ Quantify ROS formation by laboratory-generated SOAs
  - ◊ Quantify ROS formation by ambient PM samples
- Quantify the relative importance of ROS formed by chemical reactions compared with the cellular release of ROS by macrophages in synthetic ELF. Specific tasks were to
  - ◊ Use kinetic modeling to estimate ROS concentrations formed by chemical reactions within different lung compartments
  - ◊ Quantify ROS released by macrophage cells when exposed to SOA

Shiraiwa and colleagues first generated SOAs in a controlled laboratory environment by inducing oxidation of selected biogenic and anthropogenic organic compounds in a reaction chamber. They also collected PM from a small number of ambient air samples from the Los Angeles, California, region that were used to represent real-world PM from urban, traffic, and wildfire locations. They conducted various in vitro experiments to quantify the chemical formation of several different types of ROS in water and in synthetic lung ELF from SOAs generated by laboratory precursors or ambient air PM samples. The synthetic lung ELF contained the antioxidants ascorbate (vitamin C), citric acid, glutathione, and uric acid. They also evaluated laboratory-generated and ambient PM for their oxidative potential, a measure of particle capacity to take electrons from (or oxidize) other molecules and generate ROS. In their experiments, the investigators evaluated the effects of different experimental conditions on ROS formation, including the addition of nitrogen oxides ( $\text{NO}_x$ ), the addition of ferrous iron ( $\text{Fe}^{2+}$ ), and changes in pH levels ranging from 1 to 7.4. Both  $\text{NO}_x$ , a traffic-related air pollutant, and  $\text{Fe}^{2+}$ , a transition metal of natural and anthropogenic origin, are recognized as important atmospheric oxidizing agents (Pöschl and Shiraiwa 2015). They used a relatively new and sensitive method called continuous wave electron paramagnetic resonance (EPR) spectroscopy with a spin trapping technique to capture the formation of various ROS species.

In addition, Shiraiwa and colleagues used computer modeling to determine the ROS chemical reaction kinetics and developed a combined model of the human respiratory tract and reaction kinetics to estimate ROS burden in different compartments of the respiratory system after inhalation exposure. Finally, in collaboration with a leading toxicologist in the field, Dr. Michael Kleinman, Shiraiwa compared the chemical formation of ROS by SOAs with the ROS released by macrophage cells when exposed to SOAs.

### METHODS AND STUDY DESIGN

#### Aerosol Generation and Sampling

**Laboratory-Generated Particles** SOA particles were generated by the oxidation of biogenic SOA precursors, including isoprene,  $\alpha$ -pinene,  $\beta$ -pinene,  $\alpha$ -terpineol, and d-limonene, and such anthropogenic SOA precursors as naphthalene and toluene. Not all precursors were used for all experiments. The investigators used two methods of oxidation (dark ozonolysis and hydroxyl photooxidation). In experiments using dark ozonolysis oxidation, ozone was first injected into an oxidation flow reactor using pure oxygen and an ozone generator. In hydroxyl photooxidation, hydroxyl radicals were generated by ultraviolet photolysis of water molecules. The SOA precursors that formed from ozone or hydroxyl radicals were then separately injected into a potential aerosol mass (PAM) reactor, and SOA particles were collected on to 47-mm polytetrafluoroethylene (PTFE) filters.

**Ambient Air Particle Sampling** The investigators sampled ambient PM from sites representative of urban, highway, and wildfire environments in the Los Angeles region. First, they used a high-volume sampler with microquartz filters to collect ambient PM samples during periods without nearby wildfires. Samples were separated into PM size fractions <1 micron ( $\text{PM}_{1}$ ) and 1–10 microns ( $\text{PM}_{1-10}$ ). The single urban site was atop a University of California, Irvine, campus building (20 meters high) and the two highway sites were located within 20 meters of interstates I-5 and I-710, the latter of which has a higher fraction of heavy-duty vehicles. Each sample was collected for 4–12 hours daily over 5–6 days during January and February 2020. Portions of the sampling collection filters were used to measure environmentally persistent free radicals for all sites and to measure ROS and oxidative potential within six months of sampling.

During two major wildfire events that occurred about 20 kilometers away from the Los Angeles sites in October and November 2020, the investigators sampled size-segregated ambient PM using a Micro-Orifice Uniform Deposition Impactor (MOUDI Model 100NR) with Teflon filters. Eight sets of continuous 3-day samples were collected at the rooftop urban site during each fire event. MOUDI samples that were previously collected from the same urban and highway locations earlier that year (January and February 2020) were used as baseline nonfire samples. To normalize the measurements, hourly mass concentrations of  $\text{PM}_{1}$  and  $\text{PM}_{10}$  were collected

at the urban site using the Purple Air real-time air quality data located within 0.5 kilometers of the sampling site. Purple Air data were not available for the highway sites.

### ROS Detection and Quantification

The investigators used several techniques to quantify ROS formation in water, in synthetic lung ELF, and from macrophage cells that were exposed to SOAs generated by laboratory-based biogenic volatile organic compounds and to ambient air PM samples. Radical forms of ROS were detected using a relatively new and sensitive method called continuous wave electron paramagnetic resonance (EPR) spectroscopy with a spin trapping technique that enables detection of some shorter-lived radicals; these included the hydroxyl radical, superoxide radical, and carbon-centered and oxygen-centered organic radicals (**Commentary Figure 2**). To quantify the absolute and relative abundance of the radicals, EPR spectra were fitted and simulated using software packages to process the spin counting methods. Hydrogen peroxide was measured using a fluorometric hydrogen peroxide assay and spectrofluorometry; organic hydroperoxides within the SOA particles were measured with an iodometric-spectrophotometric technique. The assays included negative controls and were calibrated with standards. Oxidative potential was quantified with positive controls using the dithiothreitol (DTT) assay and a Liquid Waveguide Capillary Cell coupled to the ultraviolet-visible spectrophotometer and the multiwavelength light detector. Total DTT was calculated using linear regression of time and absorbance.

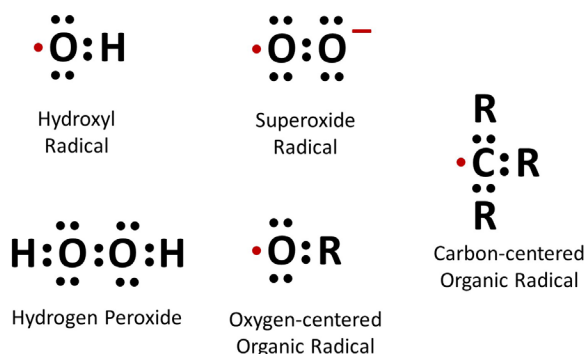
To quantify the relative importance of chemical versus cellular ROS formation, the investigators used a chemiluminescence assay combined with EPR spectroscopy to detect superoxide formed in the absence and presence of macrophages. The investigators used RAW 264.7 macrophage cells, a cultured mouse cell line, because it had previously been used to study oxidative stress. Experiments were performed in triplicate with positive and negative controls. Note that there was a 15-minute delay in superoxide measurement due to sample preparation; this delay likely missed a large portion

of early ROS formation. To account for that, kinetic modeling was applied. Superoxide formation time profiles and total formation were calculated using the mean of triplicates. For imaging, all data points for each sample were averaged from multiple cells ( $N > 10$ ). Unpaired *t* tests were used to test whether SOA-exposed and control groups of cells differed in superoxide concentrations.

### Kinetic Modeling

Shiraiwa and colleagues developed a kinetic model to simulate the simultaneous formation of hydroxyl radicals and superoxide by aqueous chemical reactions of SOA. This kinetic model combined experimental findings with known information about several individual chemical reactions into a more comprehensive model of SOA and ROS chemistry. Rate-constant model inputs were based on the relative abundance of certain functional groups (published values obtained from experiments by other researchers) or on a Monte Carlo genetic algorithm. Kinetic modeling was also used to simulate ROS formation by aqueous chemical reactions of isoprene-generated SOA with  $\text{Fe}^{2+}$  in synthetic lung ELF that contained antioxidants.

To estimate the concentration and rate of ROS formation in different regions of the respiratory tract, the investigators built on their previously developed human respiratory tract model and a kinetic multilayer model for surface and bulk chemistry in the ELF (KM-SUB-ELF) (Lakey et al. 2016). The human respiratory tract model estimated particle deposition in the entire tract and within the extrathoracic, bronchial, and alveolar regions with inhalation parameters for a person doing light work while breathing through their nose. The KM-SUB-ELF model incorporated over 50 relevant chemical reactions, included rate constants from literature values when available, and accounted for ROS formation by  $\alpha$ - and  $\beta$ -pinene-, isoprene-, and limonene-generated SOAs; by quinones from naphthalene; and by transition metals copper and iron. The models were applied to previously collected urban and roadside, size-segregated PM samples from Atlanta, Georgia (Fang et al. 2017). They modeled the formation of hydrogen peroxide and hydroxyl and superoxide radicals. Lastly, in an attempt to integrate results of the modeling with experimental data, the investigators compared the KM-SUB-ELF modeled ROS formation with oxidative potential as measured by the DTT and ascorbic acid assays for different respiratory tract regions and for the Atlanta PM samples taken in winter versus summer.



**Commentary Figure 2. ROS Chemical Formulas quantified.** Red dots represent unpaired electrons.

### SUMMARY OF KEY FINDINGS

#### ROS FORMATION BY LABORATORY-GENERATED SOAS

Shiraiwa and colleagues evaluated which ROS molecules formed under various conditions to understand various contributing factors better and to obtain detailed data for input into kinetic modeling. They found that the formation of

various ROS depended on the method of aqueous oxidation and the SOA precursor and that reaction kinetics varied (see **Commentary Table 1**). Ozonolysis of  $\alpha$ -terpineol exclusively formed hydroxyl radical adducts, and ozonolysis of d-limonene formed 80% superoxide radical adducts. Ozonolysis of isoprene and  $\beta$ -pinene yields were almost evenly split between the hydroxyl and superoxide radical adducts. ROS yields for the hydroxyl photooxidation of all tested biogenic compounds were mostly superoxide radical adducts and excluded the formation of any hydroxyl radical adducts.

Carbon- and oxygen-centered organic radical adduct formation was relatively low. In experiments of hydrogen peroxide formation, isoprene-generated SOA formed higher levels than the other SOA precursors, and oxidation by ozonolysis generally formed higher levels than by hydroxyl photooxidation. Superoxide and hydrogen peroxide formation were highly correlated ( $R^2 > 0.9$ ). Kinetic modeling showed that ROS formation varied by time. Hydroxyl radical adduct formation by ozonolysis increased rapidly and reached a steady state after 2 hours. However, superoxide formation by both oxidation

**Commentary Table 1.** Summary of Chemical ROS Formation by Oxidation Method, SOA Precursor, and Changes in the Experimental Conditions

	SOA Precursor	ROS Yield				Hydrogen Peroxide
		Hydroxyl Radical	Superoxide	C-Centered	O-Centered	
<b>Oxidation Method</b>						
Ozonolysis in water	$\alpha$ -terpineol	+++	0	0	0	++
	d-limonene	+	++	+	+	++
	isoprene	++	++	0	0	+++
	$\beta$ -pinene	++	++	0	0	++
Hydroxyl photooxidation in water	$\alpha$ -terpineol	0	++	+	+	+
	d-limonene	0	++	+	+	+
	isoprene	0	++	+	+	+
	$\beta$ -pinene	0	++	+	+	+
<b>Variation in Experimental Conditions</b>						
Higher NO <sub>x</sub> in water	$\alpha$ -pinene	↓	↓	↓	↓	NA
	naphthalene	↓	↓	0	0	NA
Lower pH in water	$\alpha$ -terpineol	↑	↑	↓	↑	↑
	isoprene	↓	↑	↓	↓	↑
	$\alpha$ -pinene	↑	↑	=	=	↑
	$\beta$ -pinene	↑	↑	=	=	↑
	toluene	0	↑	↑	0	↑
	naphthalene	0	↑	↑	0	↑
Higher Fe <sup>2+</sup> in water	$\alpha$ -terpineol	↑	↑	0	0	NA
	isoprene	↑	↑	↑	0	NA
	toluene	0	↑	↑	↑	NA
Higher Fe <sup>2+</sup> in synthetic lung ELF	$\alpha$ -terpineol	0	0	↑	↑	NA
	isoprene	0	0	↑	↑	NA
	toluene	0	↑	↑	↑	NA

0, negative result; +, low yield; ++, moderate yield; +++, high yield; ↑, increased yield; ↓, decreased yield; =, no change; NA, not tested.

methods reached a maximum concentration within 30–40 minutes and then decreased slowly thereafter after exposure to most biogenic precursors.

Different experimental conditions, including the presence of  $\text{NO}_x$  or  $\text{Fe}^{2+}$  and changes in pH levels, altered ROS formation in water and synthetic ELF (Commentary Table 1). The introduction of high concentrations of  $\text{NO}_x$  (700 ppb) to SOA generated by  $\alpha$ -pinene or naphthalene decreased hydroxyl radical formation by a factor of 10 and 1.5, respectively, and decreased superoxide formation by a factor of 2 and 3, respectively.  $\text{NO}_x$  also reacted with the SOA precursors to form many nitrogen-containing compounds, although the individual specifics of which could not be resolved with the current laboratory methods. At neutral pH (7.4),  $\alpha$ -terpineol-generated SOAs primarily formed carbon-centered radical adducts; at lower pH (<3.5), the overall ROS radical formation decreased despite small increases in the hydroxyl radical, superoxide, and oxygen-centered radical adducts. Similarly, at lower pH levels the overall ROS formation by isoprene-generated SOAs decreased, despite small increases in superoxide. In contrast, at lower pH levels  $\alpha$ -pinene-,  $\beta$ -pinene-, toluene-, and naphthalene-generated SOAs had higher overall ROS radical formation, which was dominated by superoxide adducts. Hydrogen peroxide formation was also increased at lower pH levels for all SOAs.

The introduction of  $\text{Fe}^{2+}$  to SOA generated by photooxidation of isoprene,  $\alpha$ -terpineol, and toluene increased ROS formation in both water and synthetic lung ELF. However, there were some differences in the radical species that would be attributable to the presence of ascorbate in the synthetic lung ELF. Isoprene- and  $\alpha$ -terpineol-generated SOA dramatically increased hydroxyl radical adduct formation in water and carbon-centered radical adduct formation in synthetic lung ELF. Radical formation peaked at a reaction time of 20 and 60 minutes for water and synthetic lung ELF, respectively. DTT activity was also higher with the introduction of  $\text{Fe}^{2+}$  to SOAs, demonstrating a link between aqueous experimental measures of oxidative potential and ROS formation. Overall, chemical ROS formation from SOA was highly complex and heavily dependent on the precursor, oxidation method, and experimental conditions.

### ROS FORMATION BY AMBIENT PM SAMPLES

The investigators found that the quantity and composition of ROS formed by ambient PM varied by sampling site in the Los Angeles region, with marked differences between wildfire and nonwildfire samples. ROS formed per air volume were highest in highway and lowest in wildfire samples, and the ROS formed per mass were higher in urban compared with wildfire samples. The total radicals associated with different PM size fractions showed a bimodal distribution for wildfire samples (radical concentrations peaked at 0.056–0.18 and 10–18  $\mu\text{m}$  PM size fractions) and urban samples (radical concentration peaked at 0.56–1 and 10–18  $\mu\text{m}$  PM size fractions), whereas highway samples exhibited a total radical peak in the 0.56–1  $\mu\text{m}$  PM size range. On average, PM from urban and

highway sampling sites formed primarily hydroxyl radicals (>84%) with the remaining proportion being carbon-centered radicals for both  $\text{PM}_1$  and  $\text{PM}_{1-10}$  extracts. Wildfire samples formed mostly carbon-centered radicals (~50%).  $\text{PM}_1$  extracts formed 28% hydroxyl, 13% superoxide, and 5% oxygen-centered radicals, whereas  $\text{PM}_{1-10}$  extracts formed 49% hydroxyl and 2% superoxide radicals. Carbon-centered radical formation was correlated with oxygen-centered radical formation ( $R^2 = 0.53$ ), whereas hydroxyl radical formation was correlated with superoxide formation ( $R^2 = 0.50$ ), suggesting distinct chemical formation pathways.

Oxidative potential was highest in highway samples. Oxidative potential measurements at different PM size fractions were similar for the highway and urban sites and peaked at the 0.56–1  $\mu\text{m}$  PM size fraction. After normalization, oxidative potential was higher per volume in  $\text{PM}_{1-10}$  compared with  $\text{PM}_1$  extracts and was correlated with total ROS formation ( $R^2 = 0.61$ ). In contrast, for wildfire samples the oxidative potential measurements were similar across the different PM sizes, but after normalization the measurements were higher per volume and per mass in the  $\text{PM}_1$  extracts and did not correlate with total ROS formation ( $R^2 < 0.01$ ).

### ROS FORMATION IN THE ELF

Modeling results indicated that ROS formation in the human respiratory tract depended on the size composition of PM exposure and on ELF volume and particle deposition in the different respiratory tract regions. ROS formation was highest in the extrathoracic region and lowest in the alveolar region. The investigators noted that assuming a uniform particle distribution in the respiratory tract would underestimate ROS formation in the extrathoracic cavity and overestimate it in the bronchial and alveolar regions. The estimated formation of hydrogen peroxide was highest, and hydroxyl radical was lowest in ELF. ROS formation was higher for the roadside PM compared with urban ambient PM samples from Atlanta. In terms of specific chemical exposure, the modeled ROS formation was highest for copper, followed by iron; it was an order of magnitude lower for SOAs and lowest for quinones. Copper exposure was estimated to form mostly hydrogen peroxide and superoxide, whereas SOAs primarily formed hydroxyl radicals. The investigators noted that assuming a uniform particle distribution in the respiratory tract would misrepresent ROS formation by iron in the extrathoracic versus alveolar regions. The modeled formation of hydrogen peroxide and superoxide showed moderate to high correlations with the measured oxidative potential, demonstrating good agreement between the two methods, whereas the modeled formation of the hydroxyl radical had low correlation with measured oxidative potential.

### CHEMICAL VERSUS CELLULAR ROS FORMATION IN THE SYNTHETIC ELF

Shiraiwa and colleagues found that quinones and isoprene-generated SOA activated macrophage cells to release



higher concentrations of superoxide than what was formed through chemical reactions in the synthetic lung ELF. Superoxide formation depended on the concentration of SOA precursor and duration of the exposure. At low concentrations, cellular formation of superoxide was about 10 times higher than chemical formation, particularly at the beginning of the experiments. The threshold dose for macrophage activation was smaller for quinones than for isoprene. The investigators reported that the decreased superoxide formation by macrophages over longer exposure times was due to the activation of antioxidant processes, oxidative stress, and cell death. The chemical superoxide production modeled by the investigators was similar to the experimental results, providing reassurance that the kinetic modeling approach accurately captured the processes.

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#### HEI REVIEW COMMITTEE'S EVALUATION

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This study investigated the potential kinetics and chemical mechanisms of ROS formation in the ELF of the respiratory tract following inhalation of different aerosols. It also quantified the relative importance of ROS formed by chemical reactions in the ELF compared with ROS released by macrophages as an immune response to aerosol exposure. Dr. Shiraiwa and colleagues demonstrated that quantity and composition of ROS formed by aqueous reactions highly dependent on such factors as the specific aerosol mixture, oxidation mechanisms, and other environmental conditions. They also found that for certain exposure conditions, the ROS released by macrophages dwarfed the ROS formation by aqueous chemical reactions.

In its independent evaluation of the report, the Review Committee noted that the investigators made a valuable contribution to the study of the health effects of air pollution mediated through ROS, bridging the fields of chemistry and toxicology. They thought that the work comparing chemical versus cellular ROS formation was novel, and they appreciated the inclusion of ambient aerosol samples from field measurements in addition to the lab-generated aerosols. The results showing higher ROS yields from macrophages compared with yields from aqueous chemical reactions were considered particularly important.

#### EVALUATION OF THE METHODOLOGICAL APPROACH

The Committee appreciated several aspects of the methodological approach, including the use of both ambient aerosol samples and laboratory-generated SOA, testing the influence of various experimental factors, such as copollutants and pH, and combining both experimental and modeling efforts. They also noted that the use of probes specific to different ROS was superior to other nonspecific methods. However, they thought that it was difficult to translate the results of the experiments to real-world conditions in the human lung after inhalation of ambient pollutants and that the full implications of the results

were therefore difficult to determine. Thus, the Committee thought that this work should be considered a valuable initial foray, with considerably more research needed.

It was unclear how well some aspects of the laboratory methods relate to more complex biological systems. The current results advance our mechanistic understanding of substrate influence on radical yields. However, given that results are obtained in a synthetic ELF, the question remains open as to whether they are representative of *in vivo* outcomes. Although the macrophage cell line used in this study is frequently used in other *in vitro* toxicity assays and is a useful starting point, it is derived from a leukemia virus-induced mouse tumor. As such, it is not part of immune defense in the respiratory system and does not mimic macrophage behavior in lung tissues well. An immortalized alveolar macrophage cell line that is more responsive to soluble and particulate stimuli would have been more representative of an immune response to inhaled aerosols. It will be important for future work to repeat the experiments using lung-derived macrophage cell lines or primary cells. It would also be useful to examine ROS formation by other key immune system players, such as neutrophils, whose influx to the lung is associated with many respiratory conditions, and to consider the use of co-cultures in which two or more types of lung cell coexist and interact with each other.

Regarding the aerosol generation, the Committee questioned the concentrations of the laboratory-generated SOAs because the experiments used higher SOA precursor concentrations than would be observed in the ambient atmosphere, thereby possibly affecting the interpretation of the results. The investigators acknowledge this difference and note similarities in SOA yield and average oxidation state compared with SOAs formed under more realistic conditions but note that important differences would be expected in the molecular composition of the SOA formed. Future work could shed light on this issue by generating SOA with different equipment. Furthermore, the investigators evaluated only a few ambient air samples that were taken at various times from three locations in Los Angeles. Thus, it is unclear how representative the ROS formation results are from SOA generated by the ambient air samples. The report could have been improved by further discussion of how representative these samples were to aerosol mixtures in other locations in the region, the broader United States, or around the world.

The Committee appreciated the investigators' efforts to evaluate ROS formation using both species-specific probes and oxidative potential by the DTT assay but noted that a more comprehensive evaluation would enhance future work to better align with the overarching goal to elucidate aerosol lung toxicity mediated through ROS. The investigators acknowledged that only a few ROS were quantified within a chemical reaction time range limited by feasibility. Thus, important ROS formation could have been missed. Other important nonradical forms of ROS, such as singlet oxygen, might also play an important role in aerosol-induced health

effects. In addition, there is no consensus on which assays are most suitable to measure ROS, because none of the assays currently available show good correlations of redox potential with PM toxicity (Pietrogrande et al. 2022). Although the DTT assay is simple and commonly used, it provides limited insight in this context. Other assays such as dichlorodihydro-fluorescein diacetate are currently preferred for studying ROS formation in cells.

Lastly, the Committee thought that the kinetic modeling, particularly with ROS formation in different compartments of the respiratory tract, was well done and demonstrated that the approach was possible. However, as with any modeling efforts, the results hinge on the model inputs, which can change under various realistic conditions. Thus, the results should be interpreted cautiously. Further work in this area might help in efforts to improve our understanding of ROS formation under various conditions and other pathways to mitigate respiratory toxicity. As noted in the report, Dr. Shiraiwa and colleagues later applied their kinetic model to estimate ROS as a metric of exposure to metal components in PM in epidemiological studies and found associations with respiratory and cardiovascular diseases. The Committee did not review the methods and results of these epidemiological collaborations because it was outside the scope of the current report, but they thought that it was a novel and useful approach to exposure assessment.

## DISCUSSION OF THE FINDINGS AND INTERPRETATION

A key strength of this study is that it quantified the relative importance of ROS formation by chemical reactions in the ELF compared with the ROS released by activated macrophages. The investigators found that under certain experimental conditions, the ROS macrophage release far outweighed the ROS formed by chemical reactions. This result implies that aerosol-induced respiratory health effects mediated by ROS might not depend on the aerosol composition and associated chemical reactions alone. Instead, future work will also need to consider macrophage and other immune cell activation after aerosol exposure.

In this study, only laboratory-generated SOAs were used to evaluate chemical versus macrophage ROS yields. Future work is warranted to evaluate chemical versus biological yields from real-world aerosol samples. It would also be helpful in future work to use robust negative-control scenarios, for example, by varying the experimental timeframes and by using nonchemical stimuli, such as roughness of the culture surface, and to test a variety of relevant macrophage cell lines and other immune cells, such as neutrophils, as multiple types of cells can be recruited to the respiratory system as part of the immune response.

The investigators reported that ambient PM samples from the two highway traffic locations had higher ROS yields compared with samples from an urban site before and during nearby wildfire events. These results are intriguing and are consistent with a study showing that nontailpipe roadway PM might be more toxic than PM from other sources (Shirhammadi et al. 2016). The potential for higher toxicity in nontailpipe PM is thought to be due to the synergistic effects of redox-active metals and organics on ROS formation. This theory is also consistent with results in this study showing that laboratory-generated SOAs act synergistically with Fe<sup>2+</sup> to elicit substantially higher ROS formation. However, it is important to note that only a few ambient samples were collected from just three locations in this study. Thus, the results comparing roadside, urban, and wildfire samples remain preliminary and must be verified in future studies with much larger sets of samples. Wildfire smoke has high compositional variability (Jaffe et al. 2020), and the limited sampling cannot be generalized to other wildfires.

## CONCLUSIONS

This study aimed to identify the kinetics and chemical mechanisms of ROS formation in the ELF of the respiratory tract after aerosol exposure and to quantify the relative importance of ROS formed by aqueous chemical reactions in the ELF compared with ROS released by macrophages as an immune response to aerosol exposure. The investigators tested ROS formation under several different experimental scenarios and used both laboratory-generated and ambient air-sampled SOAs. Overall, the Review Committee commended Dr. Shiraiwa and colleagues for the novelty and thoughtfulness in the study approach. The study demonstrated that the quantity and composition of ROS formed by aqueous reactions is highly dependent on such factors as the specific aerosol mixture, oxidation mechanisms, and other environmental conditions. Kinetic modeling suggested that ROS formation in the respiratory tract is highest in the extrathoracic cavity and lowest in the alveolar region. The study also found that under certain experimental conditions, ROS released by macrophages outweighs ROS formed by chemical reactions in ELF. This work is an important first step in understanding the relative importance of chemical versus biological ROS formation in the lung. Further work is recommended by extending the use of PM samples from various ambient sources and including an evaluation of responses in other types of immune cells.

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## ABBREVIATIONS AND OTHER TERMS

Asc·	ascorbate radicals	OP	oxidative potential
BMPO	5- <i>tert</i> -butoxycarbonyl-5-methyl-1-pyrroline-N-oxide	OP-DTT	oxidative potential measured with DTT assay
BMPO-OH	·OH	PAM	potential aerosol mass
BMPO-OOH	·O <sub>2</sub> /HO <sub>2</sub> ·	PM	particulate matter
BMPO-R	R·	PMA	phorbol 12-myristate 13-acetate
BMPO-OR	RO·	PM <sub>1</sub>	PM with aerodynamic diameter ≤1.0 μm (submicron)
CMH	1-hydroxy-3-methoxycarbonyl-2,2,5,5-tetramethylpyrrolidine	PM <sub>10</sub>	PM with aerodynamic diameter ≤10 μm (coarse)
DTT	dithiothreitol	PM <sub>2.5</sub>	PM with aerodynamic diameter ≤2.5 μm (fine)
ELF	epithelial lining fluid	PQN	9, 10-phenanthrenequinone
ELVOC	extremely low volatility organic compound	PTFE	polytetrafluoroethylene
EPFR	environmentally persistent free radical	R·	carbon-centered organic radicals
EPR	electron paramagnetic resonance	RLU	relative light units
Fe <sup>2+</sup>	ferrous iron	RO·	oxygen-centered organic radicals
FLIM	fluorescence lifetime imaging microscopy	RO <sub>2</sub> ·	peroxy radicals
GBD	Global Burden of Disease	ROOH	organic hydroperoxides
H <sub>2</sub> O <sub>2</sub>	hydrogen peroxide	ROOR	organic peroxides
HO <sub>2</sub> ·	hydroperoxyl radical	ROS	reactive oxygen species
HOM	highly oxygenated organic molecules	s	second
HULIS	humic-like substances	SOA	secondary organic aerosols
HX	hypoxanthine	SOA <sub>O<sub>3</sub></sub>	SOA generated from dark ozonolysis
IARC	International Agency for Research and Cancer	SOA <sub>OH</sub>	SOA generated from ·OH photooxidation
KM-SUB-ELF	kinetic multilayer model for surface and bulk chemistry in ELF	SOD	superoxide dismutase
MCGA	Monte Carlo genetic algorithm	SLF	surrogate lung fluid
MOUDI	micro-orifice uniform deposition impactor	THG	third harmonic generation
NADH	nicotinamide adenine dinucleotide	UCI	University of California, Irvine
NADPH	nicotinamide adenine dinucleotide phosphate	U.S. EPA	United States Environmental Protection Agency
NAD(P)H	reduced nicotinamide adenine dinucleotide [phosphate]	WHO	World Health Organization
NO <sub>x</sub>	nitrogen oxides	XO	xanthine oxidase
O <sub>2</sub>	molecular oxygen		
·O <sub>2</sub> <sup>-</sup>	superoxide radical		
·O <sub>2</sub> /HO <sub>2</sub> ·	superoxide radical and its conjugated acid HO <sub>2</sub>		
O <sub>3</sub>	ozone		
·OH	hydroxy radical		

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